## **Second Regular Session** Sixty-eighth General Assembly STATE OF COLORADO

## PREAMENDED

This Unofficial Version Includes Committee Amendments Not Yet Adopted on Second Reading

LLS NO. 12-0023.01 Christy Chase x2008

**HOUSE BILL 12-1303** 

**HOUSE SPONSORSHIP** 

Schafer S., Hamner, Kerr J., Peniston, Summers, Young

Spence, Jahn

### SENATE SPONSORSHIP

# **House Committees**

Health and Environment Finance Appropriations

**Senate Committees** Education Finance Appropriations

## A BILL FOR AN ACT

101	<b>CONCERNING THE REGULATION OF SPEECH-LANC</b>	<b>GUAGE PATHOLOGISTS</b>
102	BY THE DEPARTMENT OF REGULATORY	AGENCIES, AND, IN

103 **CONNECTION THEREWITH, MAKING AN APPROPRIATION.** 

### **Bill Summary**

(Note: This summary applies to this bill as introduced and does not reflect any amendments that may be subsequently adopted. If this bill passes third reading in the house of introduction, a bill summary that applies to the reengrossed version of this bill will be available at http://www.leg.state.co.us/billsummaries.)

On and after July 1, 2013, the bill requires speech-language pathologists to obtain a certification from the division of registrations (division) in the department of regulatory agencies in order to practice speech-language pathology in Colorado. The director of the division is

3rd Reading Unam ended arch 27, 2012 HOUSE Σ

> ended 2nd Reading arch 23, 2012

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tasked with oversight, regulation, and discipline of speech-language pathologists. An exemption from the certification requirement is provided for speech-language pathologists who are licensed and speech-language pathology assistants who are authorized by the Colorado department of education and who provide speech-language pathology services that are paid for by an administrative unit or state-operated program, as those terms are defined in the "Exceptional Children's Educational Act".

1	Be it enacted by the General Assembly of the State of Colorado:
2	SECTION 1. In Colorado Revised Statutes, add article 43.5 to
3	title 12 as follows:
4	ARTICLE 43.5
5	Speech-language Pathologists
6	12-43.5-101. Short title. This ARTICLE SHALL BE KNOWN AND
7	MAY BE CITED AS THE "SPEECH-LANGUAGE PATHOLOGY PRACTICE ACT".
8	12-43.5-102. Legislative declaration. (1) THE GENERAL
9	ASSEMBLY HEREBY FINDS, DETERMINES, AND DECLARES THAT:
10	(a) SPEECH-LANGUAGE PATHOLOGY SERVICES ARE PROVIDED FOR
11	THE PURPOSE OF IMPROVING THE ABILITIES OF THOSE WHO HAVE
12	CONGENITAL OR ACQUIRED SPEECH, LANGUAGE, COGNITIVE, FEEDING, AND
13	SWALLOWING DEFICITS;
14	(b) SPEECH-LANGUAGE PATHOLOGISTS PROVIDE SPECIFIC THERAPY
15	AND TREATMENTS THAT ARE RELATED TO THE EFFECTS OF MEDICAL OR
16	DENTAL DIAGNOSES OR CONGENITAL, GENETIC, OR DEVELOPMENTAL
17	CONDITIONS BUT DO NOT PROVIDE MEDICAL OR DENTAL PROCEDURES,
18	MEDICATIONS, OR INTERVENTIONS THAT CONSTITUTE THE PRACTICE OF
19	MEDICINE OR DENTISTRY;
20	(c) The professional roles and activities in
21	SPEECH-LANGUAGE PATHOLOGY INCLUDE CLINICAL AND EDUCATIONAL
22	SERVICES, WHICH INCLUDE EVALUATION, ASSESSMENT, PLANNING, AND

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1 TREATMENT; PREVENTION AND ADVOCACY; EDUCATION; ADMINISTRATION;

2 AND RESEARCH;

3 (d) THIS ARTICLE IS NECESSARY TO SAFEGUARD PUBLIC HEALTH,
4 SAFETY, AND WELFARE AND TO PROTECT THE PUBLIC FROM INCOMPETENT,
5 UNETHICAL, OR UNAUTHORIZED PERSONS.

6 (2) THE GENERAL ASSEMBLY FURTHER DETERMINES THAT IT IS THE
7 PURPOSE OF THIS ARTICLE TO:

8 (a) REGULATE PERSONS WHO ARE REPRESENTING OR HOLDING
9 THEMSELVES OUT AS SPEECH-LANGUAGE PATHOLOGISTS OR WHO ARE
10 PERFORMING SERVICES THAT CONSTITUTE SPEECH-LANGUAGE
11 PATHOLOGY; AND

12 (b) EXCLUDE FROM REGULATION UNDER THIS ARTICLE THOSE
13 SCHOOL SPEECH-LANGUAGE PATHOLOGISTS WHO ARE PAID SOLELY BY AN
14 ADMINISTRATIVE UNIT OR STATE-OPERATED PROGRAM.

15 12-43.5-103. Definitions. As used in this article, unless the
 16 CONTEXT OTHERWISE REQUIRES:

17 (1) "ADMINISTRATIVE UNIT" HAS THE SAME MEANING AS SET18 FORTH IN SECTION 22-20-103 (1), C.R.S.

19

20 (2) "DEPARTMENT" MEANS THE DEPARTMENT OF REGULATORY
21 AGENCIES.

22 (3) "DIRECTOR" MEANS THE DIRECTOR OF THE DIVISION OF
23 REGISTRATIONS OR THE DIRECTOR'S DESIGNEE.

24 (4) "DIVISION" MEANS THE DIVISION OF REGISTRATIONS IN THE
25 DEPARTMENT CREATED IN SECTION 24-34-102, C.R.S.

26 (5) "SCHOOL SPEECH-LANGUAGE PATHOLOGIST" MEANS A PERSON
 27 LICENSED BY THE DEPARTMENT OF EDUCATION TO PROVIDE

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1 SPEECH-LANGUAGE PATHOLOGY SERVICES THAT ARE PAID FOR BY AN 2 ADMINISTRATIVE UNIT OR A STATE-OPERATED PROGRAM. "SCHOOL 3 SPEECH-LANGUAGE PATHOLOGIST" INCLUDES A SCHOOL 4 SPEECH-LANGUAGE PATHOLOGY ASSISTANT AUTHORIZED BY THE 5 DEPARTMENT OF EDUCATION PURSUANT TO SECTION 22-60.5-111 (10), 6 C.R.S., TO PROVIDE SPEECH-LANGUAGE PATHOLOGY SERVICES THAT ARE 7 PAID FOR BY AN ADMINISTRATIVE UNIT OR A STATE-OPERATED PROGRAM. 8 (6) "Speech-language pathologist" or "certificate 9 HOLDER" MEANS A PERSON CERTIFIED TO PRACTICE SPEECH-LANGUAGE 10 PATHOLOGY UNDER THIS ARTICLE.

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(7) (a) "SPEECH-LANGUAGE PATHOLOGY" MEANS THE APPLICATION
OF PRINCIPLES, METHODS, AND PROCEDURES RELATED TO THE
DEVELOPMENT, DISORDERS, AND EFFECTIVENESS OF HUMAN
COMMUNICATION AND RELATED FUNCTIONS, WHICH INCLUDES PROVIDING
PREVENTION, SCREENING, CONSULTATION, ASSESSMENT OR EVALUATION,
TREATMENT, INTERVENTION, MANAGEMENT, COUNSELING,
COLLABORATION, AND REFERRAL SERVICES FOR DISORDERS OF:

(I) SPEECH, SUCH AS SPEECH SOUND PRODUCTION, FLUENCY,RESONANCE, AND VOICE;

21 (II) LANGUAGE, SUCH AS PHONOLOGY, MORPHOLOGY, SYNTAX,
22 SEMANTICS, PRAGMATIC AND SOCIAL COMMUNICATION SKILLS, AND
23 LITERACY SKILLS;

24 (III) FEEDING AND SWALLOWING; AND

25 (IV) COGNITIVE ASPECTS OF COMMUNICATION, SUCH AS
26 ATTENTION, MEMORY, EXECUTIVE FUNCTIONING, AND PROBLEM SOLVING.
27 (b) "SPEECH-LANGUAGE PATHOLOGY" ALSO INCLUDES

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ESTABLISHING AUGMENTATIVE AND ALTERNATIVE COMMUNICATION
 TECHNIQUES AND STRATEGIES, INCLUDING THE FOLLOWING:

3 (I) DEVELOPING, SELECTING, AND PRESCRIBING AUGMENTATIVE OR
4 ALTERNATIVE COMMUNICATION SYSTEMS AND DEVICES, SUCH AS SPEECH
5 GENERATING DEVICES;

6 (II) PROVIDING SERVICES TO INDIVIDUALS WITH HEARING LOSS
7 AND THEIR FAMILIES, SUCH AS AUDITORY TRAINING, SPEECH READING, OR
8 SPEECH AND LANGUAGE INTERVENTION SECONDARY TO HEARING LOSS;

9 (III) SCREENING INDIVIDUALS FOR HEARING LOSS OR MIDDLE EAR
10 PATHOLOGY USING CONVENTIONAL PURE-TONE AIR CONDUCTION
11 METHODS, INCLUDING OTOSCOPIC INSPECTION; OTOACOUSTIC EMISSIONS;
12 OR SCREENING TYMPANOMETRY;

13 (IV) USING INSTRUMENTATION SUCH AS VIDEOFLUROSCOPY,
14 ENDOSCOPY, OR STROBOSCOPY TO OBSERVE, COLLECT DATA, AND
15 MEASURE PARAMETERS OF COMMUNICATION AND SWALLOWING;

16 (V) SELECTING, FITTING, AND ESTABLISHING EFFECTIVE USE OF
17 PROSTHETIC OR ADAPTIVE DEVICES FOR COMMUNICATION, SWALLOWING,
18 OR OTHER UPPER AERODIGESTIVE FUNCTIONS, NOT INCLUDING SENSORY
19 DEVICES USED BY INDIVIDUALS WITH HEARING LOSS OR THE ORTHODONTIC
20 MOVEMENT OF TEETH FOR THE PURPOSE OF CORRECTION OF SPEECH
21 PATHOLOGY CONDITIONS; AND

(VI) PROVIDING SERVICES TO MODIFY OR ENHANCE
COMMUNICATION PERFORMANCE, SUCH AS ACCENT MODIFICATION AND
PERSONAL OR PROFESSIONAL COMMUNICATION EFFICACY.

