Second Regular Session Sixty-eighth General Assembly STATE OF COLORADO

REVISED

This Version Includes All Amendments Adopted on Second Reading in the Second House

LLS NO. 12-0023.01 Christy Chase x2008

HOUSE BILL 12-1303

HOUSE SPONSORSHIP

Schafer S., Hamner, Kerr J., Peniston, Summers, Young

SENATE SPONSORSHIP

Spence, Jahn

House Committees

Health and Environment Finance Appropriations

Senate Committees

Education Finance Appropriations

A BILL FOR AN ACT

101	CONCERNING THE REGULATION OF SPEECH-LANGUAGE PATHOLOGISTS
102	BY THE DEPARTMENT OF REGULATORY AGENCIES, AND, IN
103	CONNECTION THEREWITH, MAKING AN APPROPRIATION.

Bill Summary

(Note: This summary applies to this bill as introduced and does not reflect any amendments that may be subsequently adopted. If this bill passes third reading in the house of introduction, a bill summary that applies to the reengrossed version of this bill will be available at http://www.leg.state.co.us/billsummaries.)

On and after July 1, 2013, the bill requires speech-language pathologists to obtain a certification from the division of registrations (division) in the department of regulatory agencies in order to practice speech-language pathology in Colorado. The director of the division is

SENATE Am ended 2nd Reading M av 1 2012

HOUSE 3rd Reading Unam ended March 27, 2012

> Am ended 2nd Reading March 23, 2012

Shading denotes HOUSE amendment. <u>Double underlining denotes SENATE amendment.</u>

Capital letters indicate new material to be added to existing statute.

Dashes through the words indicate deletions from existing statute.

tasked with oversight, regulation, and discipline of speech-language pathologists. An exemption from the certification requirement is provided for speech-language pathologists who are licensed and speech-language pathology assistants who are authorized by the Colorado department of education and who provide speech-language pathology services that are paid for by an administrative unit or state-operated program, as those terms are defined in the "Exceptional Children's Educational Act".

1	Be it enacted by the General Assembly of the State of Colorado:
2	SECTION 1. In Colorado Revised Statutes, add article 43.5 to
3	title 12 as follows:
4	ARTICLE 43.5
5	Speech-language Pathologists
6	12-43.5-101. Short title. This article shall be known and
7	MAY BE CITED AS THE "SPEECH-LANGUAGE PATHOLOGY PRACTICE ACT".
8	12-43.5-102. Legislative declaration. (1) THE GENERAL
9	ASSEMBLY HEREBY FINDS, DETERMINES, AND DECLARES THAT:
10	(a) SPEECH-LANGUAGE PATHOLOGY SERVICES ARE PROVIDED FOR
11	THE PURPOSE OF IMPROVING THE ABILITIES OF THOSE WHO HAVE
12	CONGENITAL OR ACQUIRED SPEECH, LANGUAGE, COGNITIVE, FEEDING, AND
13	SWALLOWING DEFICITS;
14	(b) SPEECH-LANGUAGE PATHOLOGISTS PROVIDE SPECIFIC THERAPY
15	AND TREATMENTS THAT ARE RELATED TO THE EFFECTS OF MEDICAL OR
16	DENTAL DIAGNOSES OR CONGENITAL, GENETIC, OR DEVELOPMENTAL
17	CONDITIONS BUT DO NOT PROVIDE MEDICAL OR DENTAL PROCEDURES,
18	MEDICATIONS, OR INTERVENTIONS THAT CONSTITUTE THE PRACTICE OF
19	MEDICINE OR DENTISTRY;
20	(c) THE PROFESSIONAL ROLES AND ACTIVITIES IN
21	SPEECH-LANGUAGE PATHOLOGY INCLUDE CLINICAL AND EDUCATIONAL
22	SERVICES, WHICH INCLUDE EVALUATION, ASSESSMENT, PLANNING, AND

-2-

1	TREATMENT; PREVENTION AND ADVOCACY; EDUCATION; ADMINISTRATION;
2	AND RESEARCH;
3	(d) This article is necessary to safeguard public health,
4	SAFETY, AND WELFARE AND TO PROTECT THE PUBLIC FROM INCOMPETENT,
5	UNETHICAL, OR UNAUTHORIZED PERSONS.
6	(2) THE GENERAL ASSEMBLY FURTHER DETERMINES THAT IT IS THE
7	PURPOSE OF THIS ARTICLE TO:
8	(a) REGULATE PERSONS WHO ARE REPRESENTING OR HOLDING
9	THEMSELVES OUT AS SPEECH-LANGUAGE PATHOLOGISTS OR WHO ARE
10	PERFORMING SERVICES THAT CONSTITUTE SPEECH-LANGUAGE
11	PATHOLOGY; AND
12	(b) EXCLUDE FROM REGULATION UNDER THIS ARTICLE THOSE
13	SCHOOL SPEECH-LANGUAGE PATHOLOGISTS WHO ARE PAID SOLELY BY AN
14	ADMINISTRATIVE UNIT OR STATE-OPERATED PROGRAM.
15	12-43.5-103. Definitions. AS USED IN THIS ARTICLE, UNLESS THE
16	CONTEXT OTHERWISE REQUIRES:
17	(1) "ADMINISTRATIVE UNIT" HAS THE SAME MEANING AS SET
18	FORTH IN SECTION 22-20-103 (1), C.R.S.
19	
20	(2) "DEPARTMENT" MEANS THE DEPARTMENT OF REGULATORY
21	AGENCIES.
22	(3) "DIRECTOR" MEANS THE DIRECTOR OF THE DIVISION OF
23	REGISTRATIONS OR THE DIRECTOR'S DESIGNEE.
24	(4) "DIVISION" MEANS THE DIVISION OF REGISTRATIONS IN THE
25	DEPARTMENT CREATED IN SECTION 24-34-102, C.R.S.
26	(5) "SCHOOL SPEECH-LANGUAGE PATHOLOGIST" MEANS A PERSON
27	LICENSED BY THE DEPARTMENT OF EDUCATION TO PROVIDE

-3-

1	SPEECH-LANGUAGE PATHOLOGY SERVICES THAT ARE PAID FOR BY AN
2	ADMINISTRATIVE UNIT OR A STATE-OPERATED PROGRAM. "SCHOOL
3	SPEECH-LANGUAGE PATHOLOGIST" INCLUDES A SCHOOL
4	SPEECH-LANGUAGE PATHOLOGY ASSISTANT AUTHORIZED BY THE
5	DEPARTMENT OF EDUCATION PURSUANT TO SECTION 22-60.5-111 (10),
6	C.R.S., TO PROVIDE SPEECH-LANGUAGE PATHOLOGY SERVICES THAT ARE
7	PAID FOR BY AN ADMINISTRATIVE UNIT OR A STATE-OPERATED PROGRAM.
8	(6) "Speech-language pathologist" or "certificate
9	HOLDER" MEANS A PERSON CERTIFIED TO PRACTICE SPEECH-LANGUAGE
10	PATHOLOGY UNDER THIS ARTICLE.
11	
12	(7) (a) "SPEECH-LANGUAGE PATHOLOGY" MEANS THE APPLICATION
13	OF PRINCIPLES, METHODS, AND PROCEDURES RELATED TO THE
14	DEVELOPMENT, DISORDERS, AND EFFECTIVENESS OF HUMAN
15	COMMUNICATION AND RELATED FUNCTIONS, WHICH INCLUDES PROVIDING
16	PREVENTION, SCREENING, CONSULTATION, ASSESSMENT OR EVALUATION,
17	TREATMENT, INTERVENTION, MANAGEMENT, COUNSELING,
18	COLLABORATION, AND REFERRAL SERVICES FOR DISORDERS OF:
19	(I) SPEECH, SUCH AS SPEECH SOUND PRODUCTION, FLUENCY,
20	RESONANCE, AND VOICE;
21	(II) LANGUAGE, SUCH AS PHONOLOGY, MORPHOLOGY, SYNTAX,
22	SEMANTICS, PRAGMATIC AND SOCIAL COMMUNICATION SKILLS, AND
23	LITERACY SKILLS;
24	(III) FEEDING AND SWALLOWING; AND
25	(IV) COGNITIVE ASPECTS OF COMMUNICATION, SUCH AS
26	ATTENTION, MEMORY, EXECUTIVE FUNCTIONING, AND PROBLEM SOLVING.
27	(b) "Speech-language pathology" also includes

