

STATE OF COLORADO
DEPARTMENT OF THE TREASURY

Dave Young
State Treasurer



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Deputy Treasurer

2020 Regulatory Agenda

Overview

The Colorado Department of Treasury submits the following 2020 Regulatory Agenda in fulfillment of the statutory requirements set forth in CRS §2-7-203(4). Pursuant to state law, annually on November 1 executive-branch agencies must file a Departmental Regulatory Agenda (DRA) containing:

- A list of new rules or amendments that the department or its divisions expect to propose in the next calendar year;
- The statutory or other basis for adoption of the proposed rules;
- The purpose of the proposed rules;
- The contemplated schedule for adoption of the rules;
- An identification and listing of persons or parties that may be affected positively or negatively by the rules; and
- A list and brief summary of all permanent and temporary rules adopted since the previous DRA was filed.

The Regulatory Agenda also includes, pursuant to CRS §24-4-103.3, rules to be reviewed as part of the Department's "Regulatory Efficiencies Reviews" during 2020 (which are denoted as such in the "purpose" column). The DRA is to be filed with the Legislative Council staff for distribution to committee(s) of reference, posted on the department's web site, and submitted to the Secretary of State for publication in the Colorado Register. Each department must also present its DRA as part of its "SMART Act" hearing and presentation pursuant to CRS §2-7-203(2)(a)(II).

Ref #	Div	Anticipated Hearing or Adoption Date	Rule Number	Rule Title	New rule, revision, or repeal?	Statutory or other basis for adoption or change to rule	Purpose of Proposed Rule	Stakeholders
1	UP	September, 2020	New	Concerning Contract Auditor Services	New	§38-13-801(1)(c), CRS	Specify contract auditor requirements and ensure unclaimed property is adequately protected while in possession of a contract auditor	Claimants, Holders, Contract Auditors
2	UP	September, 2020	New	Concerning Holder Compliance	New	§38-13-1003(1), CRS	To govern procedures for an examination of records to determine compliance	Holders
3	UP	September, 2020	New	Concerning Finder Fees	New	§38-13-1302(3), CRS	To set rules governing maximum compensation in an agreement between a finder and an apparent owner	Holders, Claimants, Finders
4	UP	September, 2020	8 CCR 1508-1	Unclaimed Property	Review	§38-13-131, CRS	To provide guidance to the unclaimed property statutes	Holders, Claimants, Finders
5	Admin	September, 2020	8 CCR 1508-2	State Public Finance Policy	Review	§24-36-121, CRS	To provide guidance related to state public financing	State Agencies, Financial Advisors, Financial Community, Underwriters