(8) "STATE-OPERATED PROGRAM" HAS THE SAME MEANING AS SET
FORTH IN SECTION 22-20-103 (28), C.R.S.

27 **12-43.5-104.** Use of titles restricted. (1) ONLY A PERSON

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1 REQUIRED TO BE AND WHO IS CERTIFIED AS A SPEECH-LANGUAGE 2 PATHOLOGIST UNDER THIS ARTICLE OR LICENSED BY THE COLORADO 3 DEPARTMENT OF EDUCATION TO PROVIDE SPEECH-LANGUAGE PATHOLOGY 4 SERVICES MAY ADVERTISE AS OR USE THE TITLE "SPEECH-LANGUAGE 5 PATHOLOGIST", "SPEECH PATHOLOGIST", "SPEECH THERAPIST", "SPEECH CORRECTIONIST", "SPEECH CLINICIAN", "LANGUAGE PATHOLOGIST", 6 7 "VOICE THERAPIST", "VOICE PATHOLOGIST", "APHASIOLOGIST", OR ANY 8 OTHER GENERALLY ACCEPTED TERMS, LETTERS, OR FIGURES THAT 9 INDICATE THAT THE PERSON IS A CERTIFIED SPEECH-LANGUAGE 10 PATHOLOGIST.

11 (2) FOR A CERTIFICATE HOLDER WHO HAS SUCCESSFULLY 12 COMPLETED A DOCTORAL DEGREE IN COMMUNICATION SCIENCES AND 13 DISORDERS AS DESCRIBED IN SECTION 12-43.5-106 (1) (a), A 14 CERTIFICATION TO PRACTICE SPEECH-LANGUAGE PATHOLOGY ISSUED 15 PURSUANT TO THIS ARTICLE ENTITLES THE CERTIFICATE HOLDER TO USE 16 THE TITLE "DOCTOR" OR "DR." WHEN ACCOMPANIED BY THE TERMS 17 "SPEECH-LANGUAGE PATHOLOGY" OR THE LETTERS "S.L.P.".

18 **12-43.5-105.** Certification required - exception. (1) EXCEPT AS 19 OTHERWISE PROVIDED IN THIS ARTICLE, ON AND AFTER JULY 1, 2013, A 20 PERSON SHALL NOT PRACTICE SPEECH-LANGUAGE PATHOLOGY OR 21 REPRESENT OR HOLD HIMSELF OR HERSELF OUT AS BEING ABLE TO 22 PRACTICE SPEECH-LANGUAGE PATHOLOGY IN THIS STATE WITHOUT 23 POSSESSING A VALID CERTIFICATION ISSUED BY THE DIRECTOR IN 24 ACCORDANCE WITH THIS ARTICLE AND ANY RULES ADOPTED UNDER THIS 25 ARTICLE.

26 (2) A PERSON DESCRIBED IN SECTION 12-43.5-108 (1) IS NOT
27 REQUIRED TO OBTAIN CERTIFICATION UNDER THIS ARTICLE.

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12-43.5-106. Certification - application - qualifications provisional certification - renewal - fees - rules. (1) Educational and
 experiential requirements. EVERY APPLICANT FOR A CERTIFICATION AS
 A SPEECH-LANGUAGE PATHOLOGIST MUST HAVE:

5 (a) SUCCESSFULLY COMPLETED A MASTER'S OR HIGHER DEGREE IN
6 COMMUNICATION SCIENCES AND DISORDERS GRANTED BY AN ACCREDITED
7 INSTITUTION OF HIGHER EDUCATION RECOGNIZED BY THE UNITED STATES
8 DEPARTMENT OF EDUCATION;

9 (b) SUCCESSFULLY COMPLETED A SPEECH-LANGUAGE PATHOLOGY
10 CLINICAL FELLOWSHIP APPROVED BY THE DIRECTOR, AS DOCUMENTED BY
11 THE SUPERVISING CLINICIAN OR A NATIONAL CERTIFYING BODY APPROVED
12 BY THE DIRECTOR; AND

13 (c) PASSED THE NATIONAL EXAMINATION ADOPTED BY THE
14 AMERICAN SPEECH-LANGUAGE-HEARING ASSOCIATION OR ITS SUCCESSOR
15 ASSOCIATION OR ANY OTHER EXAMINATION APPROVED BY THE DIRECTOR.

16 **Application.** When an Applicant has fulfilled the (2)17 REQUIREMENTS OF SUBSECTION (1) OF THIS SECTION, THE APPLICANT MAY 18 APPLY FOR CERTIFICATION IN THE MANNER REQUIRED BY THE DIRECTOR. 19 THE APPLICANT SHALL SUBMIT AN APPLICATION FEE WITH HIS OR HER 20 APPLICATION IN AN AMOUNT DETERMINED BY THE DIRECTOR. 21 ADDITIONALLY, IF THE APPLICANT WILL PROVIDE SPEECH-LANGUAGE 22 PATHOLOGY SERVICES TO PATIENTS, THE APPLICANT SHALL SUBMIT TO THE 23 DIRECTOR PROOF THAT THE APPLICANT HAS PURCHASED AND IS 24 MAINTAINING OR IS COVERED BY PROFESSIONAL LIABILITY INSURANCE IN 25 AN AMOUNT DETERMINED BY THE DIRECTOR BY RULE.

26 (3) Certification. (a) EXCEPT AS PROVIDED IN PARAGRAPH (b) OF
27 THIS SUBSECTION (3), WHEN AN APPLICANT HAS FULFILLED THE

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REQUIREMENTS OF SUBSECTIONS (1) AND (2) OF THIS SECTION, THE
 DIRECTOR SHALL ISSUE A CERTIFICATION TO THE APPLICANT.

3 (b) THE DIRECTOR MAY DENY A CERTIFICATION IF THE APPLICANT
4 HAS COMMITTED ANY ACT THAT WOULD BE GROUNDS FOR DISCIPLINARY
5 ACTION UNDER SECTION 12-43.5-110.

6 (4) Certification by endorsement. (a) AN APPLICANT FOR
7 CERTIFICATION BY ENDORSEMENT SHALL FILE AN APPLICATION AND PAY
8 A FEE AS DETERMINED BY THE DIRECTOR AND SHALL HOLD A CURRENT,
9 VALID LICENSE OR CERTIFICATION IN A JURISDICTION THAT REQUIRES
10 QUALIFICATIONS SUBSTANTIALLY EQUIVALENT TO THOSE REQUIRED FOR
11 CERTIFICATION BY SUBSECTION (1) OF THIS SECTION.

12 (b) AN APPLICANT FOR CERTIFICATION BY ENDORSEMENT SHALL 13 SUBMIT WITH THE APPLICATION VERIFICATION THAT THE APPLICANT HAS ACTIVELY PRACTICED FOR A PERIOD OF TIME DETERMINED BY RULES OF 14 15 THE DIRECTOR OR OTHERWISE MAINTAINED COMPETENCY AS 16 DETERMINED BY THE DIRECTOR. ADDITIONALLY, IF THE APPLICANT WILL 17 PROVIDE SPEECH-LANGUAGE PATHOLOGY SERVICES TO PATIENTS, THE 18 APPLICANT SHALL SUBMIT TO THE DIRECTOR PROOF THAT THE APPLICANT 19 HAS PURCHASED AND IS MAINTAINING OR IS COVERED BY PROFESSIONAL 20 LIABILITY INSURANCE IN AN AMOUNT DETERMINED BY THE DIRECTOR BY 21 RULE.

(c) UPON RECEIPT OF ALL DOCUMENTS REQUIRED BY PARAGRAPHS
(a) AND (b) OF THIS SUBSECTION (4), THE DIRECTOR SHALL REVIEW THE
APPLICATION AND MAKE A DETERMINATION OF THE APPLICANT'S
QUALIFICATION TO BE CERTIFIED BY ENDORSEMENT.

26 (d) THE DIRECTOR MAY DENY THE CERTIFICATION BY27 ENDORSEMENT IF THE APPLICANT HAS COMMITTED AN ACT THAT WOULD

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1 BE GROUNDS FOR DISCIPLINARY ACTION UNDER SECTION 12-43.5-110.

(5) Certification renewal. (a) A CERTIFICATE HOLDER SHALL
RENEW THE CERTIFICATION ISSUED UNDER THIS ARTICLE ACCORDING TO
A SCHEDULE OF RENEWAL DATES ESTABLISHED BY THE DIRECTOR. THE
CERTIFICATE HOLDER SHALL SUBMIT AN APPLICATION IN THE MANNER
REQUIRED BY THE DIRECTOR AND SHALL PAY A RENEWAL FEE IN AN
AMOUNT DETERMINED BY THE DIRECTOR.

