Second Regular Session Sixty-eighth General Assembly STATE OF COLORADO

PREAMENDED

This Unofficial Version Includes Committee Amendments Not Yet Adopted on Second Reading

LLS NO. 12-0023.01 Christy Chase x2008

HOUSE BILL 12-1303

HOUSE SPONSORSHIP

Schafer S., Hamner, Kerr J., Peniston, Summers, Young

SENATE SPONSORSHIP

Spence, Jahn

House Committees

Senate Committees

Health and Environment Finance

A BILL FOR AN ACT

101 CONCERNING THE REGULATION OF SPEECH-LANGUAGE PATHOLOGISTS
102 BY THE DEPARTMENT OF REGULATORY AGENCIES.

Bill Summary

(Note: This summary applies to this bill as introduced and does not reflect any amendments that may be subsequently adopted. If this bill passes third reading in the house of introduction, a bill summary that applies to the reengrossed version of this bill will be available at http://www.leg.state.co.us/billsummaries.)

On and after July 1, 2013, the bill requires speech-language pathologists to obtain a certification from the division of registrations (division) in the department of regulatory agencies in order to practice speech-language pathology in Colorado. The director of the division is tasked with oversight, regulation, and discipline of speech-language

pathologists. An exemption from the certification requirement is provided for speech-language pathologists who are licensed and speech-language pathology assistants who are authorized by the Colorado department of education and who provide speech-language pathology services that are paid for by an administrative unit or state-operated program, as those terms are defined in the "Exceptional Children's Educational Act".

1	Be it enacted by the General Assembly of the State of Colorado:
2	SECTION 1. In Colorado Revised Statutes, add article 43.5 to
3	title 12 as follows:
4	ARTICLE 43.5
5	Speech-language Pathologists
6	12-43.5-101. Short title. This article shall be known and
7	MAY BE CITED AS THE "SPEECH-LANGUAGE PATHOLOGY PRACTICE ACT".
8	12-43.5-102. Legislative declaration. (1) THE GENERAL
9	ASSEMBLY HEREBY FINDS, DETERMINES, AND DECLARES THAT:
10	(a) SPEECH-LANGUAGE PATHOLOGY SERVICES ARE PROVIDED FOR
11	THE PURPOSE OF IMPROVING THE ABILITIES OF THOSE WHO HAVE
12	CONGENITAL OR ACQUIRED SPEECH, LANGUAGE, COGNITIVE, FEEDING, AND
13	SWALLOWING DEFICITS;
14	(b) SPEECH-LANGUAGE PATHOLOGISTS PROVIDE SPECIFIC THERAPY
15	AND TREATMENTS THAT ARE RELATED TO THE EFFECTS OF MEDICAL
16	DIAGNOSES OR CONGENITAL, GENETIC, OR DEVELOPMENTAL CONDITIONS
17	BUT DO NOT PROVIDE MEDICAL PROCEDURES, MEDICATIONS, OR
18	INTERVENTIONS THAT CONSTITUTE THE PRACTICE OF MEDICINE;
19	(c) THE PROFESSIONAL ROLES AND ACTIVITIES IN
20	SPEECH-LANGUAGE PATHOLOGY INCLUDE CLINICAL AND EDUCATIONAL
21	SERVICES, WHICH INCLUDE EVALUATION, ASSESSMENT, PLANNING, AND
22	TREATMENT; PREVENTION AND ADVOCACY; EDUCATION; ADMINISTRATION;