-4- 1303

1	ESTABLISHING AUGMENTATIVE AND ALTERNATIVE COMMUNICATION
2	TECHNIQUES AND STRATEGIES, INCLUDING THE FOLLOWING:
3	$(I)\ Developing, selecting, and prescribing augmentative or$
4	ALTERNATIVE COMMUNICATION SYSTEMS AND DEVICES, SUCH AS SPEECH
5	GENERATING DEVICES;
6	(II) PROVIDING SERVICES TO INDIVIDUALS WITH HEARING LOSS
7	AND THEIR FAMILIES, SUCH AS AUDITORY TRAINING, SPEECH READING, OR
8	SPEECH AND LANGUAGE INTERVENTION SECONDARY TO HEARING LOSS;
9	(III) SCREENING INDIVIDUALS FOR HEARING LOSS OR MIDDLE EAR
10	PATHOLOGY USING CONVENTIONAL PURE-TONE AIR CONDUCTION
11	METHODS, INCLUDING OTOSCOPIC INSPECTION; OTOACOUSTIC EMISSIONS;
12	OR SCREENING TYMPANOMETRY;
13	(IV) USING INSTRUMENTATION SUCH AS VIDEOFLUROSCOPY,
14	ENDOSCOPY, OR STROBOSCOPY TO OBSERVE, COLLECT DATA, AND
15	MEASURE PARAMETERS OF COMMUNICATION AND SWALLOWING;
16	(V) SELECTING, FITTING, AND ESTABLISHING EFFECTIVE USE OF
17	PROSTHETIC OR ADAPTIVE DEVICES FOR COMMUNICATION, SWALLOWING,
18	OR OTHER UPPER AERODIGESTIVE FUNCTIONS, NOT INCLUDING SENSORY
19	DEVICES USED BY INDIVIDUALS WITH HEARING LOSS OR THE ORTHODONTIC
20	MOVEMENT OF TEETH FOR THE PURPOSE OF CORRECTION OF SPEECH
21	PATHOLOGY CONDITIONS; AND
22	(VI) PROVIDING SERVICES TO MODIFY OR ENHANCE
23	COMMUNICATION PERFORMANCE, SUCH AS ACCENT MODIFICATION AND
24	PERSONAL OR PROFESSIONAL COMMUNICATION EFFICACY.
25	(8) "STATE-OPERATED PROGRAM" HAS THE SAME MEANING AS SET
26	FORTH IN SECTION 22-20-103 (28), C.R.S.
27	12-43.5-104. Use of titles restricted. (1) ONLY A PERSON

-5- 1303

1	REQUIRED TO BE AND WHO IS CERTIFIED AS A SPEECH-LANGUAGE
2	PATHOLOGIST UNDER THIS ARTICLE OR LICENSED BY THE COLORADO
3	DEPARTMENT OF EDUCATION TO PROVIDE SPEECH-LANGUAGE PATHOLOGY
4	SERVICES MAY ADVERTISE AS OR USE THE TITLE "SPEECH-LANGUAGE
5	PATHOLOGIST", "SPEECH PATHOLOGIST", "SPEECH THERAPIST", "SPEECH
6	CORRECTIONIST", "SPEECH CLINICIAN", "LANGUAGE PATHOLOGIST",
7	"VOICE THERAPIST", "VOICE PATHOLOGIST", "APHASIOLOGIST", OR ANY
8	OTHER GENERALLY ACCEPTED TERMS, LETTERS, OR FIGURES THAT
9	INDICATE THAT THE PERSON IS A CERTIFIED SPEECH-LANGUAGE
10	PATHOLOGIST.
11	(2) FOR A CERTIFICATE HOLDER WHO HAS SUCCESSFULLY
12	COMPLETED A DOCTORAL DEGREE IN COMMUNICATION SCIENCES AND
13	DISORDERS AS DESCRIBED IN SECTION 12-43.5-106 (1) (a), A
14	CERTIFICATION TO PRACTICE SPEECH-LANGUAGE PATHOLOGY ISSUED
15	PURSUANT TO THIS ARTICLE ENTITLES THE CERTIFICATE HOLDER TO USE
16	THE TITLE "DOCTOR" OR "DR." WHEN ACCOMPANIED BY THE TERMS
17	"SPEECH-LANGUAGE PATHOLOGY" OR THE LETTERS "S.L.P.".
18	12-43.5-105. Certification required - exception. (1) EXCEPT AS
19	OTHERWISE PROVIDED IN THIS ARTICLE, ON AND AFTER JULY 1, 2013, A
20	PERSON SHALL NOT PRACTICE SPEECH-LANGUAGE PATHOLOGY OR
21	REPRESENT OR HOLD HIMSELF OR HERSELF OUT AS BEING ABLE TO
22	PRACTICE SPEECH-LANGUAGE PATHOLOGY IN THIS STATE WITHOUT
23	POSSESSING A VALID CERTIFICATION ISSUED BY THE DIRECTOR IN

26 (2) A PERSON DESCRIBED IN SECTION 12-43.5-108 (1) IS NOT REQUIRED TO OBTAIN CERTIFICATION UNDER THIS ARTICLE.

ACCORDANCE WITH THIS ARTICLE AND ANY RULES ADOPTED UNDER THIS

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ARTICLE.

-6- 1303

1	12-43.5-106. Certification - application - qualifications -
2	$\textbf{provisional certification - renewal - fees - rules.} \ (1) \ \textbf{Educational and}$
3	experiential requirements. Every applicant for a certification as
4	A SPEECH-LANGUAGE PATHOLOGIST MUST HAVE:
5	(a) SUCCESSFULLY COMPLETED A MASTER'S OR HIGHER DEGREE IN
6	COMMUNICATION SCIENCES AND DISORDERS GRANTED BY AN ACCREDITED
7	INSTITUTION OF HIGHER EDUCATION RECOGNIZED BY THE UNITED STATES
8	DEPARTMENT OF EDUCATION;
9	(b) SUCCESSFULLY COMPLETED A SPEECH-LANGUAGE PATHOLOGY
10	CLINICAL FELLOWSHIP APPROVED BY THE DIRECTOR, AS DOCUMENTED BY
11	THE SUPERVISING CLINICIAN OR A NATIONAL CERTIFYING BODY APPROVED
12	BY THE DIRECTOR; AND
13	(c) Passed the national examination adopted by the
14	AMERICAN SPEECH-LANGUAGE-HEARING ASSOCIATION OR ITS SUCCESSOR
15	ASSOCIATION OR ANY OTHER EXAMINATION APPROVED BY THE DIRECTOR.
16	(2) Application. When an applicant has fulfilled the
17	REQUIREMENTS OF SUBSECTION (1) OF THIS SECTION, THE APPLICANT MAY
18	APPLY FOR CERTIFICATION IN THE MANNER REQUIRED BY THE DIRECTOR.
19	THE APPLICANT SHALL SUBMIT AN APPLICATION FEE WITH HIS OR HER
20	APPLICATION IN AN AMOUNT DETERMINED BY THE DIRECTOR.
21	ADDITIONALLY, IF THE APPLICANT WILL PROVIDE SPEECH-LANGUAGE
22	PATHOLOGY SERVICES TO PATIENTS, THE APPLICANT SHALL SUBMIT TO THE
23	DIRECTOR PROOF THAT THE APPLICANT HAS PURCHASED AND IS
24	MAINTAINING OR IS COVERED BY PROFESSIONAL LIABILITY INSURANCE IN
25	AN AMOUNT DETERMINED BY THE DIRECTOR BY RULE.
26	(3) Certification. (a) EXCEPT AS PROVIDED IN PARAGRAPH (b) OF
27	THIS SUBSECTION (3) WHEN AN ADDITION HAS FILLED THE

-7- 1303

1	REQUIREMENTS OF SUBSECTIONS (1) AND (2) OF THIS SECTION, THE
2	DIRECTOR SHALL ISSUE A CERTIFICATION TO THE APPLICANT.
3	(b) THE DIRECTOR MAY DENY A CERTIFICATION IF THE APPLICANT
4	HAS COMMITTED ANY ACT THAT WOULD BE GROUNDS FOR DISCIPLINARY
5	ACTION UNDER SECTION 12-43.5-110.
6	(4) Certification by endorsement. (a) AN APPLICANT FOR
7	CERTIFICATION BY ENDORSEMENT SHALL FILE AN APPLICATION AND PAY
8	A FEE AS DETERMINED BY THE DIRECTOR AND SHALL HOLD A CURRENT,
9	VALID LICENSE OR CERTIFICATION IN A JURISDICTION THAT REQUIRES
10	QUALIFICATIONS SUBSTANTIALLY EQUIVALENT TO THOSE REQUIRED FOR
11	CERTIFICATION BY SUBSECTION (1) OF THIS SECTION.
12	(b) AN APPLICANT FOR CERTIFICATION BY ENDORSEMENT SHALL
13	SUBMIT WITH THE APPLICATION VERIFICATION THAT THE APPLICANT HAS
14	ACTIVELY PRACTICED FOR A PERIOD OF TIME DETERMINED BY RULES OF
15	THE DIRECTOR OR OTHERWISE MAINTAINED COMPETENCY AS
16	DETERMINED BY THE DIRECTOR. ADDITIONALLY, IF THE APPLICANT WILL
17	PROVIDE SPEECH-LANGUAGE PATHOLOGY SERVICES TO PATIENTS, THE
18	APPLICANT SHALL SUBMIT TO THE DIRECTOR PROOF THAT THE APPLICANT
19	HAS PURCHASED AND IS MAINTAINING OR IS COVERED BY PROFESSIONAL
20	LIABILITY INSURANCE IN AN AMOUNT DETERMINED BY THE DIRECTOR BY
21	RULE.
22	(c) UPON RECEIPT OF ALL DOCUMENTS REQUIRED BY PARAGRAPHS
23	(a) AND (b) OF THIS SUBSECTION (4), THE DIRECTOR SHALL REVIEW THE
24	APPLICATION AND MAKE A DETERMINATION OF THE APPLICANT'S
25	QUALIFICATION TO BE CERTIFIED BY ENDORSEMENT.
26	(d) The director may deny the certification by
27	ENDORSEMENT IF THE APPLICANT HAS COMMITTED AN ACT THAT WOULD

-8- 1303

1 BE GROUNDS FOR DISCIPLINARY ACTION UNDER SECTION 12-43.5-110.

(5) **Certification renewal.** (a) A CERTIFICATE HOLDER SHALL RENEW THE CERTIFICATION ISSUED UNDER THIS ARTICLE ACCORDING TO A SCHEDULE OF RENEWAL DATES ESTABLISHED BY THE DIRECTOR. THE CERTIFICATE HOLDER SHALL SUBMIT AN APPLICATION IN THE MANNER REQUIRED BY THE DIRECTOR AND SHALL PAY A RENEWAL FEE IN AN AMOUNT DETERMINED BY THE DIRECTOR.