8 (b) CERTIFICATIONS SHALL BE RENEWED OR REINSTATED IN 9 ACCORDANCE WITH THE SCHEDULE ESTABLISHED BY THE DIRECTOR, AND 10 THE RENEWAL OR REINSTATEMENT SHALL BE GRANTED PURSUANT TO 11 SECTION 24-34-102 (8), C.R.S. THE DIRECTOR MAY ESTABLISH RENEWAL 12 FEES AND DELINQUENCY FEES FOR REINSTATEMENT PURSUANT TO SECTION 13 24-34-105, C.R.S. IF A CERTIFICATE HOLDER FAILS TO RENEW HIS OR HER 14 CERTIFICATION PURSUANT TO THE SCHEDULE ESTABLISHED BY THE 15 DIRECTOR, THE CERTIFICATION EXPIRES. ANY PERSON WHOSE 16 CERTIFICATION HAS EXPIRED AND WHO CONTINUES TO PRACTICE 17 SPEECH-LANGUAGE PATHOLOGY IS SUBJECT TO THE PENALTIES PROVIDED 18 IN THIS ARTICLE OR SECTION 24-34-102 (8), C.R.S., FOR REINSTATEMENT. 19 (6) Fees. (a) THE DIRECTOR SHALL ESTABLISH AND COLLECT FEES 20 UNDER THIS ARTICLE PURSUANT TO SECTION 24-34-105, C.R.S., AND 21 SHALL BASE THE FEES CHARGED TO SPEECH-LANGUAGE PATHOLOGISTS 22 CERTIFIED UNDER THIS ARTICLE ON THE COST TO ADMINISTER THE 23 PROGRAM DIVIDED BY THE TOTAL NUMBER OF SPEECH-LANGUAGE 24 PATHOLOGISTS, AS REQUIRED BY SECTION 24-34-105, C.R.S. ALL FEES 25 COLLECTED UNDER THIS ARTICLE SHALL BE DETERMINED, COLLECTED, AND 26 APPROPRIATED IN THE SAME MANNER AS SET FORTH IN SECTION 24-34-105, 27 C.R.S., AND PERIODICALLY ADJUSTED IN ACCORDANCE WITH SECTION

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1 24-75-402, C.R.S.

2 (b) EXCEPT AS OTHERWISE PROVIDED IN THIS ARTICLE, THE 3 DIVISION SHALL TRANSMIT ALL FEES COLLECTED PURSUANT TO THIS 4 ARTICLE TO THE STATE TREASURER, WHO SHALL CREDIT THE FEES TO THE 5 DIVISION OF REGISTRATIONS CASH FUND CREATED PURSUANT TO SECTION 6 24-34-105 (2) (b), C.R.S. THE GENERAL ASSEMBLY SHALL MAKE ANNUAL 7 APPROPRIATIONS FROM THE DIVISION OF REGISTRATIONS CASH FUND FOR 8 EXPENDITURES OF THE DIVISION INCURRED IN THE PERFORMANCE OF ITS 9 DUTIES UNDER THIS ARTICLE.

10 12-43.5-107. Continuing professional competency - rules.
 (1) (a) A SPEECH-LANGUAGE PATHOLOGIST SHALL MAINTAIN CONTINUING
 PROFESSIONAL COMPETENCY TO PRACTICE.

13 (b) THE DIRECTOR SHALL ESTABLISH A CONTINUING PROFESSIONAL
14 COMPETENCY PROGRAM THAT INCLUDES, AT A MINIMUM, THE FOLLOWING
15 ELEMENTS:

16 (I) A SELF-ASSESSMENT OF THE KNOWLEDGE AND SKILLS OF A
17 SPEECH-LANGUAGE PATHOLOGIST SEEKING TO RENEW OR REINSTATE A
18 CERTIFICATION;

(II) DEVELOPMENT, EXECUTION, AND DOCUMENTATION OF ALEARNING PLAN BASED ON THE ASSESSMENT; AND

(III) PERIODIC DEMONSTRATION OF KNOWLEDGE AND SKILLS
THROUGH DOCUMENTATION OF ACTIVITIES NECESSARY TO ENSURE AT
LEAST MINIMAL ABILITY TO SAFELY PRACTICE THE PROFESSION; EXCEPT
THAT A SPEECH-LANGUAGE PATHOLOGIST CERTIFIED PURSUANT TO THIS
ARTICLE NEED NOT RETAKE ANY EXAMINATION REQUIRED BY SECTION
12-43.5-106 FOR INITIAL CERTIFICATION.

27 (2) THE DIRECTOR SHALL ESTABLISH THAT A SPEECH-LANGUAGE

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PATHOLOGIST SATISFIES THE CONTINUING COMPETENCY REQUIREMENTS
 OF THIS SECTION IF THE SPEECH-LANGUAGE PATHOLOGIST MEETS THE
 CONTINUING PROFESSIONAL COMPETENCY REQUIREMENTS OF ONE OF THE
 FOLLOWING ENTITIES:

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(a) AN ACCREDITING BODY APPROVED BY THE DIRECTOR; OR

(b) AN ENTITY APPROVED BY THE DIRECTOR.

8 (3) (a) AFTER THE PROGRAM IS ESTABLISHED, A
9 SPEECH-LANGUAGE PATHOLOGIST SHALL SATISFY THE REQUIREMENTS OF
10 THE PROGRAM IN ORDER TO RENEW OR REINSTATE A CERTIFICATION TO
11 PRACTICE SPEECH-LANGUAGE PATHOLOGY.

(b) THE REQUIREMENTS OF THIS SECTION APPLY TO INDIVIDUAL
SPEECH-LANGUAGE PATHOLOGISTS, AND NOTHING IN THIS SECTION
REQUIRES A PERSON WHO EMPLOYS OR CONTRACTS WITH A
SPEECH-LANGUAGE PATHOLOGIST TO COMPLY WITH THIS SECTION.

16 (4) RECORDS OF ASSESSMENTS OR OTHER DOCUMENTATION 17 DEVELOPED OR SUBMITTED IN CONNECTION WITH THE CONTINUING 18 PROFESSIONAL COMPETENCY PROGRAM ARE CONFIDENTIAL AND NOT 19 SUBJECT TO INSPECTION BY THE PUBLIC OR DISCOVERY IN CONNECTION 20 WITH A CIVIL ACTION AGAINST A SPEECH-LANGUAGE PATHOLOGIST OR 21 OTHER PROFESSIONAL REGULATED UNDER THIS TITLE. A PERSON OR THE 22 DIRECTOR SHALL NOT USE THE RECORDS OR DOCUMENTS UNLESS USED BY 23 THE DIRECTOR TO DETERMINE WHETHER A SPEECH-LANGUAGE 24 PATHOLOGIST IS MAINTAINING CONTINUING PROFESSIONAL COMPETENCY 25 TO ENGAGE IN THE PROFESSION.

26 (5) AS USED IN THIS SECTION, "CONTINUING PROFESSIONAL
27 COMPETENCY" MEANS THE ONGOING ABILITY OF A SPEECH-LANGUAGE

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PATHOLOGIST TO LEARN, INTEGRATE, AND APPLY THE KNOWLEDGE, SKILL,
 AND JUDGMENT TO PRACTICE AS A SPEECH-LANGUAGE PATHOLOGIST
 ACCORDING TO GENERALLY ACCEPTED STANDARDS AND PROFESSIONAL
 ETHICAL STANDARDS.

5 12-43.5-108. Scope of article - exclusions. (1) THIS ARTICLE
6 DOES NOT PREVENT OR RESTRICT THE PRACTICE, SERVICES, OR ACTIVITIES
7 OF:

8 (a) A SCHOOL SPEECH-LANGUAGE PATHOLOGIST WHOSE
9 COMPENSATION FOR SPEECH-LANGUAGE PATHOLOGY SERVICES IS PAID
10 SOLELY BY AN ADMINISTRATIVE UNIT OR STATE-OPERATED PROGRAM;

(b) A PERSON LICENSED OR OTHERWISE REGULATED IN THIS STATE
BY ANY OTHER LAW FROM ENGAGING IN HIS OR HER PROFESSION OR
OCCUPATION AS DEFINED IN THE LAW UNDER WHICH HE OR SHE IS
REGULATED;

(c) A PERSON PURSUING A COURSE OF STUDY LEADING TO A
DEGREE IN SPEECH-LANGUAGE PATHOLOGY AT AN EDUCATIONAL
INSTITUTION WITH AN ACCREDITED SPEECH-LANGUAGE PATHOLOGY
PROGRAM IF THAT PERSON IS DESIGNATED BY A TITLE THAT CLEARLY
INDICATES HIS OR HER STATUS AS A STUDENT AND IF HE OR SHE ACTS
UNDER APPROPRIATE INSTRUCTION AND SUPERVISION;

(d) A PERSON PARTICIPATING IN GOOD FAITH IN A CLINICAL
FELLOWSHIP IF THE EXPERIENCE CONSTITUTES A PART OF THE EXPERIENCE
NECESSARY TO MEET THE REQUIREMENT OF SECTION 12-43.5-106 (1) AND
THE PERSON ACTS UNDER APPROPRIATE SUPERVISION; OR

(e) ANY LEGALLY QUALIFIED SPEECH-LANGUAGE PATHOLOGIST
FROM ANOTHER STATE OR COUNTRY WHEN PROVIDING SERVICES ON
BEHALF OF A TEMPORARILY ABSENT SPEECH-LANGUAGE PATHOLOGIST

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CERTIFIED IN THIS STATE, SO LONG AS THE UNCERTIFIED
 SPEECH-LANGUAGE PATHOLOGIST IS ACTING IN ACCORDANCE WITH RULES
 ADOPTED BY THE DIRECTOR. THE UNCERTIFIED PRACTICE MUST NOT
 OCCUR MORE THAN ONCE IN ANY TWELVE-MONTH PERIOD.