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1	AND RESEARCH;
2	(d) This article is necessary to safeguard public health,
3	SAFETY, AND WELFARE AND TO PROTECT THE PUBLIC FROM INCOMPETENT,
4	UNETHICAL, OR UNAUTHORIZED PERSONS.
5	(2) THE GENERAL ASSEMBLY FURTHER DETERMINES THAT IT IS THE
6	PURPOSE OF THIS ARTICLE TO:
7	(a) REGULATE PERSONS WHO ARE REPRESENTING OR HOLDING
8	THEMSELVES OUT AS SPEECH-LANGUAGE PATHOLOGISTS OR WHO ARE
9	PERFORMING SERVICES THAT CONSTITUTE SPEECH-LANGUAGE
10	PATHOLOGY, EXCLUDING SCHOOL SPEECH-LANGUAGE PATHOLOGISTS WHO
11	PRACTICE IN A PUBLIC SCHOOL SETTING; AND
12	(b) EXCLUDE FROM REGULATION UNDER THIS ARTICLE THOSE
13	SPEECH-LANGUAGE PATHOLOGISTS WHO ARE LICENSED AND
14	SPEECH-LANGUAGE PATHOLOGY ASSISTANTS WHO ARE AUTHORIZED BY
15	THE COLORADO DEPARTMENT OF EDUCATION AND PROVIDE
16	SPEECH-LANGUAGE PATHOLOGY SERVICES THAT ARE PAID FOR BY AN
17	ADMINISTRATIVE UNIT.
18	12-43.5-103. Definitions. AS USED IN THIS ARTICLE, UNLESS THE
19	CONTEXT OTHERWISE REQUIRES:
20	(1) "ADMINISTRATIVE UNIT" HAS THE SAME MEANING AS SET
21	FORTH IN SECTION 22-20-103 (1), C.R.S.
22	
23	(2) "DEPARTMENT" MEANS THE DEPARTMENT OF REGULATORY
24	AGENCIES.
25	(3) "DIRECTOR" MEANS THE DIRECTOR OF THE DIVISION OF
26	REGISTRATIONS OR THE DIRECTOR'S DESIGNEE.
27	(4) "DIVISION" MEANS THE DIVISION OF REGISTRATIONS IN THE

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1	DEPARTMENT CREATED IN SECTION 24-34-102, C.R.S.
2	(5) "SCHOOL SPEECH-LANGUAGE PATHOLOGIST" MEANS A PERSON
3	LICENSED BY THE DEPARTMENT OF EDUCATION TO PROVIDE
4	SPEECH-LANGUAGE PATHOLOGY SERVICES THAT ARE PAID FOR BY AN
5	ADMINISTRATIVE UNIT OR A STATE-OPERATED PROGRAM. "SCHOOL
6	SPEECH-LANGUAGE PATHOLOGIST" INCLUDES A SCHOOL
7	SPEECH-LANGUAGE PATHOLOGY ASSISTANT AUTHORIZED BY THE
8	DEPARTMENT OF EDUCATION PURSUANT TO SECTION 22-60.5-111 (10),
9	C.R.S., TO PROVIDE SPEECH-LANGUAGE PATHOLOGY SERVICES THAT ARE
10	PAID FOR BY AN ADMINISTRATIVE UNIT OR A STATE-OPERATED PROGRAM.
11	(6) (a) "Speech-language pathologist" or "certificate
12	HOLDER" MEANS A PERSON CERTIFIED TO PRACTICE SPEECH-LANGUAGE
13	PATHOLOGY UNDER THIS ARTICLE.
14	(b) "Speech-language pathologist" does not include a
15	SCHOOL SPEECH-LANGUAGE PATHOLOGIST.
16	(7) (a) "SPEECH-LANGUAGE PATHOLOGY" MEANS THE APPLICATION
17	OF PRINCIPLES, METHODS, AND PROCEDURES RELATED TO THE
18	DEVELOPMENT, DISORDERS, AND EFFECTIVENESS OF HUMAN
19	COMMUNICATION AND RELATED FUNCTIONS, WHICH INCLUDES PROVIDING
20	PREVENTION, SCREENING, CONSULTATION, ASSESSMENT OR EVALUATION,
21	TREATMENT, INTERVENTION, MANAGEMENT, COUNSELING,
22	COLLABORATION, AND REFERRAL SERVICES FOR DISORDERS OF:
23	(I) Speech, such as speech sound production, fluency,
24	RESONANCE, AND VOICE;
25	(II) LANGUAGE, SUCH AS PHONOLOGY, MORPHOLOGY, SYNTAX,
26	SEMANTICS, PRAGMATIC AND SOCIAL COMMUNICATION SKILLS, AND
27	LITERACY SKILLS;