8 (b) CERTIFICATIONS SHALL BE RENEWED OR REINSTATED IN
9 ACCORDANCE WITH THE SCHEDULE ESTABLISHED BY THE DIRECTOR, AND

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THE RENEWAL OR REINSTATEMENT SHALL BE GRANTED PURSUANT TO SECTION 24-34-102 (8), C.R.S. THE DIRECTOR MAY ESTABLISH RENEWAL

12 FEES AND DELINQUENCY FEES FOR REINSTATEMENT PURSUANT TO SECTION

13 24-34-105, C.R.S. If a certificate holder fails to renew his or her

CERTIFICATION PURSUANT TO THE SCHEDULE ESTABLISHED BY THE

DIRECTOR, THE CERTIFICATION EXPIRES. ANY PERSON WHOSE

16 CERTIFICATION HAS EXPIRED AND WHO CONTINUES TO PRACTICE

17 SPEECH-LANGUAGE PATHOLOGY IS SUBJECT TO THE PENALTIES PROVIDED

18 IN THIS ARTICLE OR SECTION 24-34-102 (8), C.R.S., FOR REINSTATEMENT.

(6) **Fees.** (a) The director shall establish and collect fees under this article pursuant to section 24-34-105, C.R.S., and shall base the fees charged to speech-language pathologists certified under this article on the cost to administer the program divided by the total number of speech-language pathologists, as required by section 24-34-105, C.R.S. All fees collected under this article shall be determined, collected, and appropriated in the same manner as set forth in section 24-34-105,

27 C.R.S., AND PERIODICALLY ADJUSTED IN ACCORDANCE WITH SECTION

-9- 1303

1	24-75-402, C.R.S.
2	(b) EXCEPT AS OTHERWISE PROVIDED IN THIS ARTICLE, THE
3	DIVISION SHALL TRANSMIT ALL FEES COLLECTED PURSUANT TO THIS
4	ARTICLE TO THE STATE TREASURER, WHO SHALL CREDIT THE FEES TO THE
5	DIVISION OF REGISTRATIONS CASH FUND CREATED PURSUANT TO SECTION
6	24-34-105 (2) (b), C.R.S. THE GENERAL ASSEMBLY SHALL MAKE ANNUAL
7	APPROPRIATIONS FROM THE DIVISION OF REGISTRATIONS CASH FUND FOR
8	EXPENDITURES OF THE DIVISION INCURRED IN THE PERFORMANCE OF ITS
9	DUTIES UNDER THIS ARTICLE.
10	12-43.5-107. Continuing professional competency - rules.
11	(1)(a) A SPEECH-LANGUAGE PATHOLOGIST SHALL MAINTAIN CONTINUING
12	PROFESSIONAL COMPETENCY TO PRACTICE.
13	(b) THE DIRECTOR SHALL ESTABLISH A CONTINUING PROFESSIONAL
14	COMPETENCY PROGRAM THAT INCLUDES, AT A MINIMUM, THE FOLLOWING
15	ELEMENTS:
16	(I) A SELF-ASSESSMENT OF THE KNOWLEDGE AND SKILLS OF A
17	SPEECH-LANGUAGE PATHOLOGIST SEEKING TO RENEW OR REINSTATE A
18	CERTIFICATION;
19	(II) DEVELOPMENT, EXECUTION, AND DOCUMENTATION OF A
20	LEARNING PLAN BASED ON THE ASSESSMENT; AND
21	(III) PERIODIC DEMONSTRATION OF KNOWLEDGE AND SKILLS
22	THROUGH DOCUMENTATION OF ACTIVITIES NECESSARY TO ENSURE AT
23	LEAST MINIMAL ABILITY TO SAFELY PRACTICE THE PROFESSION; EXCEPT
24	THAT A SPEECH-LANGUAGE PATHOLOGIST CERTIFIED PURSUANT TO THIS
25	ARTICLE NEED NOT RETAKE ANY EXAMINATION REQUIRED BY SECTION
26	12-43.5-106 FOR INITIAL CERTIFICATION.
27	(2) THE DIRECTOR SHALL ESTABLISH THAT A SPEECH-LANGUAGE

-10-

1	PATHOLOGIST SATISFIES THE CONTINUING COMPETENCY REQUIREMENTS
2	OF THIS SECTION IF THE SPEECH-LANGUAGE PATHOLOGIST MEETS THE
3	CONTINUING PROFESSIONAL COMPETENCY REQUIREMENTS OF ONE OF THE
4	FOLLOWING ENTITIES:
5	
6	(a) AN ACCREDITING BODY APPROVED BY THE DIRECTOR; OR
7	(b) AN ENTITY APPROVED BY THE DIRECTOR.
8	(3) (a) AFTER THE PROGRAM IS ESTABLISHED, A
9	SPEECH-LANGUAGE PATHOLOGIST SHALL SATISFY THE REQUIREMENTS OF
10	THE PROGRAM IN ORDER TO RENEW OR REINSTATE A CERTIFICATION TO
11	PRACTICE SPEECH-LANGUAGE PATHOLOGY.
12	(b) THE REQUIREMENTS OF THIS SECTION APPLY TO INDIVIDUAL
13	SPEECH-LANGUAGE PATHOLOGISTS, AND NOTHING IN THIS SECTION
14	REQUIRES A PERSON WHO EMPLOYS OR CONTRACTS WITH A
15	SPEECH-LANGUAGE PATHOLOGIST TO COMPLY WITH THIS SECTION.
16	(4) RECORDS OF ASSESSMENTS OR OTHER DOCUMENTATION
17	DEVELOPED OR SUBMITTED IN CONNECTION WITH THE CONTINUING
18	PROFESSIONAL COMPETENCY PROGRAM ARE CONFIDENTIAL AND NOT
19	SUBJECT TO INSPECTION BY THE PUBLIC OR DISCOVERY IN CONNECTION
20	WITH A CIVIL ACTION AGAINST A SPEECH-LANGUAGE PATHOLOGIST OR
21	OTHER PROFESSIONAL REGULATED UNDER THIS TITLE. A PERSON OR THE
22	DIRECTOR SHALL NOT USE THE RECORDS OR DOCUMENTS UNLESS USED BY
23	THE DIRECTOR TO DETERMINE WHETHER A SPEECH-LANGUAGE
24	PATHOLOGIST IS MAINTAINING CONTINUING PROFESSIONAL COMPETENCY
25	TO ENGAGE IN THE PROFESSION.
26	(5) As used in this section, "continuing professional
77	COMPETENCY" MEANS THE ONGOING ARII ITY OF A SPEECH ANGUAGE

-11-

1	PATHOLOGIST TO LEARN, INTEGRATE, AND APPLY THE KNOWLEDGE, SKILL,
2	AND JUDGMENT TO PRACTICE AS A SPEECH-LANGUAGE PATHOLOGIST
3	ACCORDING TO GENERALLY ACCEPTED STANDARDS AND PROFESSIONAL
4	ETHICAL STANDARDS.
5	12-43.5-108. Scope of article - exclusions. (1) This article
6	DOES NOT PREVENT OR RESTRICT THE PRACTICE, SERVICES, OR ACTIVITIES
7	OF:
8	(a) A SCHOOL SPEECH-LANGUAGE PATHOLOGIST WHOSE
9	COMPENSATION FOR SPEECH-LANGUAGE PATHOLOGY SERVICES IS PAID
10	SOLELY BY AN ADMINISTRATIVE UNIT OR STATE-OPERATED PROGRAM;
11	(b) A PERSON LICENSED OR OTHERWISE REGULATED IN THIS STATE
12	BY ANY OTHER LAW FROM ENGAGING IN HIS OR HER PROFESSION OR
13	OCCUPATION AS DEFINED IN THE LAW UNDER WHICH HE OR SHE IS
14	REGULATED;
15	(c) A PERSON PURSUING A COURSE OF STUDY LEADING TO A
16	DEGREE IN SPEECH-LANGUAGE PATHOLOGY AT AN EDUCATIONAL
17	INSTITUTION WITH AN ACCREDITED SPEECH-LANGUAGE PATHOLOGY
18	PROGRAM IF THAT PERSON IS DESIGNATED BY A TITLE THAT CLEARLY
19	INDICATES HIS OR HER STATUS AS A STUDENT AND IF HE OR SHE ACTS
20	UNDER APPROPRIATE INSTRUCTION AND SUPERVISION;
21	(d) A PERSON PARTICIPATING IN GOOD FAITH IN A CLINICAL
22	FELLOWSHIP IF THE EXPERIENCE CONSTITUTES A PART OF THE EXPERIENCE
23	NECESSARY TO MEET THE REQUIREMENT OF SECTION 12-43.5-106(1) AND
24	THE PERSON ACTS UNDER APPROPRIATE SUPERVISION; OR
25	(e) ANY LEGALLY QUALIFIED SPEECH-LANGUAGE PATHOLOGIST
26	FROM ANOTHER STATE OR COUNTRY WHEN PROVIDING SERVICES ON
27	BEHALF OF A TEMPORARILY ABSENT SPEECH-LANGUAGE PATHOLOGIST