5 (2) NOTHING IN THIS ARTICLE REQUIRES OR ALLOWS THE
6 DEPARTMENT OF EDUCATION, THE DEPARTMENT OF HEALTH CARE POLICY
7 AND FINANCING, OR ANY OTHER STATE DEPARTMENT TO ADOPT OR APPLY
8 THE STANDARDS CONTAINED IN THIS ARTICLE:

9 (a) AS THE STANDARDS FOR ENDORSING OR OTHERWISE 10 AUTHORIZING SCHOOL SPEECH-LANGUAGE PATHOLOGISTS TO PROVIDE 11 SPEECH-LANGUAGE PATHOLOGY SERVICES THAT ARE PAID FOR BY AN 12 ADMINISTRATIVE UNIT OR STATE-OPERATED PROGRAM; OR

13 (b) FOR PURPOSES OF DETERMINING WHETHER MEDICAID
14 REIMBURSEMENT MAY BE OBTAINED FOR SPEECH-LANGUAGE PATHOLOGY
15 SERVICES.

16 (3) NOTHING IN THIS ARTICLE REQUIRES A PROFESSIONAL
17 LICENSED, CERTIFIED, REGISTERED, OR OTHERWISE REGULATED UNDER
18 THIS TITLE OR TITLE 22, C.R.S., TO OBTAIN CERTIFICATION UNDER THIS
19 ARTICLE, OR SUBJECTS THE PROFESSIONAL TO DISCIPLINE UNDER THIS
20 ARTICLE, FOR ENGAGING IN ACTIVITIES THAT ARE WITHIN HIS OR HER
21 PROFESSIONAL SCOPE OF PRACTICE.

12-43.5-109. Limitations on authority. Nothing in this
ARTICLE AUTHORIZES A SPEECH-LANGUAGE PATHOLOGIST TO ENGAGE IN
THE PRACTICE OF MEDICINE, AS DEFINED IN SECTION 12-36-106,
DENTISTRY, AS DEFINED IN SECTIONS 12-35-103 (5) AND 12-35-113, OR
ANY OTHER PROFESSION FOR WHICH LICENSURE, CERTIFICATION, OR
REGISTRATION IS REQUIRED BY THIS ARTICLE.

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12-43.5-110. Grounds for discipline. (1) THE DIRECTOR MAY
 TAKE DISCIPLINARY ACTION AGAINST A CERTIFICATE HOLDER PURSUANT
 TO SECTION 12-43.5-111 IF THE DIRECTOR FINDS THAT THE CERTIFICATE
 HOLDER HAS REPRESENTED OR HELD HIMSELF OR HERSELF OUT AS A
 CERTIFIED SPEECH-LANGUAGE PATHOLOGIST AFTER THE EXPIRATION,
 SUSPENSION, OR REVOCATION OF HIS OR HER CERTIFICATION.

7 (2) THE DIRECTOR MAY REVOKE, SUSPEND, OR DENY A
8 CERTIFICATION, PLACE A CERTIFICATE HOLDER ON PROBATION, ISSUE A
9 LETTER OF ADMONITION OR A CONFIDENTIAL LETTER OF CONCERN, IMPOSE
10 A FINE AGAINST A CERTIFICATE HOLDER, OR ISSUE A CEASE-AND-DESIST
11 ORDER TO A CERTIFICATE HOLDER IN ACCORDANCE WITH SECTION
12-43.5-111 UPON PROOF THAT THE CERTIFICATE HOLDER:

(a) HAS ENGAGED IN A SEXUAL ACT WITH A PERSON RECEIVING
SERVICES WHILE A THERAPEUTIC RELATIONSHIP EXISTED OR WITHIN SIX
MONTHS IMMEDIATELY FOLLOWING TERMINATION OF THE THERAPEUTIC
RELATIONSHIP IN WRITING. FOR THE PURPOSES OF THIS PARAGRAPH (a):

17 (I) "SEXUAL ACT" MEANS SEXUAL CONTACT, SEXUAL INTRUSION,
18 OR SEXUAL PENETRATION, AS DEFINED IN SECTION 18-3-401, C.R.S.

(II) "THERAPEUTIC RELATIONSHIP" MEANS THE PERIOD BEGINNING
WITH THE INITIAL EVALUATION AND ENDING UPON THE WRITTEN
TERMINATION OF TREATMENT.

(b) HAS FALSIFIED INFORMATION IN AN APPLICATION OR HAS
ATTEMPTED TO OBTAIN OR HAS OBTAINED A CERTIFICATION BY FRAUD,
DECEPTION, OR MISREPRESENTATION;

(c) EXCESSIVELY OR HABITUALLY USES OR ABUSES ALCOHOL OR
HABIT-FORMING DRUGS OR HABITUALLY USES A CONTROLLED SUBSTANCE,
AS DEFINED IN SECTION 18-18-102, C.R.S., OR OTHER DRUGS HAVING

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SIMILAR EFFECTS; EXCEPT THAT THE DIRECTOR HAS THE DISCRETION NOT
 TO DISCIPLINE THE CERTIFICATE HOLDER IF HE OR SHE IS PARTICIPATING IN
 GOOD FAITH IN A PROGRAM APPROVED BY THE DIRECTOR DESIGNED TO END
 THE USE OR ABUSE;

5 (d) (I) FAILED TO NOTIFY THE DIRECTOR, AS REQUIRED BY SECTION
6 12-43.5-115, OF A PHYSICAL OR MENTAL ILLNESS OR CONDITION THAT
7 IMPACTS THE SPEECH-LANGUAGE PATHOLOGIST'S ABILITY TO PERFORM
8 SPEECH-LANGUAGE PATHOLOGY WITH REASONABLE SKILL AND SAFETY TO
9 PATIENTS;

(II) FAILED TO ACT WITHIN THE LIMITATIONS CREATED BY A
PHYSICAL OR MENTAL ILLNESS OR CONDITION THAT RENDERS THE
CERTIFICATE HOLDER UNABLE TO PERFORM SPEECH-LANGUAGE
PATHOLOGY WITH REASONABLE SKILL AND SAFETY TO THE PATIENT; OR
(III) FAILED TO COMPLY WITH THE LIMITATIONS AGREED TO UNDER
A CONFIDENTIAL AGREEMENT ENTERED PURSUANT TO SECTION
12-43.5-115:

17 (e) HAS VIOLATED THIS ARTICLE OR AIDED OR ABETTED OR
18 KNOWINGLY PERMITTED ANY PERSON TO VIOLATE THIS ARTICLE, A RULE
19 ADOPTED UNDER THIS ARTICLE, OR ANY LAWFUL ORDER OF THE DIRECTOR;
20 (f) HAS FAILED TO RESPOND TO A REQUEST OR ORDER OF THE
21 DIRECTOR;

(g) HAS BEEN CONVICTED OF OR PLED GUILTY OR NOLO
CONTENDERE TO A FELONY OR ANY CRIME RELATED TO THE CERTIFICATE
HOLDER'S PRACTICE OF SPEECH-LANGUAGE PATHOLOGY, OR HAS
COMMITTED AN ACT SPECIFIED IN SECTION 12-43.5-112. A CERTIFIED COPY
OF THE JUDGMENT OF A COURT OF COMPETENT JURISDICTION OF THE
CONVICTION OR PLEA IS CONCLUSIVE EVIDENCE OF THE CONVICTION OR

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PLEA. IN CONSIDERING THE DISCIPLINARY ACTION, THE DIRECTOR IS
 GOVERNED BY SECTION 24-5-101, C.R.S.

3 (h) HAS FRAUDULENTLY OBTAINED, FURNISHED, OR SOLD ANY
4 SPEECH-LANGUAGE PATHOLOGY DIPLOMA, CERTIFICATE, CERTIFICATION,
5 RENEWAL OF CERTIFICATION, OR RECORD OR AIDED OR ABETTED SUCH
6 ACT;

7 (i) HAS FAILED TO NOTIFY THE DIRECTOR OF THE SUSPENSION OR
8 REVOCATION OF THE PERSON'S PAST OR CURRENTLY HELD LICENSE,
9 CERTIFICATE, OR CERTIFICATION REQUIRED TO PRACTICE
10 SPEECH-LANGUAGE PATHOLOGY IN THIS OR ANY OTHER JURISDICTION;

(j) HAS FAILED TO RESPOND IN AN HONEST, MATERIALLY
RESPONSIVE, AND TIMELY MANNER TO A COMPLAINT AGAINST THE
CERTIFICATE HOLDER;

14 (k) HAS RESORTED TO FRAUD, MISREPRESENTATION, OR DECEPTION
15 IN APPLYING FOR, SECURING, RENEWING, OR SEEKING REINSTATEMENT OF
16 A CERTIFICATION IN THIS OR ANY OTHER STATE, IN APPLYING FOR
17 PROFESSIONAL LIABILITY COVERAGE, OR IN TAKING THE EXAMINATION
18 REQUIRED BY THIS ARTICLE;

(1) HAS FAILED TO REFER A PATIENT TO THE APPROPRIATE
LICENSED, CERTIFIED, OR REGISTERED HEALTH CARE PROFESSIONAL WHEN
THE SERVICES REQUIRED BY THE PATIENT ARE BEYOND THE LEVEL OF
COMPETENCE OF THE SPEECH-LANGUAGE PATHOLOGIST OR BEYOND THE
SCOPE OF SPEECH-LANGUAGE PATHOLOGY PRACTICE;

(m) HAS REFUSED TO SUBMIT TO A PHYSICAL OR MENTAL
EXAMINATION WHEN ORDERED BY THE DIRECTOR PURSUANT TO SECTION
12-43.5-114;

27 (n) HAS FAILED TO MAINTAIN OR IS NOT COVERED BY

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PROFESSIONAL LIABILITY INSURANCE AS REQUIRED BY SECTION
 12-43.5-106(2) or (4) in the amount <u>Determined</u> by the director by
 RULE;

4 (o) HAS WILLFULLY OR NEGLIGENTLY ACTED IN A MANNER
5 INCONSISTENT WITH THE HEALTH OR SAFETY OF PERSONS UNDER HIS OR
6 HER CARE;

7 (p) HAS NEGLIGENTLY OR WILLFULLY PRACTICED
8 SPEECH-LANGUAGE PATHOLOGY IN A MANNER THAT FAILS TO MEET
9 GENERALLY ACCEPTED STANDARDS FOR SPEECH-LANGUAGE PATHOLOGY
10 PRACTICE;

(q) HAS FAILED TO MAKE ESSENTIAL ENTRIES ON PATIENT RECORDS
 OR FALSIFIED OR MADE INCORRECT ENTRIES OF AN ESSENTIAL NATURE ON
 PATIENT RECORDS; OR

14 (r) HAS OTHERWISE VIOLATED ANY PROVISION OF THIS ARTICLE OR
15 LAWFUL ORDER OR RULE OF THE DIRECTOR.