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1	(III) FEEDING AND SWALLOWING; AND
2	(IV) COGNITIVE ASPECTS OF COMMUNICATION, SUCH AS
3	ATTENTION, MEMORY, EXECUTIVE FUNCTIONING, AND PROBLEM SOLVING.
4	(b) "SPEECH-LANGUAGE PATHOLOGY" ALSO INCLUDES
5	ESTABLISHING AUGMENTATIVE AND ALTERNATIVE COMMUNICATION
6	TECHNIQUES AND STRATEGIES, INCLUDING THE FOLLOWING:
7	(I) DEVELOPING, SELECTING, AND PRESCRIBING AUGMENTATIVE OR
8	ALTERNATIVE COMMUNICATION SYSTEMS AND DEVICES, SUCH AS SPEECH
9	GENERATING DEVICES;
10	(II) PROVIDING SERVICES TO INDIVIDUALS WITH HEARING LOSS
11	AND THEIR FAMILIES, SUCH AS AUDITORY TRAINING, SPEECH READING, OR
12	SPEECH AND LANGUAGE INTERVENTION SECONDARY TO HEARING LOSS;
13	(III) SCREENING INDIVIDUALS FOR HEARING LOSS OR MIDDLE EAR
14	PATHOLOGY USING CONVENTIONAL PURE-TONE AIR CONDUCTION
15	METHODS, INCLUDING OTOSCOPIC INSPECTION; OTOACOUSTIC EMISSIONS;
16	OR SCREENING TYMPANOMETRY;
17	(IV) USING INSTRUMENTATION SUCH AS VIDEOFLUROSCOPY,
18	ENDOSCOPY, OR STROBOSCOPY TO OBSERVE, COLLECT DATA, AND
19	MEASURE PARAMETERS OF COMMUNICATION AND SWALLOWING;
20	(V) SELECTING, FITTING, AND ESTABLISHING EFFECTIVE USE OF
21	PROSTHETIC OR ADAPTIVE DEVICES FOR COMMUNICATION, SWALLOWING,
22	OR OTHER UPPER AERODIGESTIVE FUNCTIONS, NOT INCLUDING SENSORY
23	DEVICES USED BY INDIVIDUALS WITH HEARING LOSS; AND
24	(VI) PROVIDING SERVICES TO MODIFY OR ENHANCE
25	COMMUNICATION PERFORMANCE, SUCH AS ACCENT MODIFICATION AND
26	PERSONAL OR PROFESSIONAL COMMUNICATION EFFICACY.
27	(8) "STATE-OPERATED PROGRAM" HAS THE SAME MEANING AS SET