-12-

1	CERTIFIED IN THIS STATE, SO LONG AS THE UNCERTIFIED
2	SPEECH-LANGUAGE PATHOLOGIST IS ACTING IN ACCORDANCE WITH RULES
3	ADOPTED BY THE DIRECTOR. THE UNCERTIFIED PRACTICE MUST NOT
4	OCCUR MORE THAN ONCE IN ANY TWELVE-MONTH PERIOD.
5	(2) NOTHING IN THIS ARTICLE REQUIRES OR ALLOWS THE
6	DEPARTMENT OF EDUCATION, THE DEPARTMENT OF HEALTH CARE POLICY
7	AND FINANCING, OR ANY OTHER STATE DEPARTMENT TO ADOPT OR APPLY
8	THE STANDARDS CONTAINED IN THIS ARTICLE:
9	(a) As the standards for endorsing or otherwise
10	AUTHORIZING SCHOOL SPEECH-LANGUAGE PATHOLOGISTS TO PROVIDE
11	SPEECH-LANGUAGE PATHOLOGY SERVICES THAT ARE PAID FOR BY AN
12	ADMINISTRATIVE UNIT OR STATE-OPERATED PROGRAM; OR
13	(b) FOR PURPOSES OF DETERMINING WHETHER MEDICAID
14	REIMBURSEMENT MAY BE OBTAINED FOR SPEECH-LANGUAGE PATHOLOGY
15	SERVICES.
16	(3) NOTHING IN THIS ARTICLE REQUIRES A PROFESSIONAL
17	LICENSED, CERTIFIED, REGISTERED, OR OTHERWISE REGULATED UNDER
18	This title or title $\overline{22}$, $\overline{C.R.S.}$, to obtain certification under this
19	ARTICLE, OR SUBJECTS THE PROFESSIONAL TO DISCIPLINE UNDER THIS
20	ARTICLE, FOR ENGAGING IN ACTIVITIES THAT ARE WITHIN HIS OR HER
21	PROFESSIONAL SCOPE OF PRACTICE.
22	12-43.5-109. Limitations on authority. NOTHING IN THIS
23	ARTICLE AUTHORIZES A SPEECH-LANGUAGE PATHOLOGIST TO ENGAGE IN
24	THE PRACTICE OF MEDICINE, AS DEFINED IN SECTION 12-36-106,
25	DENTISTRY, AS DEFINED IN SECTIONS 12-35-103 (5) AND 12-35-113, OR
26	ANY OTHER PROFESSION FOR WHICH LICENSURE, CERTIFICATION, OR
27	REGISTRATION IS REQUIRED BY THIS ARTICLE.

-13-

1	12-43.5-110. Grounds for discipline. (1) The director may
2	TAKE DISCIPLINARY ACTION AGAINST A CERTIFICATE HOLDER PURSUANT
3	TO SECTION $12-43.5-111$ if the director finds that the certificate
4	HOLDER HAS REPRESENTED OR HELD HIMSELF OR HERSELF OUT AS A
5	CERTIFIED SPEECH-LANGUAGE PATHOLOGIST AFTER THE EXPIRATION,
6	SUSPENSION, OR REVOCATION OF HIS OR HER CERTIFICATION.
7	(2) The director may revoke, suspend, or deny a
8	CERTIFICATION, PLACE A CERTIFICATE HOLDER ON PROBATION, ISSUE A
9	LETTER OF ADMONITION OR A CONFIDENTIAL LETTER OF CONCERN, IMPOSE
10	A FINE AGAINST A CERTIFICATE HOLDER, OR ISSUE A CEASE-AND-DESIST
11	ORDER TO A CERTIFICATE HOLDER IN ACCORDANCE WITH SECTION
12	12-43.5-111 UPON PROOF THAT THE CERTIFICATE HOLDER:
13	(a) HAS ENGAGED IN A SEXUAL ACT WITH A PERSON RECEIVING
14	SERVICES WHILE A THERAPEUTIC RELATIONSHIP EXISTED OR WITHIN SIX
15	MONTHS IMMEDIATELY FOLLOWING TERMINATION OF THE THERAPEUTIC
16	RELATIONSHIP IN WRITING. FOR THE PURPOSES OF THIS PARAGRAPH (a):
17	(I) "SEXUAL ACT" MEANS SEXUAL CONTACT, SEXUAL INTRUSION,
18	OR SEXUAL PENETRATION, AS DEFINED IN SECTION 18-3-401, C.R.S.
19	$(II) \ "Therapeutic relationship" \text{means the period beginning} \\$
20	WITH THE INITIAL EVALUATION AND ENDING UPON THE WRITTEN
21	TERMINATION OF TREATMENT.
22	(b) Has falsified information in an application or has
23	ATTEMPTED TO OBTAIN OR HAS OBTAINED A CERTIFICATION BY FRAUD,
24	DECEPTION, OR MISREPRESENTATION;
25	(c) EXCESSIVELY OR HABITUALLY USES OR ABUSES ALCOHOL OR
26	HABIT-FORMING DRUGS OR HABITUALLY USES A CONTROLLED SUBSTANCE,
27	AS DEFINED IN SECTION 18-18-102, C.R.S., OR OTHER DRUGS HAVING

-14-

1	SIMILAR EFFECTS; EXCEPT THAT THE DIRECTOR HAS THE DISCRETION NOT
2	TO DISCIPLINE THE CERTIFICATE HOLDER IF HE OR SHE IS PARTICIPATING IN
3	GOOD FAITH IN A PROGRAM APPROVED BY THE DIRECTOR DESIGNED TO END
4	THE USE OR ABUSE;
5	(d) (I) FAILED TO NOTIFY THE DIRECTOR, AS REQUIRED BY SECTION
6	12-43.5-115, OF A PHYSICAL OR MENTAL ILLNESS OR CONDITION THAT
7	IMPACTS THE SPEECH-LANGUAGE PATHOLOGIST'S ABILITY TO PERFORM
8	SPEECH-LANGUAGE PATHOLOGY WITH REASONABLE SKILL AND SAFETY TO
9	PATIENTS;
10	(II) FAILED TO ACT WITHIN THE LIMITATIONS CREATED BY A
11	PHYSICAL OR MENTAL ILLNESS OR CONDITION THAT RENDERS THE
12	CERTIFICATE HOLDER UNABLE TO PERFORM SPEECH-LANGUAGE
13	PATHOLOGY WITH REASONABLE SKILL AND SAFETY TO THE PATIENT; OR
14	(III) FAILED TO COMPLY WITH THE LIMITATIONS AGREED TO UNDER
15	A CONFIDENTIAL AGREEMENT ENTERED PURSUANT TO SECTION
16	12-43.5-115;
17	(e) HAS VIOLATED THIS ARTICLE OR AIDED OR ABETTED OR
18	KNOWINGLY PERMITTED ANY PERSON TO VIOLATE THIS ARTICLE, A RULE
19	ADOPTED UNDER THIS ARTICLE, OR ANY LAWFUL ORDER OF THE DIRECTOR;
20	(f) HAS FAILED TO RESPOND TO A REQUEST OR ORDER OF THE
21	DIRECTOR;
22	(g) HAS BEEN CONVICTED OF OR PLED GUILTY OR NOLO
23	CONTENDERE TO A FELONY OR ANY CRIME RELATED TO THE CERTIFICATE
24	HOLDER'S PRACTICE OF SPEECH-LANGUAGE PATHOLOGY, OR HAS
25	COMMITTED AN ACT SPECIFIED IN SECTION 12-43.5-112. A CERTIFIED COPY
26	OF THE JUDGMENT OF A COURT OF COMPETENT JURISDICTION OF THE
27	CONVICTION OR PLEA IS CONCLUSIVE EVIDENCE OF THE CONVICTION OR

-15- 1303

1	PLEA. IN CONSIDERING THE DISCIPLINARY ACTION, THE DIRECTOR IS
2	GOVERNED BY SECTION 24-5-101, C.R.S.
3	(h) HAS FRAUDULENTLY OBTAINED, FURNISHED, OR SOLD ANY
4	SPEECH-LANGUAGE PATHOLOGY DIPLOMA, CERTIFICATE, CERTIFICATION,
5	RENEWAL OF CERTIFICATION, OR RECORD OR AIDED OR ABETTED SUCH
6	ACT;
7	(i) HAS FAILED TO NOTIFY THE DIRECTOR OF THE SUSPENSION OR
8	REVOCATION OF THE PERSON'S PAST OR CURRENTLY HELD LICENSE,
9	CERTIFICATE, OR CERTIFICATION REQUIRED TO PRACTICE
10	SPEECH-LANGUAGE PATHOLOGY IN THIS OR ANY OTHER JURISDICTION;
11	(j) Has failed to respond in an honest, materially
12	RESPONSIVE, AND TIMELY MANNER TO A COMPLAINT AGAINST THE
13	CERTIFICATE HOLDER;
14	(k) HAS RESORTED TO FRAUD, MISREPRESENTATION, OR DECEPTION
15	IN APPLYING FOR, SECURING, RENEWING, OR SEEKING REINSTATEMENT OF
16	A CERTIFICATION IN THIS OR ANY OTHER STATE, IN APPLYING FOR
17	PROFESSIONAL LIABILITY COVERAGE, OR IN TAKING THE EXAMINATION
18	REQUIRED BY THIS ARTICLE;
19	(l) Has failed to refer a patient to the appropriate
20	LICENSED, CERTIFIED, OR REGISTERED HEALTH CARE PROFESSIONAL WHEN
21	THE SERVICES REQUIRED BY THE PATIENT ARE BEYOND THE LEVEL OF
22	COMPETENCE OF THE SPEECH-LANGUAGE PATHOLOGIST OR BEYOND THE
23	SCOPE OF SPEECH-LANGUAGE PATHOLOGY PRACTICE;
24	(m) Has refused to submit to a physical or mental
25	EXAMINATION WHEN ORDERED BY THE DIRECTOR PURSUANT TO SECTION
26	12-43.5-114;
27	(n) Has failed to maintain or is not covered by