16 (3) EXCEPT AS OTHERWISE PROVIDED IN SUBSECTION (2) OF THIS
17 SECTION, THE DIRECTOR NEED NOT FIND THAT THE ACTIONS THAT ARE
18 GROUNDS FOR DISCIPLINE WERE WILLFUL BUT MAY CONSIDER WHETHER
19 THE ACTIONS WERE WILLFUL WHEN DETERMINING THE NATURE OF
20 DISCIPLINARY SANCTIONS TO IMPOSE.

12-43.5-111. Disciplinary actions - judicial review. (1) (a) THE
DIRECTOR MAY COMMENCE A PROCEEDING TO DISCIPLINE A CERTIFICATE
HOLDER WHEN THE DIRECTOR HAS REASONABLE GROUNDS TO BELIEVE
THAT THE CERTIFICATE HOLDER HAS COMMITTED AN ACT ENUMERATED IN
SECTION 12-43.5-110 OR HAS VIOLATED A LAWFUL ORDER OR RULE OF THE
DIRECTOR.

27 (b) IN ANY PROCEEDING UNDER THIS SECTION, THE DIRECTOR MAY

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ACCEPT AS EVIDENCE OF GROUNDS FOR DISCIPLINARY ACTION ANY
 DISCIPLINARY ACTION TAKEN AGAINST A CERTIFICATE HOLDER IN
 ANOTHER JURISDICTION IF THE VIOLATION THAT PROMPTED THE
 DISCIPLINARY ACTION IN THE OTHER JURISDICTION WOULD BE GROUNDS
 FOR DISCIPLINARY ACTION UNDER THIS ARTICLE.

6 (2) THE DIRECTOR SHALL CONDUCT DISCIPLINARY PROCEEDINGS
7 IN ACCORDANCE WITH ARTICLE 4 OF TITLE 24, C.R.S., AND THE DIRECTOR
8 OR AN ADMINISTRATIVE LAW JUDGE, AS DETERMINED BY THE DIRECTOR,
9 SHALL CONDUCT THE HEARING AND OPPORTUNITY FOR REVIEW PURSUANT
10 TO THAT ARTICLE. THE DIRECTOR MAY EXERCISE ALL POWERS AND DUTIES
11 CONFERRED BY THIS ARTICLE DURING THE DISCIPLINARY PROCEEDINGS.

12 (3) (a) THE DIRECTOR MAY REQUEST THE ATTORNEY GENERAL TO 13 SEEK AN INJUNCTION, IN ANY COURT OF COMPETENT JURISDICTION, TO 14 ENJOIN A PERSON FROM COMMITTING AN ACT PROHIBITED BY THIS 15 ARTICLE. WHEN SEEKING AN INJUNCTION UNDER THIS PARAGRAPH (a), THE 16 ATTORNEY GENERAL IS NOT REQUIRED TO ALLEGE OR PROVE THE 17 INADEQUACY OF ANY REMEDY AT LAW OR THAT SUBSTANTIAL OR 18 IRREPARABLE DAMAGE IS LIKELY TO RESULT FROM A CONTINUED 19 VIOLATION OF THIS ARTICLE.

(b) (I) IN ACCORDANCE WITH ARTICLE 4 OF TITLE 24, C.R.S., AND
THIS ARTICLE, THE DIRECTOR MAY INVESTIGATE, HOLD HEARINGS, AND
GATHER EVIDENCE IN ALL MATTERS RELATED TO THE EXERCISE AND
PERFORMANCE OF THE POWERS AND DUTIES OF THE DIRECTOR.

(II) IN ORDER TO AID THE DIRECTOR IN ANY HEARING OR
INVESTIGATION INSTITUTED PURSUANT TO THIS SECTION, THE DIRECTOR OR
AN ADMINISTRATIVE LAW JUDGE APPOINTED PURSUANT TO PARAGRAPH (c)
OF THIS SUBSECTION (3) MAY ADMINISTER OATHS, TAKE AFFIRMATIONS OF

WITNESSES, AND ISSUE SUBPOENAS COMPELLING THE ATTENDANCE OF
 WITNESSES AND THE PRODUCTION OF ALL RELEVANT RECORDS, PAPERS,
 BOOKS, DOCUMENTARY EVIDENCE, AND MATERIALS IN ANY HEARING,
 INVESTIGATION, ACCUSATION, OR OTHER MATTER BEFORE THE DIRECTOR
 OR AN ADMINISTRATIVE LAW JUDGE.

6 (III) UPON FAILURE OF ANY WITNESS OR CERTIFICATE HOLDER TO 7 COMPLY WITH A SUBPOENA OR PROCESS AND UPON APPLICATION BY THE 8 DIRECTOR WITH NOTICE TO THE SUBPOENAED PERSON OR CERTIFICATE 9 HOLDER, THE DISTRICT COURT OF THE COUNTY IN WHICH THE SUBPOENAED 10 PERSON OR CERTIFICATE HOLDER RESIDES OR CONDUCTS BUSINESS MAY 11 ISSUE AN ORDER REQUIRING THE PERSON OR CERTIFICATE HOLDER TO 12 APPEAR BEFORE THE DIRECTOR; TO PRODUCE THE RELEVANT PAPERS, 13 BOOKS, RECORDS, DOCUMENTARY EVIDENCE, OR MATERIALS; OR TO GIVE 14 EVIDENCE TOUCHING THE MATTER UNDER INVESTIGATION OR IN QUESTION. 15 IF THE PERSON OR CERTIFICATE HOLDER FAILS TO OBEY THE ORDER OF THE 16 COURT, THE DISTRICT COURT MAY HOLD THE PERSON OR CERTIFICATE 17 HOLDER IN CONTEMPT OF COURT.

18 (c) THE DIRECTOR MAY APPOINT AN ADMINISTRATIVE LAW JUDGE
19 PURSUANT TO PART 10 OF ARTICLE 30 OF TITLE 24, C.R.S., TO CONDUCT
20 HEARINGS, TAKE EVIDENCE, AND MAKE AND REPORT FINDINGS TO THE
21 DIRECTOR.

(4) (a) THE DIRECTOR, THE DIRECTOR'S STAFF, ANY PERSON ACTING
AS A WITNESS OR CONSULTANT TO THE DIRECTOR, ANY WITNESS
TESTIFYING IN A PROCEEDING AUTHORIZED UNDER THIS ARTICLE, AND ANY
PERSON WHO LODGES A COMPLAINT PURSUANT TO THIS ARTICLE IS IMMUNE
FROM LIABILITY IN ANY CIVIL ACTION BROUGHT AGAINST HIM OR HER FOR
ACTS OCCURRING WHILE ACTING IN HIS OR HER CAPACITY AS DIRECTOR,

STAFF, CONSULTANT, WITNESS, OR COMPLAINANT, RESPECTIVELY, IF THE
 INDIVIDUAL WAS ACTING IN GOOD FAITH WITHIN THE SCOPE OF HIS OR HER
 RESPECTIVE CAPACITY, MADE A REASONABLE EFFORT TO OBTAIN THE
 FACTS OF THE MATTER AS TO WHICH HE OR SHE ACTED, AND ACTED IN THE
 REASONABLE BELIEF THAT HIS OR HER ACTION WAS WARRANTED BY THE
 FACTS.

7 (b) A PERSON PARTICIPATING IN GOOD FAITH IN MAKING A
8 COMPLAINT OR REPORT OR IN AN INVESTIGATIVE OR ADMINISTRATIVE
9 PROCEEDING PURSUANT TO THIS SECTION IS IMMUNE FROM ANY CIVIL OR
10 CRIMINAL LIABILITY THAT OTHERWISE MIGHT RESULT BY REASON OF THE
11 PARTICIPATION.

(5) A FINAL ACTION OF THE DIRECTOR IS SUBJECT TO JUDICIAL
REVIEW BY THE COURT OF APPEALS PURSUANT TO SECTION 24-4-106 (11),
C.R.S. THE DIRECTOR MAY INSTITUTE A JUDICIAL PROCEEDING IN
ACCORDANCE WITH SECTION 24-4-106, C.R.S., TO ENFORCE AN ORDER OF
THE DIRECTOR.

17 (6) WHEN A COMPLAINT OR AN INVESTIGATION DISCLOSES AN
18 INSTANCE OF MISCONDUCT THAT, IN THE OPINION OF THE DIRECTOR,
19 WARRANTS FORMAL ACTION, THE DIRECTOR SHALL NOT RESOLVE THE
20 COMPLAINT BY A DEFERRED SETTLEMENT, ACTION, JUDGMENT, OR
21 PROSECUTION.