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- 1 FORTH IN SECTION 22-20-103 (28), C.R.S.
- 2 **12-43.5-104.** Use of titles restricted. (1) ONLY A PERSON
- 3 REQUIRED TO BE AND WHO IS CERTIFIED AS A SPEECH-LANGUAGE
- 4 PATHOLOGIST UNDER THIS ARTICLE OR LICENSED BY THE COLORADO
- 5 DEPARTMENT OF EDUCATION TO PROVIDE SPEECH-LANGUAGE PATHOLOGY
- 6 SERVICES MAY ADVERTISE AS OR USE THE TITLE "SPEECH-LANGUAGE
- 7 PATHOLOGIST", "SPEECH PATHOLOGIST", "SPEECH THERAPIST", "SPEECH
- 8 CORRECTIONIST", "SPEECH CLINICIAN", "LANGUAGE PATHOLOGIST",
- 9 "VOICE THERAPIST", "VOICE PATHOLOGIST", "APHASIOLOGIST", OR ANY
- 10 OTHER GENERALLY ACCEPTED TERMS, LETTERS, OR FIGURES THAT
- 11 INDICATE THAT THE PERSON IS A CERTIFIED SPEECH-LANGUAGE
- 12 PATHOLOGIST.
- 13 (2) FOR A CERTIFICATE HOLDER WHO HAS SUCCESSFULLY
- 14 COMPLETED A DOCTORAL DEGREE IN COMMUNICATION SCIENCES AND
- 15 DISORDERS AS DESCRIBED IN SECTION 12-43.5-106 (1) (a), A
- 16 CERTIFICATION TO PRACTICE SPEECH-LANGUAGE PATHOLOGY ISSUED
- 17 PURSUANT TO THIS ARTICLE ENTITLES THE CERTIFICATE HOLDER TO USE
- THE TITLE "DOCTOR" OR "DR." WHEN ACCOMPANIED BY THE TERMS
- "SPEECH-LANGUAGE PATHOLOGY" OR THE LETTERS "S.L.P.".
- 20 **12-43.5-105. Certification required exception.** (1) EXCEPT AS
- OTHERWISE PROVIDED IN THIS ARTICLE, ON AND AFTER JULY 1, 2013, A
- 22 PERSON SHALL NOT PRACTICE SPEECH-LANGUAGE PATHOLOGY OR
- 23 REPRESENT OR HOLD HIMSELF OR HERSELF OUT AS BEING ABLE TO
- 24 PRACTICE SPEECH-LANGUAGE PATHOLOGY IN THIS STATE WITHOUT
- 25 POSSESSING A VALID CERTIFICATION ISSUED BY THE DIRECTOR IN
- 26 ACCORDANCE WITH THIS ARTICLE AND ANY RULES ADOPTED UNDER THIS
- 27 ARTICLE.

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1	(2) (a) A SCHOOL SPEECH-LANGUAGE PATHOLOGIST IS NOT
2	REQUIRED TO OBTAIN CERTIFICATION FROM THE DIRECTOR AS LONG AS THE
3	SCHOOL SPEECH-LANGUAGE PATHOLOGIST PROVIDES SPEECH-LANGUAGE
4	PATHOLOGY SERVICES THAT ARE PAID FOR BY AN ADMINISTRATIVE UNIT
5	OR STATE-OPERATED PROGRAM.
6	(b) If a school speech-language pathologist provides
7	SPEECH-LANGUAGE PATHOLOGY SERVICES THAT ARE NOT PAID FOR BY AN
8	ADMINISTRATIVE UNIT OR STATE-OPERATED PROGRAM, THE
9	SPEECH-LANGUAGE PATHOLOGIST SHALL OBTAIN A CERTIFICATION
10	PURSUANT TO THIS ARTICLE PRIOR TO PROVIDING THOSE SERVICES.
11	12-43.5-106. Certification - application - qualifications -
12	$\textbf{provisional certification - renewal - fees - rules.} \ (1) \ \ \textbf{Educational and}$
13	experiential requirements. EVERY APPLICANT FOR A CERTIFICATION AS
14	A SPEECH-LANGUAGE PATHOLOGIST MUST HAVE:
15	(a) SUCCESSFULLY COMPLETED A MASTER'S OR HIGHER DEGREE IN
16	COMMUNICATION SCIENCES AND DISORDERS GRANTED BY AN ACCREDITED
17	INSTITUTION OF HIGHER EDUCATION RECOGNIZED BY THE UNITED STATES
18	DEPARTMENT OF EDUCATION;
19	(b) SUCCESSFULLY COMPLETED A SPEECH-LANGUAGE PATHOLOGY
20	CLINICAL FELLOWSHIP APPROVED BY THE DIRECTOR, AS DOCUMENTED BY
21	THE SUPERVISING CLINICIAN OR A NATIONAL CERTIFYING BODY APPROVED
22	BY THE DIRECTOR; AND
23	(c) Passed the national examination adopted by the
24	AMERICAN SPEECH-LANGUAGE-HEARING ASSOCIATION OR ITS SUCCESSOR
25	ASSOCIATION OR ANY OTHER EXAMINATION APPROVED BY THE DIRECTOR.
26	(2) Application. When an applicant has fulfilled the
27	REQUIREMENTS OF SUBSECTION (1) OF THIS SECTION, THE APPLICANT MAY