-16-

1	PROFESSIONAL LIABILITY INSURANCE AS REQUIRED BY SECTION
2	12-43.5-106(2) or (4) in the amount determined by the director by
3	RULE;
4	(o) HAS WILLFULLY OR NEGLIGENTLY ACTED IN A MANNER
5	INCONSISTENT WITH THE HEALTH OR SAFETY OF PERSONS UNDER HIS OR
6	HER CARE;
7	(p) HAS NEGLIGENTLY OR WILLFULLY PRACTICED
8	SPEECH-LANGUAGE PATHOLOGY IN A MANNER THAT FAILS TO MEET
9	GENERALLY ACCEPTED STANDARDS FOR SPEECH-LANGUAGE PATHOLOGY
10	PRACTICE;
11	(q) HAS FAILED TO MAKE ESSENTIAL ENTRIES ON PATIENT RECORDS
12	OR FALSIFIED OR MADE INCORRECT ENTRIES OF AN ESSENTIAL NATURE ON
13	PATIENT RECORDS; OR
14	(r) HAS OTHERWISE VIOLATED ANY PROVISION OF THIS ARTICLE OR
15	LAWFUL ORDER OR RULE OF THE DIRECTOR.
16	(3) EXCEPT AS OTHERWISE PROVIDED IN SUBSECTION (2) OF THIS
17	SECTION, THE DIRECTOR NEED NOT FIND THAT THE ACTIONS THAT ARE
18	GROUNDS FOR DISCIPLINE WERE WILLFUL BUT MAY CONSIDER WHETHER
19	THE ACTIONS WERE WILLFUL WHEN DETERMINING THE NATURE OF
20	DISCIPLINARY SANCTIONS TO IMPOSE.
21	12-43.5-111. Disciplinary actions - judicial review. (1) (a) THE
22	DIRECTOR MAY COMMENCE A PROCEEDING TO DISCIPLINE A CERTIFICATE
23	HOLDER WHEN THE DIRECTOR HAS REASONABLE GROUNDS TO BELIEVE
24	THAT THE CERTIFICATE HOLDER HAS COMMITTED AN ACT ENUMERATED IN
25	SECTION 12-43.5-110 OR HAS VIOLATED A LAWFUL ORDER OR RULE OF THE
26	DIRECTOR.
27	(b) IN ANY PROCEEDING UNDER THIS SECTION, THE DIRECTOR MAY

-17-

1	ACCEPT AS EVIDENCE OF GROUNDS FOR DISCIPLINARY ACTION ANY
2	DISCIPLINARY ACTION TAKEN AGAINST A CERTIFICATE HOLDER IN
3	ANOTHER JURISDICTION IF THE VIOLATION THAT PROMPTED THE
4	DISCIPLINARY ACTION IN THE OTHER JURISDICTION WOULD BE GROUNDS
5	FOR DISCIPLINARY ACTION UNDER THIS ARTICLE.
6	(2) THE DIRECTOR SHALL CONDUCT DISCIPLINARY PROCEEDINGS
7	IN ACCORDANCE WITH ARTICLE 4 OF TITLE 24, C.R.S., AND THE DIRECTOR
8	OR AN ADMINISTRATIVE LAW JUDGE, AS DETERMINED BY THE DIRECTOR,
9	SHALL CONDUCT THE HEARING AND OPPORTUNITY FOR REVIEW PURSUANT
10	TO THAT ARTICLE. THE DIRECTOR MAY EXERCISE ALL POWERS AND DUTIES
11	CONFERRED BY THIS ARTICLE DURING THE DISCIPLINARY PROCEEDINGS.
12	(3) (a) THE DIRECTOR MAY REQUEST THE ATTORNEY GENERAL TO
13	SEEK AN INJUNCTION, IN ANY COURT OF COMPETENT JURISDICTION, TO
14	ENJOIN A PERSON FROM COMMITTING AN ACT PROHIBITED BY THIS
15	ARTICLE. WHEN SEEKING AN INJUNCTION UNDER THIS PARAGRAPH (a) , THE
16	ATTORNEY GENERAL IS NOT REQUIRED TO ALLEGE OR PROVE THE
17	INADEQUACY OF ANY REMEDY AT LAW OR THAT SUBSTANTIAL OR
18	IRREPARABLE DAMAGE IS LIKELY TO RESULT FROM A CONTINUED

(b) (I) IN ACCORDANCE WITH ARTICLE 4 OF TITLE 24, C.R.S., AND THIS ARTICLE, THE DIRECTOR MAY INVESTIGATE, HOLD HEARINGS, AND GATHER EVIDENCE IN ALL MATTERS RELATED TO THE EXERCISE AND PERFORMANCE OF THE POWERS AND DUTIES OF THE DIRECTOR.

VIOLATION OF THIS ARTICLE.

(II) IN ORDER TO AID THE DIRECTOR IN ANY HEARING OR INVESTIGATION INSTITUTED PURSUANT TO THIS SECTION, THE DIRECTOR OR AN ADMINISTRATIVE LAW JUDGE APPOINTED PURSUANT TO PARAGRAPH (c) OF THIS SUBSECTION (3) MAY ADMINISTER OATHS, TAKE AFFIRMATIONS OF

-18-

1	WITNESSES, AND ISSUE SUBPOENAS COMPELLING THE ATTENDANCE OF
2	WITNESSES AND THE PRODUCTION OF ALL RELEVANT RECORDS, PAPERS,
3	BOOKS, DOCUMENTARY EVIDENCE, AND MATERIALS IN ANY HEARING,
4	INVESTIGATION, ACCUSATION, OR OTHER MATTER BEFORE THE DIRECTOR
5	OR AN ADMINISTRATIVE LAW JUDGE.
6	(III) UPON FAILURE OF ANY WITNESS OR CERTIFICATE HOLDER TO
7	COMPLY WITH A SUBPOENA OR PROCESS AND UPON APPLICATION BY THE
8	DIRECTOR WITH NOTICE TO THE SUBPOENAED PERSON OR CERTIFICATE
9	HOLDER, THE DISTRICT COURT OF THE COUNTY IN WHICH THE SUBPOENAED
10	PERSON OR CERTIFICATE HOLDER RESIDES OR CONDUCTS BUSINESS MAY
11	ISSUE AN ORDER REQUIRING THE PERSON OR CERTIFICATE HOLDER TO
12	APPEAR BEFORE THE DIRECTOR; TO PRODUCE THE RELEVANT PAPERS,
13	BOOKS, RECORDS, DOCUMENTARY EVIDENCE, OR MATERIALS; OR TO GIVE
14	$\label{thm:evidence} \mbox{EVIDENCE TOUCHING THE MATTER UNDER INVESTIGATION OR IN QUESTION.}$
15	IF THE PERSON OR CERTIFICATE HOLDER FAILS TO OBEY THE ORDER OF THE
16	COURT, THE DISTRICT COURT MAY HOLD THE PERSON OR CERTIFICATE
17	HOLDER IN CONTEMPT OF COURT.
18	(c) THE DIRECTOR MAY APPOINT AN ADMINISTRATIVE LAW JUDGE
19	PURSUANT TO PART 10 OF ARTICLE 30 OF TITLE 24, C.R.S., TO CONDUCT
20	HEARINGS, TAKE EVIDENCE, AND MAKE AND REPORT FINDINGS TO THE
21	DIRECTOR.
22	(4) (a) The director, the director's staff, any person acting
23	AS A WITNESS OR CONSULTANT TO THE DIRECTOR, ANY WITNESS
24	TESTIFYING IN A PROCEEDING AUTHORIZED UNDER THIS ARTICLE, AND ANY
25	PERSON WHO LODGES A COMPLAINT PURSUANT TO THIS ARTICLE IS IMMUNE
26	FROM LIABILITY IN ANY CIVIL ACTION BROUGHT AGAINST HIM OR HER FOR
27	ACTS OCCURRING WHILE ACTING IN HIS OR HER CAPACITY AS DIRECTOR,

-19-

1	STAFF, CONSULTANT, WITNESS, OR COMPLAINANT, RESPECTIVELY, IF THE
2	INDIVIDUAL WAS ACTING IN GOOD FAITH WITHIN THE SCOPE OF HIS OR HER
3	RESPECTIVE CAPACITY, MADE A REASONABLE EFFORT TO OBTAIN THE
4	FACTS OF THE MATTER AS TO WHICH HE OR SHE ACTED, AND ACTED IN THE
5	REASONABLE BELIEF THAT HIS OR HER ACTION WAS WARRANTED BY THE
6	FACTS.
7	(b) A PERSON PARTICIPATING IN GOOD FAITH IN MAKING A
8	COMPLAINT OR REPORT OR IN AN INVESTIGATIVE OR ADMINISTRATIVE
9	PROCEEDING PURSUANT TO THIS SECTION IS IMMUNE FROM ANY CIVIL OR
10	CRIMINAL LIABILITY THAT OTHERWISE MIGHT RESULT BY REASON OF THE
11	PARTICIPATION.
12	(5) A FINAL ACTION OF THE DIRECTOR IS SUBJECT TO JUDICIAL
13	REVIEW BY THE COURT OF APPEALS PURSUANT TO SECTION $24-4-106$ (11),
14	C.R.S. THE DIRECTOR MAY INSTITUTE A JUDICIAL PROCEEDING IN
15	ACCORDANCE WITH SECTION 24-4-106, C.R.S., TO ENFORCE AN ORDER OF
16	THE DIRECTOR.
17	(6) When a complaint or an investigation discloses an
18	INSTANCE OF MISCONDUCT THAT, IN THE OPINION OF THE DIRECTOR,
19	WARRANTS FORMAL ACTION, THE DIRECTOR SHALL NOT RESOLVE THE
20	COMPLAINT BY A DEFERRED SETTLEMENT, ACTION, JUDGMENT, OR
21	PROSECUTION.
22	(7) When a complaint or investigation discloses an
23	INSTANCE OF CONDUCT THAT DOES NOT WARRANT FORMAL ACTION BY THE
24	DIRECTOR AND, IN THE OPINION OF THE DIRECTOR, THE COMPLAINT
25	SHOULD BE DISMISSED, BUT THE DIRECTOR HAS NOTICED INDICATIONS OF
26	POSSIBLE ERRANT CONDUCT BY THE CERTIFICATE HOLDER THAT COULD
27	LEAD TO SERIOUS CONSEQUENCES IF NOT CORRECTED, THE DIRECTOR MAY