(7) WHEN A COMPLAINT OR INVESTIGATION DISCLOSES AN
INSTANCE OF CONDUCT THAT DOES NOT WARRANT FORMAL ACTION BY THE
DIRECTOR AND, IN THE OPINION OF THE DIRECTOR, THE COMPLAINT
SHOULD BE DISMISSED, BUT THE DIRECTOR HAS NOTICED INDICATIONS OF
POSSIBLE ERRANT CONDUCT BY THE CERTIFICATE HOLDER THAT COULD
LEAD TO SERIOUS CONSEQUENCES IF NOT CORRECTED, THE DIRECTOR MAY

1 SEND A CONFIDENTIAL LETTER OF CONCERN TO THE CERTIFICATE HOLDER.

2 (8) (a) WHEN A COMPLAINT OR INVESTIGATION DISCLOSES AN
3 INSTANCE OF MISCONDUCT THAT, IN THE OPINION OF THE DIRECTOR, DOES
4 NOT WARRANT FORMAL ACTION BUT SHOULD NOT BE DISMISSED AS BEING
5 WITHOUT MERIT, THE DIRECTOR MAY SEND A LETTER OF ADMONITION TO
6 THE CERTIFICATE HOLDER.

(b) WHEN THE DIRECTOR SENDS A LETTER OF ADMONITION TO A
CERTIFICATE HOLDER, THE DIRECTOR SHALL NOTIFY THE CERTIFICATE
HOLDER OF HIS OR HER RIGHT TO REQUEST IN WRITING, WITHIN TWENTY
DAYS AFTER RECEIPT OF THE LETTER, THAT THE DIRECTOR INITIATE
FORMAL DISCIPLINARY PROCEEDINGS TO ADJUDICATE THE PROPRIETY OF
THE CONDUCT DESCRIBED IN THE LETTER OF ADMONITION.

13 (c) IF THE CERTIFICATE HOLDER TIMELY REQUESTS ADJUDICATION, THE DIRECTOR SHALL VACATE THE LETTER OF ADMONITION AND SHALL 14 15 PROCESS THE MATTER BY MEANS OF FORMAL DISCIPLINARY PROCEEDINGS. 16 (9) THE DIRECTOR MAY INCLUDE IN A DISCIPLINARY ORDER THAT 17 ALLOWS THE CERTIFICATE HOLDER TO CONTINUE TO PRACTICE ON 18 PROBATION ANY CONDITIONS THE DIRECTOR DEEMS APPROPRIATE TO 19 ASSURE THAT THE CERTIFICATE HOLDER IS PHYSICALLY, MENTALLY, 20 MORALLY, AND OTHERWISE QUALIFIED TO PRACTICE SPEECH-LANGUAGE 21 PATHOLOGY IN ACCORDANCE WITH GENERALLY ACCEPTED PROFESSIONAL 22 STANDARDS OF PRACTICE. IF THE CERTIFICATE HOLDER FAILS TO COMPLY 23 WITH ANY CONDITIONS IMPOSED BY THE DIRECTOR PURSUANT TO THIS 24 SUBSECTION (9), AND THE FAILURE TO COMPLY IS NOT DUE TO CONDITIONS 25 BEYOND THE CERTIFICATE HOLDER'S CONTROL, THE DIRECTOR MAY ORDER 26 SUSPENSION OF THE CERTIFICATE HOLDER'S CERTIFICATION TO PRACTICE 27 SPEECH-LANGUAGE PATHOLOGY IN THIS STATE UNTIL THE CERTIFICATE

1 HOLDER COMPLIES WITH THE CONDITIONS.

2 (10) (a) IF IT APPEARS TO THE DIRECTOR, BASED UPON CREDIBLE 3 EVIDENCE AS PRESENTED IN A WRITTEN COMPLAINT BY ANY PERSON, THAT 4 A CERTIFICATE HOLDER IS ACTING IN A MANNER THAT IS AN IMMINENT 5 THREAT TO THE HEALTH AND SAFETY OF THE PUBLIC, OR A PERSON IS 6 ACTING OR HAS ACTED WITHOUT THE REQUIRED CERTIFICATION, THE 7 DIRECTOR MAY ISSUE AN ORDER TO CEASE AND DESIST THE ACTIVITY. THE 8 ORDER MUST SET FORTH THE STATUTES AND RULES ALLEGED TO HAVE 9 BEEN VIOLATED, THE FACTS ALLEGED TO CONSTITUTE THE VIOLATION, AND 10 THE REQUIREMENT THAT ALL UNLAWFUL ACTS OR UNCERTIFIED PRACTICES 11 IMMEDIATELY CEASE.

(b) WITHIN TEN DAYS AFTER SERVICE OF THE ORDER TO CEASE AND
DESIST PURSUANT TO PARAGRAPH (a) OF THIS SUBSECTION (10), THE
RESPONDENT MAY REQUEST A HEARING ON THE QUESTION OF WHETHER
ACTS OR PRACTICES IN VIOLATION OF THIS ARTICLE HAVE OCCURRED. THE
DIRECTOR SHALL CONDUCT THE HEARING PURSUANT TO SECTIONS
24-4-104 AND 24-4-105, C.R.S.

18 (11) (a) IF IT APPEARS TO THE DIRECTOR, BASED UPON CREDIBLE 19 EVIDENCE AS PRESENTED IN A WRITTEN COMPLAINT BY ANY PERSON, THAT 20 A PERSON HAS VIOLATED ANY OTHER PROVISION OF THIS ARTICLE, IN 21 ADDITION TO ANY SPECIFIC POWERS GRANTED PURSUANT TO THIS ARTICLE. 22 THE DIRECTOR MAY ISSUE TO THE PERSON AN ORDER TO SHOW CAUSE AS 23 TO WHY THE DIRECTOR SHOULD NOT ISSUE A FINAL ORDER DIRECTING THE 24 PERSON TO CEASE AND DESIST FROM THE UNLAWFUL ACT OR UNCERTIFIED 25 PRACTICE.

(b) THE DIRECTOR SHALL PROMPTLY NOTIFY A PERSON AGAINST
WHOM HE OR SHE ISSUES AN ORDER TO SHOW CAUSE PURSUANT TO

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1 PARAGRAPH (a) OF THIS SUBSECTION (11) AND SHALL INCLUDE IN THE 2 NOTICE A COPY OF THE ORDER, A STATEMENT OF THE FACTUAL AND LEGAL 3 BASIS FOR THE ORDER, AND THE DATE SET BY THE DIRECTOR FOR A 4 HEARING ON THE ORDER. THE DIRECTOR MAY SERVE THE NOTICE ON THE 5 PERSON AGAINST WHOM THE ORDER HAS BEEN ISSUED BY PERSONAL 6 SERVICE, BY FIRST-CLASS, POSTAGE PREPAID UNITED STATES MAIL, OR IN 7 ANOTHER MANNER AS MAY BE PRACTICABLE. PERSONAL SERVICE OR 8 MAILING OF AN ORDER OR DOCUMENT PURSUANT TO THIS PARAGRAPH (b) 9 CONSTITUTES NOTICE OF THE ORDER TO THE PERSON.

10 (c) (I) THE DIRECTOR SHALL CONDUCT THE HEARING ON AN ORDER 11 TO SHOW CAUSE NO SOONER THAN TEN AND NO LATER THAN FORTY-FIVE 12 CALENDAR DAYS AFTER THE DATE THE DIRECTOR TRANSMITS OR SERVES 13 THE NOTIFICATION AS PROVIDED IN PARAGRAPH (b) OF THIS SUBSECTION 14 (11). THE DIRECTOR MAY CONTINUE THE HEARING BY AGREEMENT OF ALL 15 PARTIES BASED UPON THE COMPLEXITY OF THE MATTER, NUMBER OF 16 PARTIES TO THE MATTER, AND LEGAL ISSUES PRESENTED IN THE MATTER, 17 BUT IN NO EVENT SHALL THE DIRECTOR CONDUCT THE HEARING LATER 18 THAN SIXTY CALENDAR DAYS AFTER THE DATE OF TRANSMISSION OR 19 SERVICE OF THE NOTIFICATION.

20 (II) IF A PERSON AGAINST WHOM AN ORDER TO SHOW CAUSE HAS 21 BEEN ISSUED PURSUANT TO PARAGRAPH (a) OF THIS SUBSECTION (11) DOES 22 NOT APPEAR AT THE HEARING, THE DIRECTOR MAY PRESENT EVIDENCE 23 THAT NOTIFICATION WAS PROPERLY SENT OR SERVED ON THE PERSON 24 PURSUANT TO PARAGRAPH (b) OF THIS SUBSECTION (11) AND ANY OTHER 25 EVIDENCE RELATED TO THE MATTER THAT THE DIRECTOR DEEMS 26 APPROPRIATE. THE DIRECTOR SHALL ISSUE THE ORDER WITHIN TEN DAYS 27 AFTER THE DIRECTOR'S DETERMINATION RELATED TO REASONABLE

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ATTEMPTS TO NOTIFY THE RESPONDENT, AND THE ORDER BECOMES FINAL
 AS TO THAT PERSON BY OPERATION OF LAW. THE DIRECTOR SHALL
 CONDUCT THE HEARING PURSUANT TO SECTIONS 24-4-104 AND 24-4-105,
 C.R.S.

5 (III) IF THE DIRECTOR REASONABLY FINDS THAT THE PERSON 6 AGAINST WHOM THE ORDER TO SHOW CAUSE WAS ISSUED IS ACTING OR 7 HAS ACTED WITHOUT THE REQUIRED CERTIFICATION, OR HAS OR IS ABOUT 8 TO ENGAGE IN ACTS OR PRACTICES CONSTITUTING A VIOLATION OF THIS 9 ARTICLE, THE DIRECTOR MAY ISSUE A FINAL CEASE-AND-DESIST ORDER 10 DIRECTING THE PERSON TO CEASE AND DESIST FROM FURTHER UNLAWFUL 11 ACTS OR UNCERTIFIED PRACTICES.