-20-

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- (8) (a) When a complaint or investigation discloses an instance of misconduct that, in the opinion of the director, does not warrant formal action but should not be dismissed as being without merit, the director may send a letter of admonition to the certificate holder.
- (b) When the director sends a letter of admonition to a certificate holder, the director shall notify the certificate holder of his or her right to request in writing, within twenty days after receipt of the letter, that the director initiate formal disciplinary proceedings to adjudicate the propriety of the conduct described in the letter of admonition.
 - (c) IFTHE CERTIFICATE HOLDER TIMELY REQUESTS ADJUDICATION,
 THE DIRECTOR SHALL VACATE THE LETTER OF ADMONITION AND SHALL
 PROCESS THE MATTER BY MEANS OF FORMAL DISCIPLINARY PROCEEDINGS.
 - (9) THE DIRECTOR MAY INCLUDE IN A DISCIPLINARY ORDER THAT ALLOWS THE CERTIFICATE HOLDER TO CONTINUE TO PRACTICE ON PROBATION ANY CONDITIONS THE DIRECTOR DEEMS APPROPRIATE TO ASSURE THAT THE CERTIFICATE HOLDER IS PHYSICALLY, MENTALLY, MORALLY, AND OTHERWISE QUALIFIED TO PRACTICE SPEECH-LANGUAGE PATHOLOGY IN ACCORDANCE WITH GENERALLY ACCEPTED PROFESSIONAL STANDARDS OF PRACTICE. IF THE CERTIFICATE HOLDER FAILS TO COMPLY WITH ANY CONDITIONS IMPOSED BY THE DIRECTOR PURSUANT TO THIS SUBSECTION (9), AND THE FAILURE TO COMPLY IS NOT DUE TO CONDITIONS BEYOND THE CERTIFICATE HOLDER'S CONTROL, THE DIRECTOR MAY ORDER SUSPENSION OF THE CERTIFICATE HOLDER'S CERTIFICATION TO PRACTICE SPEECH-LANGUAGE PATHOLOGY IN THIS STATE UNTIL THE CERTIFICATE

-21-

1	HOLDER COMPLIES WITH THE CONDITIONS.
2	(10) (a) If it appears to the director, based upon credible
3	EVIDENCE AS PRESENTED IN A WRITTEN COMPLAINT BY ANY PERSON, THAT
4	A CERTIFICATE HOLDER IS ACTING IN A MANNER THAT IS AN IMMINENT
5	THREAT TO THE HEALTH AND SAFETY OF THE PUBLIC, OR A PERSON IS
6	ACTING OR HAS ACTED WITHOUT THE REQUIRED CERTIFICATION, THE
7	DIRECTOR MAY ISSUE AN ORDER TO CEASE AND DESIST THE ACTIVITY. THE
8	ORDER MUST SET FORTH THE STATUTES AND RULES ALLEGED TO HAVE
9	BEEN VIOLATED, THE FACTS ALLEGED TO CONSTITUTE THE VIOLATION, AND
10	THE REQUIREMENT THAT ALL UNLAWFUL ACTS OR UNCERTIFIED PRACTICES
11	IMMEDIATELY CEASE.
12	(b) WITHIN TENDAYS AFTER SERVICE OF THE ORDER TO CEASE AND
13	DESIST PURSUANT TO PARAGRAPH (a) OF THIS SUBSECTION (10), THE
14	RESPONDENT MAY REQUEST A HEARING ON THE QUESTION OF WHETHER
15	ACTS OR PRACTICES IN VIOLATION OF THIS ARTICLE HAVE OCCURRED. THE
16	DIRECTOR SHALL CONDUCT THE HEARING PURSUANT TO SECTIONS
17	24-4-104 and 24-4-105, C.R.S.
18	(11) (a) If it appears to the director, based upon credible
19	EVIDENCE AS PRESENTED IN A WRITTEN COMPLAINT BY ANY PERSON, THAT
20	A PERSON HAS VIOLATED ANY OTHER PROVISION OF THIS ARTICLE, IN
21	ADDITION TO ANY SPECIFIC POWERS GRANTED PURSUANT TO THIS ARTICLE,
22	THE DIRECTOR MAY ISSUE TO THE PERSON AN ORDER TO SHOW CAUSE AS
23	TO WHY THE DIRECTOR SHOULD NOT ISSUE A FINAL ORDER DIRECTING THE
24	PERSON TO CEASE AND DESIST FROM THE UNLAWFUL ACT OR UNCERTIFIED
25	PRACTICE.
26	(b) THE DIRECTOR SHALL PROMPTLY NOTIFY A PERSON AGAINST
27	WHOM HE OR SHE ISSUES AN ORDER TO SHOW CAUSE PURSUANT TO

-22- 1303

1 PARAGRAPH (a) OF THIS SUBSECTION (11) AND SHALL INCLUDE IN THE 2 NOTICE A COPY OF THE ORDER, A STATEMENT OF THE FACTUAL AND LEGAL 3 BASIS FOR THE ORDER, AND THE DATE SET BY THE DIRECTOR FOR A 4 HEARING ON THE ORDER. THE DIRECTOR MAY SERVE THE NOTICE ON THE 5 PERSON AGAINST WHOM THE ORDER HAS BEEN ISSUED BY PERSONAL 6 SERVICE, BY FIRST-CLASS, POSTAGE PREPAID UNITED STATES MAIL, OR IN 7 ANOTHER MANNER AS MAY BE PRACTICABLE. PERSONAL SERVICE OR 8 MAILING OF AN ORDER OR DOCUMENT PURSUANT TO THIS PARAGRAPH (b) 9 CONSTITUTES NOTICE OF THE ORDER TO THE PERSON. 10 (c) (I) THE DIRECTOR SHALL CONDUCT THE HEARING ON AN ORDER 11 TO SHOW CAUSE NO SOONER THAN TEN AND NO LATER THAN FORTY-FIVE 12 CALENDAR DAYS AFTER THE DATE THE DIRECTOR TRANSMITS OR SERVES 13 THE NOTIFICATION AS PROVIDED IN PARAGRAPH (b) OF THIS SUBSECTION 14 (11). THE DIRECTOR MAY CONTINUE THE HEARING BY AGREEMENT OF ALL 15 PARTIES BASED UPON THE COMPLEXITY OF THE MATTER, NUMBER OF 16 PARTIES TO THE MATTER, AND LEGAL ISSUES PRESENTED IN THE MATTER, 17 BUT IN NO EVENT SHALL THE DIRECTOR CONDUCT THE HEARING LATER 18 THAN SIXTY CALENDAR DAYS AFTER THE DATE OF TRANSMISSION OR 19 SERVICE OF THE NOTIFICATION. 20 (II) IF A PERSON AGAINST WHOM AN ORDER TO SHOW CAUSE HAS 21 BEEN ISSUED PURSUANT TO PARAGRAPH (a) OF THIS SUBSECTION (11) DOES 22 NOT APPEAR AT THE HEARING, THE DIRECTOR MAY PRESENT EVIDENCE 23 THAT NOTIFICATION WAS PROPERLY SENT OR SERVED ON THE PERSON 24