(IV) THE DIRECTOR SHALL PROVIDE NOTICE, IN THE MANNER SET
FORTH IN PARAGRAPH (b) OF THIS SUBSECTION (11), OF THE FINAL
CEASE-AND-DESIST ORDER WITHIN TEN CALENDAR DAYS AFTER THE
HEARING CONDUCTED PURSUANT TO THIS PARAGRAPH (c) TO EACH PERSON
AGAINST WHOM THE FINAL ORDER IS ISSUED. THE FINAL ORDER ISSUED
PURSUANT TO SUBPARAGRAPH (III) OF THIS PARAGRAPH (c) IS EFFECTIVE
WHEN ISSUED AND IS A FINAL ORDER FOR PURPOSES OF JUDICIAL REVIEW.

19 (12) IF IT APPEARS TO THE DIRECTOR, BASED UPON CREDIBLE 20 EVIDENCE PRESENTED TO THE DIRECTOR, THAT A PERSON HAS ENGAGED OR 21 IS ABOUT TO ENGAGE IN AN UNCERTIFIED ACT OR PRACTICE: AN ACT OR 22 PRACTICE CONSTITUTING A VIOLATION OF THIS ARTICLE, A RULE 23 PROMULGATED PURSUANT TO THIS ARTICLE, OR AN ORDER ISSUED 24 PURSUANT TO THIS ARTICLE; OR AN ACT OR PRACTICE CONSTITUTING 25 GROUNDS FOR ADMINISTRATIVE SANCTION PURSUANT TO THIS ARTICLE, 26 THE DIRECTOR MAY ENTER INTO A STIPULATION WITH THE PERSON.

27 (13) IF ANY PERSON FAILS TO COMPLY WITH A FINAL

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CEASE-AND-DESIST ORDER OR A STIPULATION, THE DIRECTOR MAY
 REQUEST THE ATTORNEY GENERAL OR THE DISTRICT ATTORNEY FOR THE
 JUDICIAL DISTRICT IN WHICH THE ALLEGED VIOLATION EXISTS TO BRING,
 AND IF SO REQUESTED THE ATTORNEY SHALL BRING, SUIT FOR A
 TEMPORARY RESTRAINING ORDER AND FOR INJUNCTIVE RELIEF TO
 PREVENT ANY FURTHER OR CONTINUED VIOLATION OF THE FINAL ORDER.

7 (14) A PERSON AGGRIEVED BY THE FINAL CEASE-AND-DESIST
8 ORDER MAY SEEK JUDICIAL REVIEW OF THE DIRECTOR'S DETERMINATION
9 OR OF THE DIRECTOR'S FINAL ORDER AS PROVIDED IN SUBSECTION (5) OF
10 THIS SECTION.

(15) ANY PERSON WHOSE CERTIFICATION IS REVOKED OR WHO
SURRENDERS HIS OR HER CERTIFICATION TO AVOID DISCIPLINE IS
INELIGIBLE TO APPLY FOR CERTIFICATION UNDER THIS ARTICLE FOR AT
LEAST TWO YEARS AFTER THE DATE OF REVOCATION OF THE
CERTIFICATION. THE DIRECTOR SHALL TREAT A SUBSEQUENT APPLICATION
FOR CERTIFICATION FROM A PERSON WHOSE CERTIFICATION WAS REVOKED
AS AN APPLICATION FOR A NEW CERTIFICATION UNDER THIS ARTICLE.

18 **12-43.5-112.** Unauthorized practice - penalties. A PERSON WHO 19 PRACTICES OR OFFERS OR ATTEMPTS TO PRACTICE SPEECH-LANGUAGE 20 PATHOLOGY WITHOUT AN ACTIVE CERTIFICATION ISSUED UNDER THIS 21 ARTICLE COMMITS A CLASS 2 MISDEMEANOR AND SHALL BE PUNISHED AS 22 PROVIDED IN SECTION 18-1.3-501, C.R.S., FOR THE FIRST OFFENSE. FOR 23 THE SECOND OR ANY SUBSEQUENT OFFENSE, THE PERSON COMMITS A 24 CLASS 1 MISDEMEANOR AND SHALL BE PUNISHED AS PROVIDED IN SECTION 25 18-1.3-501, C.R.S.

12-43.5-113. Rule-making authority. The Director shall
 PROMULGATE RULES AS NECESSARY FOR THE ADMINISTRATION OF THIS

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1 ARTICLE.

2 12-43.5-114. Mental and physical examination of certificate 3 holders. (1) IF THE DIRECTOR HAS REASONABLE CAUSE TO BELIEVE THAT 4 A CERTIFICATE HOLDER IS UNABLE TO PRACTICE WITH REASONABLE SKILL 5 AND SAFETY, THE DIRECTOR MAY ORDER THE CERTIFICATE HOLDER TO 6 TAKE A MENTAL OR PHYSICAL EXAMINATION ADMINISTERED BY A 7 PHYSICIAN OR OTHER LICENSED HEALTH CARE PROFESSIONAL DESIGNATED 8 BY THE DIRECTOR. EXCEPT WHERE DUE TO CIRCUMSTANCES BEYOND THE 9 CERTIFICATE HOLDER'S CONTROL, IF THE CERTIFICATE HOLDER FAILS OR 10 REFUSES TO UNDERGO A MENTAL OR PHYSICAL EXAMINATION, THE 11 DIRECTOR MAY SUSPEND THE CERTIFICATE HOLDER'S CERTIFICATION UNTIL 12 THE DIRECTOR HAS MADE A DETERMINATION OF THE CERTIFICATE 13 HOLDER'S FITNESS TO PRACTICE. THE DIRECTOR SHALL PROCEED WITH AN 14 ORDER FOR EXAMINATION AND SHALL MAKE HIS OR HER DETERMINATION 15 IN A TIMELY MANNER.

16 (2) THE DIRECTOR SHALL INCLUDE IN AN ORDER REQUIRING A 17 CERTIFICATE HOLDER TO UNDERGO A MENTAL OR PHYSICAL EXAMINATION 18 THE BASIS OF THE DIRECTOR'S REASONABLE CAUSE TO BELIEVE THAT THE 19 CERTIFICATE HOLDER IS UNABLE TO PRACTICE WITH REASONABLE SKILL 20 AND SAFETY. FOR PURPOSES OF A DISCIPLINARY PROCEEDING AUTHORIZED 21 UNDER THIS ARTICLE. THE CERTIFICATE HOLDER IS DEEMED TO HAVE 22 WAIVED ALL OBJECTIONS TO THE ADMISSIBILITY OF THE EXAMINING 23 PHYSICIAN'S OR LICENSED HEALTH CARE PROFESSIONAL'S TESTIMONY OR 24 EXAMINATION REPORTS ON THE GROUNDS THAT THEY ARE PRIVILEGED 25 COMMUNICATION.

26 (3) THE CERTIFICATE HOLDER MAY SUBMIT TO THE DIRECTOR
 27 TESTIMONY OR EXAMINATION REPORTS FROM A PHYSICIAN CHOSEN BY THE

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CERTIFICATE HOLDER AND PERTAINING TO ANY CONDITION THAT THE
 DIRECTOR HAS ALLEGED MAY PRECLUDE THE CERTIFICATE HOLDER FROM
 PRACTICING WITH REASONABLE SKILL AND SAFETY. THE DIRECTOR MAY
 CONSIDER THE TESTIMONY AND REPORTS SUBMITTED BY THE CERTIFICATE
 HOLDER IN CONJUNCTION WITH, BUT NOT IN LIEU OF, THE TESTIMONY AND
 EXAMINATION REPORTS OF THE PHYSICIAN DESIGNATED BY THE DIRECTOR.

7 (4) THE RESULTS OF A MENTAL OR PHYSICAL EXAMINATION
8 ORDERED BY THE DIRECTOR SHALL NOT BE USED AS EVIDENCE IN ANY
9 PROCEEDING OTHER THAN ONE BEFORE THE DIRECTOR, ARE NOT A PUBLIC
10 RECORD, AND ARE NOT AVAILABLE TO THE PUBLIC.

11 12-43.5-115. Confidential agreement to limit practice -12 violation grounds for discipline. (1) IF A SPEECH-LANGUAGE 13 PATHOLOGIST SUFFERS FROM A PHYSICAL OR MENTAL ILLNESS OR 14 CONDITION THAT RENDERS THE PERSON UNABLE TO PRACTICE 15 SPEECH-LANGUAGE PATHOLOGY OR PRACTICE AS A SPEECH-LANGUAGE 16 PATHOLOGIST WITH REASONABLE SKILL AND PATIENT SAFETY, THE 17 SPEECH-LANGUAGE PATHOLOGIST SHALL NOTIFY THE DIRECTOR OF THE 18 ILLNESS OR CONDITION IN A MANNER AND WITHIN A PERIOD OF TIME 19 DETERMINED BY THE DIRECTOR. THE DIRECTOR MAY REQUIRE THE 20 SPEECH-LANGUAGE PATHOLOGIST TO SUBMIT TO AN EXAMINATION TO 21 EVALUATE THE EXTENT OF THE ILLNESS OR CONDITION AND ITS IMPACT ON 22 THE SPEECH-LANGUAGE PATHOLOGIST'S ABILITY TO PRACTICE WITH 23 REASONABLE SKILL AND SAFETY TO PATIENTS.

(2) (a) UPON DETERMINING THAT A SPEECH-LANGUAGE
PATHOLOGIST WITH A PHYSICAL OR MENTAL ILLNESS OR CONDITION IS
ABLE TO RENDER LIMITED SPEECH-LANGUAGE PATHOLOGY SERVICES WITH
REASONABLE SKILL AND PATIENT SAFETY, THE DIRECTOR MAY ENTER INTO

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A CONFIDENTIAL AGREEMENT WITH THE SPEECH-LANGUAGE PATHOLOGIST
 IN WHICH THE SPEECH-LANGUAGE PATHOLOGIST AGREES TO LIMIT HIS OR
 HER PRACTICE BASED ON THE RESTRICTIONS IMPOSED BY THE ILLNESS OR
 CONDITION, AS DETERMINED BY THE DIRECTOR.

5 (b) THE AGREEMENT MUST SPECIFY THAT THE SPEECH-LANGUAGE
6 PATHOLOGIST IS SUBJECT TO PERIODIC REEVALUATIONS OR MONITORING
7 AS DETERMINED APPROPRIATE BY THE DIRECTOR.

8 (c) THE PARTIES MAY MODIFY OR DISSOLVE THE AGREEMENT AS
9 NECESSARY BASED ON THE RESULTS OF A REEVALUATION OR OF
10 MONITORING.

11 (d) BY ENTERING INTO AN AGREEMENT WITH THE DIRECTOR 12 PURSUANT TO THIS SECTION TO LIMIT HIS OR HER PRACTICE, THE 13 SPEECH-LANGUAGE PATHOLOGIST IS NOT ENGAGING IN ACTIVITIES THAT 14 CONSTITUTE GROUNDS FOR DISCIPLINE PURSUANT TO SECTION 15 12-43.5-110. THE AGREEMENT IS AN ADMINISTRATIVE ACTION AND DOES 16 NOT CONSTITUTE A RESTRICTION OR DISCIPLINE BY THE DIRECTOR. 17 HOWEVER, IF THE SPEECH-LANGUAGE PATHOLOGIST FAILS TO COMPLY 18 WITH THE TERMS OF AN AGREEMENT ENTERED INTO PURSUANT TO THIS 19 SECTION, THE FAILURE CONSTITUTES GROUNDS FOR DISCIPLINARY ACTION 20 UNDER SECTION 12-43.5-110 (2) (d), AND THE SPEECH-LANGUAGE 21 PATHOLOGIST IS SUBJECT TO DISCIPLINE IN ACCORDANCE WITH SECTION 22 12-43.5-111.

23 (3) This section does not apply to a licensee subject to
24 Discipline under section 12-43.5-110 (2) (c).

12-43.5-116. Protection of medical records - certificate
 holder's obligations - verification of compliance - noncompliance
 grounds for discipline - rules. (1) EACH SPEECH-LANGUAGE

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PATHOLOGIST RESPONSIBLE FOR PATIENT RECORDS SHALL DEVELOP A
 WRITTEN PLAN TO ENSURE THE SECURITY OF PATIENT MEDICAL RECORDS.
 THE PLAN MUST ADDRESS AT LEAST THE FOLLOWING:

4 (a) THE STORAGE AND PROPER DISPOSAL OF PATIENT MEDICAL
5 RECORDS;

6 (b) THE DISPOSITION OF PATIENT MEDICAL RECORDS IN THE EVENT
7 THE CERTIFICATE HOLDER DIES, RETIRES, OR OTHERWISE CEASES TO
8 PRACTICE OR PROVIDE SPEECH-LANGUAGE PATHOLOGY SERVICES TO
9 PATIENTS; AND

10 (c) THE METHOD BY WHICH PATIENTS MAY ACCESS OR OBTAIN
11 THEIR MEDICAL RECORDS PROMPTLY IF ANY OF THE EVENTS DESCRIBED IN
12 PARAGRAPH (b) OF THIS SUBSECTION (1) OCCURS.

13 (2) UPON INITIAL CERTIFICATION UNDER THIS ARTICLE AND UPON
14 RENEWAL OF A CERTIFICATION, THE APPLICANT OR CERTIFICATE HOLDER
15 SHALL ATTEST TO THE DIRECTOR THAT HE OR SHE HAS DEVELOPED A PLAN
16 IN COMPLIANCE WITH THIS SECTION.

17 (3) A CERTIFICATE HOLDER SHALL INFORM EACH PATIENT IN
18 WRITING OF THE METHOD BY WHICH THE PATIENT MAY ACCESS OR OBTAIN
19 HIS OR HER MEDICAL RECORDS IF AN EVENT DESCRIBED IN PARAGRAPH (b)
20 OF SUBSECTION (1) OF THIS SECTION OCCURS.

(4) A SPEECH-LANGUAGE PATHOLOGIST WHO FAILS TO COMPLY
WITH THIS SECTION IS SUBJECT TO DISCIPLINE IN ACCORDANCE WITH
SECTION 12-43.5-111.

24 (5) THE DIRECTOR MAY ADOPT RULES REASONABLY NECESSARY TO
 25 IMPLEMENT THIS SECTION.

12-43.5-117. Severability. IF ANY PROVISION OF THIS ARTICLE IS
 HELD INVALID, THE INVALIDITY DOES NOT AFFECT OTHER PROVISIONS OF

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THIS ARTICLE THAT CAN BE GIVEN EFFECT WITHOUT THE INVALID
 PROVISION.

3 12-43.5-118. Repeal of article - review of functions. THIS 4 ARTICLE IS REPEALED, EFFECTIVE SEPTEMBER 1, 2017. PRIOR TO THE 5 REPEAL, THE DIRECTOR'S POWERS, DUTIES, AND FUNCTIONS UNDER THIS 6 ARTICLE SHALL BE REVIEWED AS PROVIDED IN SECTION 24-34-104, C.R.S. 7 SECTION 2. In Colorado Revised Statutes, 24-34-104, amend 8 (48.5) as follows: 9 24-34-104. General assembly review of regulatory agencies 10 and functions for termination, continuation, or reestablishment. 11 (48.5) The following agencies, functions, or both, shall terminate on 12 September 1, 2017: 13 (a) The domestic violence offender management board created in section 16-11.8-103, C.R.S.; 14 15 (b) THE REGULATION OF SPEECH-LANGUAGE PATHOLOGISTS BY 16 THE DIRECTOR OF THE DIVISION OF REGISTRATIONS PURSUANT TO ARTICLE 17 43.5 OF TITLE 12, C.R.S. 18 SECTION 3. In Colorado Revised Statutes, 24-34-110, amend 19 (3) (a) (XVIII) and (3) (a) (XIX); and **add** (3) (a) (XX) as follows: 20 24-34-110. Medical transparency act of 2010 - disclosure of 21 information about health care licensees - fines - rules - short title legislative declaration. (3) (a) As used in this section, "applicant" means 22 23 a person applying for a new, active license, certification, or registration 24 or to renew, reinstate, or reactivate an active license, certification, or 25 registration to practice: 26 (XVIII) Psychotherapy pursuant to part 7 of article 43 of title 12, 27 C.R.S.; and

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1	(XIX) Addiction counseling pursuant to part 8 of article 43 of title	
2	12, C.R.S.; AND	
3	(XX) SPEECH-LANGUAGE PATHOLOGY PURSUANT TO ARTICLE 43.5	
4	OF TITLE 12, C.R.S.	
5	SECTION 4. Appropriation. (1) In addition to any other	
6	appropriation, there is hereby appropriated, out of any moneys in the	
7	division of registrations cash fund created in section 24-34-105(2)(b)(I),	
8	Colorado Revised Statutes, not otherwise appropriated, to the department	
9	of regulatory agencies, for the fiscal year beginning July 1, 2012, the sum	
10	of \$111,148 and $1.1 \text{ FTE}$ , or so much thereof as may be necessary, to be	
11	allocated for the implementation of this act as follows:	
12	(a) \$57,428 and 1.1 FTE for the division of registrations for	
13	personal services;	
14	(b) \$27,971 for the division of registrations for temporary contract	
15	labor;	
16	(c) \$7,909 for the division of registrations for operating expenses;	
17	(d) \$16,656 for the purchase of legal services; and	
18	(e) \$1,184 for the purchase of computer center services.	
19	(2) In addition to any other appropriation, there is hereby	
20	appropriated to the department of law, for the fiscal year beginning July	
21	1, 2012, the sum of \$16,656 and 0.1 FTE, or so much thereof as may be	
22	necessary, for the provision of legal services for the department of	
23	regulatory agencies related to the implementation of this act. Said sum is	
24	from reappropriated funds received from the department of regulatory	
25	agencies out of the appropriation made in paragraph (d) of subsection (1)	
26	of this section.	
27	(3) In addition to any other appropriation, there is hereby	

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1 appropriated to the governor - lieutenant governor - state planning and 2 budgeting, for the fiscal year beginning July 1, 2012, the sum of \$1,184, 3 or so much thereof as may be necessary, for allocation to the office of 4 information technology, for the provision of computer center services for 5 the department of regulatory agencies related to the implementation of 6 this act. Said sum is from reappropriated funds received from the 7 department of regulatory agencies out of the appropriation made in 8 paragraph (e) of subsection (1) of this section.

9 **SECTION 5.** Act subject to petition - effective date. This act 10 takes effect at 12:01 a.m. on the day following the expiration of the 11 ninety-day period after final adjournment of the general assembly (August 12 8, 2012, if adjournment sine die is on May 9, 2012); except that, if a 13 referendum petition is filed pursuant to section 1 (3) of article V of the 14 state constitution against this act or an item, section, or part of this act 15 within such period, then the act, item, section, or part will not take effect 16 unless approved by the people at the general election to be held in 17 November 2012 and, in such case, will take effect on the date of the 18 official declaration of the vote thereon by the governor.