PURSUANT TO PARAGRAPH (b) OF THIS SUBSECTION (11) AND ANY OTHER

EVIDENCE RELATED TO THE MATTER THAT THE DIRECTOR DEEMS

APPROPRIATE. THE DIRECTOR SHALL ISSUE THE ORDER WITHIN TEN DAYS

AFTER THE DIRECTOR'S DETERMINATION RELATED TO REASONABLE

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1303 -23-

1	ATTEMPTS TO NOTIFY THE RESPONDENT, AND THE ORDER BECOMES FINAL
2	AS TO THAT PERSON BY OPERATION OF LAW. THE DIRECTOR SHALL
3	CONDUCT THE HEARING PURSUANT TO SECTIONS 24-4-104 AND 24-4-105,
4	C.R.S.
5	(III) IF THE DIRECTOR REASONABLY FINDS THAT THE PERSON
6	AGAINST WHOM THE ORDER TO SHOW CAUSE WAS ISSUED IS ACTING OR
7	HAS ACTED WITHOUT THE REQUIRED CERTIFICATION, OR HAS OR IS ABOUT
8	TO ENGAGE IN ACTS OR PRACTICES CONSTITUTING A VIOLATION OF THIS
9	ARTICLE, THE DIRECTOR MAY ISSUE A FINAL CEASE-AND-DESIST ORDER
10	DIRECTING THE PERSON TO CEASE AND DESIST FROM FURTHER UNLAWFUL
11	ACTS OR UNCERTIFIED PRACTICES.
12	(IV) THE DIRECTOR SHALL PROVIDE NOTICE, IN THE MANNER SET
13	FORTH IN PARAGRAPH (b) OF THIS SUBSECTION (11), OF THE FINAL
14	CEASE-AND-DESIST ORDER WITHIN TEN CALENDAR DAYS AFTER THE
15	$\label{thm:paragraph} HEARING CONDUCTED PURSUANT TO THIS PARAGRAPH (c) TO EACH PERSON$
16	AGAINST WHOM THE FINAL ORDER IS ISSUED. THE FINAL ORDER ISSUED
17	PURSUANT TO SUBPARAGRAPH (III) OF THIS PARAGRAPH (c) IS EFFECTIVE
18	WHEN ISSUED AND IS A FINAL ORDER FOR PURPOSES OF JUDICIAL REVIEW.
19	(12) If it appears to the director, based upon credible
20	EVIDENCE PRESENTED TO THE DIRECTOR, THAT A PERSON HAS ENGAGED OR
21	IS ABOUT TO ENGAGE IN AN UNCERTIFIED ACT OR PRACTICE; AN ACT OR
22	PRACTICE CONSTITUTING A VIOLATION OF THIS ARTICLE, A RULE
23	PROMULGATED PURSUANT TO THIS ARTICLE, OR AN ORDER ISSUED
24	PURSUANT TO THIS ARTICLE; OR AN ACT OR PRACTICE CONSTITUTING
25	GROUNDS FOR ADMINISTRATIVE SANCTION PURSUANT TO THIS ARTICLE,
26	THE DIRECTOR MAY ENTER INTO A STIPULATION WITH THE PERSON.
27	(13) IF ANY PERSON FAILS TO COMPLY WITH A FINAL

-24-

1	CEASE-AND-DESIST ORDER OR A STIPULATION, THE DIRECTOR MAY
2	REQUEST THE ATTORNEY GENERAL OR THE DISTRICT ATTORNEY FOR THE
3	JUDICIAL DISTRICT IN WHICH THE ALLEGED VIOLATION EXISTS TO BRING,
4	AND IF SO REQUESTED THE ATTORNEY SHALL BRING, SUIT FOR A
5	TEMPORARY RESTRAINING ORDER AND FOR INJUNCTIVE RELIEF TO
6	PREVENT ANY FURTHER OR CONTINUED VIOLATION OF THE FINAL ORDER.
7	(14) A PERSON AGGRIEVED BY THE FINAL CEASE-AND-DESIST
8	ORDER MAY SEEK JUDICIAL REVIEW OF THE DIRECTOR'S DETERMINATION
9	OR OF THE DIRECTOR'S FINAL ORDER AS PROVIDED IN SUBSECTION (5) OF
10	THIS SECTION.
11	(15) ANY PERSON WHOSE CERTIFICATION IS REVOKED OR WHO
12	SURRENDERS HIS OR HER CERTIFICATION TO AVOID DISCIPLINE IS
13	INELIGIBLE TO APPLY FOR CERTIFICATION UNDER THIS ARTICLE FOR AT
14	LEAST TWO YEARS AFTER THE DATE OF REVOCATION OF THE
15	CERTIFICATION. THE DIRECTOR SHALL TREAT A SUBSEQUENT APPLICATION
16	FOR CERTIFICATION FROM A PERSON WHOSE CERTIFICATION WAS REVOKED
17	AS AN APPLICATION FOR A NEW CERTIFICATION UNDER THIS ARTICLE.
18	12-43.5-112. Unauthorized practice - penalties. A PERSON WHO
19	PRACTICES OR OFFERS OR ATTEMPTS TO PRACTICE SPEECH-LANGUAGE
20	PATHOLOGY WITHOUT AN ACTIVE CERTIFICATION ISSUED UNDER THIS
21	ARTICLE COMMITS A CLASS 2 MISDEMEANOR AND SHALL BE PUNISHED AS
22	PROVIDED IN SECTION 18-1.3-501, C.R.S., FOR THE FIRST OFFENSE. FOR
23	THE SECOND OR ANY SUBSEQUENT OFFENSE, THE PERSON COMMITS A
24	CLASS 1 MISDEMEANOR AND SHALL BE PUNISHED AS PROVIDED IN SECTION
25	18-1.3-501, C.R.S.
26	12-43.5-113. Rule-making authority. The director shall
27	PROMULGATE RULES AS NECESSARY FOR THE ADMINISTRATION OF THIS

-25- 1303

2	12-43.5-114. Mental and physical examination of certificate
3	holders. (1) If the director has reasonable cause to believe that
4	A CERTIFICATE HOLDER IS UNABLE TO PRACTICE WITH REASONABLE SKILL
5	AND SAFETY, THE DIRECTOR MAY ORDER THE CERTIFICATE HOLDER TO
6	TAKE A MENTAL OR PHYSICAL EXAMINATION ADMINISTERED BY A
7	PHYSICIAN OR OTHER LICENSED HEALTH CARE PROFESSIONAL DESIGNATED
8	BY THE DIRECTOR. EXCEPT WHERE DUE TO CIRCUMSTANCES BEYOND THE
9	CERTIFICATE HOLDER'S CONTROL, IF THE CERTIFICATE HOLDER FAILS OR
10	REFUSES TO UNDERGO A MENTAL OR PHYSICAL EXAMINATION, THE
11	DIRECTOR MAY SUSPEND THE CERTIFICATE HOLDER'S CERTIFICATION UNTIL
12	THE DIRECTOR HAS MADE A DETERMINATION OF THE CERTIFICATE
13	HOLDER'S FITNESS TO PRACTICE. THE DIRECTOR SHALL PROCEED WITH AN
14	ORDER FOR EXAMINATION AND SHALL MAKE HIS OR HER DETERMINATION
15	IN A TIMELY MANNER.
16	(2) THE DIRECTOR SHALL INCLUDE IN AN ORDER REQUIRING A
17	CERTIFICATE HOLDER TO UNDERGO A MENTAL OR PHYSICAL EXAMINATION
18	THE BASIS OF THE DIRECTOR'S REASONABLE CAUSE TO BELIEVE THAT THE
19	CERTIFICATE HOLDER IS UNABLE TO PRACTICE WITH REASONABLE SKILL
20	AND SAFETY. FOR PURPOSES OF A DISCIPLINARY PROCEEDING AUTHORIZED
21	UNDER THIS ARTICLE, THE CERTIFICATE HOLDER IS DEEMED TO HAVE
22	WAIVED ALL OBJECTIONS TO THE ADMISSIBILITY OF THE EXAMINING
23	PHYSICIAN'S OR LICENSED HEALTH CARE PROFESSIONAL'S TESTIMONY OR
24	EXAMINATION REPORTS ON THE GROUNDS THAT THEY ARE PRIVILEGED
25	COMMUNICATION.
26	(3) THE CERTIFICATE HOLDER MAY SUBMIT TO THE DIRECTOR
27	TESTIMONY OR EXAMINATION REPORTS FROM A PHYSICIAN CHOSEN BY THE

-26- 1303

1	CERTIFICATE HOLDER AND PERTAINING TO ANY CONDITION THAT THE
2	DIRECTOR HAS ALLEGED MAY PRECLUDE THE CERTIFICATE HOLDER FROM
3	PRACTICING WITH REASONABLE SKILL AND SAFETY. THE DIRECTOR MAY
4	CONSIDER THE TESTIMONY AND REPORTS SUBMITTED BY THE CERTIFICATE
5	HOLDER IN CONJUNCTION WITH, BUT NOT IN LIEU OF, THE TESTIMONY AND
6	${\tt EXAMINATIONREPORTSOFTHEPHYSICIANDESIGNATEDBYTHEDIRECTOR.}$
7	(4) The results of a mental or physical examination
8	ORDERED BY THE DIRECTOR SHALL NOT BE USED AS EVIDENCE IN ANY
9	PROCEEDING OTHER THAN ONE BEFORE THE DIRECTOR, ARE NOT A PUBLIC
10	RECORD, AND ARE NOT AVAILABLE TO THE PUBLIC.
11	12-43.5-115. Confidential agreement to limit practice -
12	violation grounds for discipline. (1) If A SPEECH-LANGUAGE
13	PATHOLOGIST SUFFERS FROM A PHYSICAL OR MENTAL ILLNESS OR
14	CONDITION THAT RENDERS THE PERSON UNABLE TO PRACTICE
15	SPEECH-LANGUAGE PATHOLOGY OR PRACTICE AS A SPEECH-LANGUAGE
16	PATHOLOGIST WITH REASONABLE SKILL AND PATIENT SAFETY, THE
17	SPEECH-LANGUAGE PATHOLOGIST SHALL NOTIFY THE DIRECTOR OF THE
18	ILLNESS OR CONDITION IN A MANNER AND WITHIN A PERIOD OF TIME
19	DETERMINED BY THE DIRECTOR. THE DIRECTOR MAY REQUIRE THE
20	SPEECH-LANGUAGE PATHOLOGIST TO SUBMIT TO AN EXAMINATION TO
21	EVALUATE THE EXTENT OF THE ILLNESS OR CONDITION AND ITS IMPACT ON
22	THE SPEECH-LANGUAGE PATHOLOGIST'S ABILITY TO PRACTICE WITH
23	REASONABLE SKILL AND SAFETY TO PATIENTS.
24	(2) (a) Upon determining that a speech-language
25	PATHOLOGIST WITH A PHYSICAL OR MENTAL ILLNESS OR CONDITION IS
26	ABLE TO RENDER LIMITED SPEECH-LANGUAGE PATHOLOGY SERVICES WITH
27	REASONARI E SKILL AND PATIENT SAFETY THE DIRECTOR MAY ENTER INTO

-27-

2	IN WHICH THE SPEECH-LANGUAGE PATHOLOGIST AGREES TO LIMIT HIS OR
3	HER PRACTICE BASED ON THE RESTRICTIONS IMPOSED BY THE ILLNESS OR
4	CONDITION, AS DETERMINED BY THE DIRECTOR.
5	(b) THE AGREEMENT MUST SPECIFY THAT THE SPEECH-LANGUAGE
6	PATHOLOGIST IS SUBJECT TO PERIODIC REEVALUATIONS OR MONITORING
7	AS DETERMINED APPROPRIATE BY THE DIRECTOR.
8	(c) THE PARTIES MAY MODIFY OR DISSOLVE THE AGREEMENT AS
9	NECESSARY BASED ON THE RESULTS OF A REEVALUATION OR OF
10	MONITORING.
11	(d) By entering into an agreement with the director
12	PURSUANT TO THIS SECTION TO LIMIT HIS OR HER PRACTICE, THE
13	SPEECH-LANGUAGE PATHOLOGIST IS NOT ENGAGING IN ACTIVITIES THAT
14	CONSTITUTE GROUNDS FOR DISCIPLINE PURSUANT TO SECTION
15	12-43.5-110. THE AGREEMENT IS AN ADMINISTRATIVE ACTION AND DOES
16	NOT CONSTITUTE A RESTRICTION OR DISCIPLINE BY THE DIRECTOR.
17	HOWEVER, IF THE SPEECH-LANGUAGE PATHOLOGIST FAILS TO COMPLY
18	WITH THE TERMS OF AN AGREEMENT ENTERED INTO PURSUANT TO THIS
19	SECTION, THE FAILURE CONSTITUTES GROUNDS FOR DISCIPLINARY ACTION
20	UNDER SECTION 12-43.5-110 (2) (d), AND THE SPEECH-LANGUAGE
21	PATHOLOGIST IS SUBJECT TO DISCIPLINE IN ACCORDANCE WITH SECTION
22	12-43.5-111.
23	(3) This section does not apply to a licensee subject to
24	DISCIPLINE UNDER SECTION 12-43.5-110 (2) (c).
25	12-43.5-116. Protection of medical records - certificate
26	holder's obligations - verification of compliance - noncompliance
27	grounds for discipline - rules. (1) EACH SPEECH-LANGUAGE

A CONFIDENTIAL AGREEMENT WITH THE SPEECH-LANGUAGE PATHOLOGIST

-28-

2	WRITTEN PLAN TO ENSURE THE SECURITY OF PATIENT MEDICAL RECORDS.
3	THE PLAN MUST ADDRESS AT LEAST THE FOLLOWING:
4	(a) THE STORAGE AND PROPER DISPOSAL OF PATIENT MEDICAL
5	RECORDS;
6	(b) THE DISPOSITION OF PATIENT MEDICAL RECORDS IN THE EVENT
7	THE CERTIFICATE HOLDER DIES, RETIRES, OR OTHERWISE CEASES TO
8	PRACTICE OR PROVIDE SPEECH-LANGUAGE PATHOLOGY SERVICES TO
9	PATIENTS; AND
10	(c) THE METHOD BY WHICH PATIENTS MAY ACCESS OR OBTAIN
11	THEIR MEDICAL RECORDS PROMPTLY IF ANY OF THE EVENTS DESCRIBED IN
12	PARAGRAPH (b) OF THIS SUBSECTION (1) OCCURS.
13	(2) Upon initial certification under this article and upon
14	RENEWAL OF A CERTIFICATION, THE APPLICANT OR CERTIFICATE HOLDER
15	SHALL ATTEST TO THE DIRECTOR THAT HE OR SHE HAS DEVELOPED A PLAN
16	IN COMPLIANCE WITH THIS SECTION.
17	(3) A CERTIFICATE HOLDER SHALL INFORM EACH PATIENT IN
18	WRITING OF THE METHOD BY WHICH THE PATIENT MAY ACCESS OR OBTAIN
19	HIS OR HER MEDICAL RECORDS IF AN EVENT DESCRIBED IN PARAGRAPH (b)
20	OF SUBSECTION (1) OF THIS SECTION OCCURS.
21	(4) A SPEECH-LANGUAGE PATHOLOGIST WHO FAILS TO COMPLY
22	WITH THIS SECTION IS SUBJECT TO DISCIPLINE IN ACCORDANCE WITH
23	SECTION 12-43.5-111.
24	(5) THE DIRECTOR MAY ADOPT RULES REASONABLY NECESSARY TO
25	IMPLEMENT THIS SECTION.
26	12-43.5-117. Severability. If any provision of this article is
27	HELD INVALID, THE INVALIDITY DOES NOT AFFECT OTHER PROVISIONS OF

PATHOLOGIST RESPONSIBLE FOR PATIENT RECORDS SHALL DEVELOP A

-29-

1	THIS ARTICLE THAT CAN BE GIVEN EFFECT WITHOUT THE INVALID
2	PROVISION.
3	12-43.5-118. Repeal of article - review of functions. This
4	ARTICLE IS REPEALED, EFFECTIVE SEPTEMBER 1, 2017. PRIOR TO THE
5	REPEAL, THE DIRECTOR'S POWERS, DUTIES, AND FUNCTIONS UNDER THIS
6	ARTICLE SHALL BE REVIEWED AS PROVIDED IN SECTION 24-34-104, C.R.S.
7	SECTION 2. In Colorado Revised Statutes, 24-34-104, amend
8	(48.5) as follows:
9	24-34-104. General assembly review of regulatory agencies
10	and functions for termination, continuation, or reestablishment.
11	(48.5) The following agencies, functions, or both, shall terminate on
12	September 1, 2017:
13	(a) The domestic violence offender management board created in
14	section 16-11.8-103, C.R.S.;
15	(b) THE REGULATION OF SPEECH-LANGUAGE PATHOLOGISTS BY
16	THE DIRECTOR OF THE DIVISION OF REGISTRATIONS PURSUANT TO ARTICLE
17	43.5 OF TITLE 12, C.R.S.
18	SECTION 3. In Colorado Revised Statutes, 24-34-110, amend
19	(3) (a) (XVIII) and (3) (a) (XIX); and add (3) (a) (XX) as follows:
20	24-34-110. Medical transparency act of 2010 - disclosure of
21	information about health care licensees - fines - rules - short title -
22	legislative declaration. (3) (a) As used in this section, "applicant" means
23	a person applying for a new, active license, certification, or registration
24	or to renew, reinstate, or reactivate an active license, certification, or
25	registration to practice:
26	(XVIII) Psychotherapy pursuant to part 7 of article 43 of title 12,
77	CRS: and

-30-

1	(XIX) Addiction counseling pursuant to part 8 of article 43 of title
2	12, C.R.S.; AND
3	(XX) SPEECH-LANGUAGE PATHOLOGY PURSUANT TO ARTICLE 43.5
4	OF TITLE 12, C.R.S.
5	SECTION 4. Appropriation. (1) In addition to any other
6	appropriation, there is hereby appropriated, out of any moneys in the
7	division of registrations cash fund created in section 24-34-105 (2) (b) (I),
8	Colorado Revised Statutes, not otherwise appropriated, to the department
9	of regulatory agencies, for the fiscal year beginning July 1, 2012, the sum
10	of \$111,148 and <u>1.1 FTE</u> , or so much thereof as may be necessary, to be
11	allocated for the implementation of this act as follows:
12	(a) \$57,428 and 1.1 FTE for the division of registrations for
13	personal services;
14	(b) \$27,971 for the division of registrations for temporary contract
15	labor;
16	(c) \$7,909 for the division of registrations for operating expenses;
17	(d) \$16,656 for the purchase of legal services; and
18	(e) \$1,184 for the purchase of computer center services.
19	(2) In addition to any other appropriation, there is hereby
20	appropriated to the department of law, for the fiscal year beginning July
21	1, 2012, the sum of \$16,656 and 0.1 FTE, or so much thereof as may be
22	necessary, for the provision of legal services for the department of
23	regulatory agencies related to the implementation of this act. Said sum is
24	from reappropriated funds received from the department of regulatory
25	agencies out of the appropriation made in paragraph (d) of subsection (1)
26	of this section.
27	(3) In addition to any other appropriation, there is hereby

-31-

appropriated to the governor - lieutenant governor - state planning and budgeting, for the fiscal year beginning July 1, 2012, the sum of \$1,184, or so much thereof as may be necessary, for allocation to the office of information technology, for the provision of computer center services for the department of regulatory agencies related to the implementation of this act. Said sum is from reappropriated funds received from the department of regulatory agencies out of the appropriation made in paragraph (e) of subsection (1) of this section. **SECTION 5.** Act subject to petition - effective date. This act

SECTION 5. Act subject to petition - effective date. This act takes effect at 12:01 a.m. on the day following the expiration of the ninety-day period after final adjournment of the general assembly (August 8, 2012, if adjournment sine die is on May 9, 2012); except that, if a referendum petition is filed pursuant to section 1 (3) of article V of the state constitution against this act or an item, section, or part of this act within such period, then the act, item, section, or part will not take effect unless approved by the people at the general election to be held in November 2012 and, in such case, will take effect on the date of the official declaration of the vote thereon by the governor.

-32-