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1	APPLY FOR CERTIFICATION IN THE MANNER REQUIRED BY THE DIRECTOR.
2	THE APPLICANT SHALL SUBMIT AN APPLICATION FEE WITH HIS OR HER
3	APPLICATION IN AN AMOUNT DETERMINED BY THE DIRECTOR.
4	ADDITIONALLY, IF THE APPLICANT WILL PROVIDE SPEECH-LANGUAGE
5	PATHOLOGY SERVICES TO PATIENTS, THE APPLICANT SHALL SUBMIT TO THE
6	DIRECTOR PROOF THAT THE APPLICANT HAS PURCHASED AND IS
7	MAINTAINING OR IS COVERED BY PROFESSIONAL LIABILITY INSURANCE IN
8	AN AMOUNT DETERMINED BY THE DIRECTOR BY RULE.
9	(3) Certification. (a) EXCEPT AS PROVIDED IN PARAGRAPH (b) OF
10	THIS SUBSECTION (3), WHEN AN APPLICANT HAS FULFILLED THE
11	REQUIREMENTS OF SUBSECTIONS (1) AND (2) OF THIS SECTION, THE
12	DIRECTOR SHALL ISSUE A CERTIFICATION TO THE APPLICANT.
13	(b) THE DIRECTOR MAY DENY A CERTIFICATION IF THE APPLICANT
14	HAS COMMITTED ANY ACT THAT WOULD BE GROUNDS FOR DISCIPLINARY
15	ACTION UNDER SECTION 12-43.5-110.
16	(4) Certification by endorsement. (a) AN APPLICANT FOR
17	CERTIFICATION BY ENDORSEMENT SHALL FILE AN APPLICATION AND PAY
18	A FEE AS DETERMINED BY THE DIRECTOR AND SHALL HOLD A CURRENT,
19	VALID LICENSE OR CERTIFICATION IN A JURISDICTION THAT REQUIRES
20	QUALIFICATIONS SUBSTANTIALLY EQUIVALENT TO THOSE REQUIRED FOR
21	CERTIFICATION BY SUBSECTION (1) OF THIS SECTION.
22	(b) AN APPLICANT FOR CERTIFICATION BY ENDORSEMENT SHALL
23	SUBMIT WITH THE APPLICATION VERIFICATION THAT THE APPLICANT HAS
24	ACTIVELY PRACTICED FOR A PERIOD OF TIME DETERMINED BY RULES OF
25	THE DIRECTOR OR OTHERWISE MAINTAINED COMPETENCY AS
26	DETERMINED BY THE DIRECTOR. ADDITIONALLY, IF THE APPLICANT WILL
27	PROVIDE SPEECH-LANGUAGE PATHOLOGY SERVICES TO PATIENTS, THE

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1	APPLICANT SHALL SUBMIT TO THE DIRECTOR PROOF THAT THE APPLICANT
2	HAS PURCHASED AND IS MAINTAINING OR IS COVERED BY PROFESSIONAL
3	LIABILITY INSURANCE IN AN AMOUNT DETERMINED BY THE DIRECTOR BY

4 RULE.

- 5 (c) Upon receipt of all documents required by paragraphs
 6 (a) and (b) of this subsection (4), the director shall review the
 7 Application and Make a determination of the applicant's
 8 Oualification to be certified by endorsement.
 - (d) THE DIRECTOR MAY DENY THE CERTIFICATION BY ENDORSEMENT IF THE APPLICANT HAS COMMITTED AN ACT THAT WOULD BE GROUNDS FOR DISCIPLINARY ACTION UNDER SECTION 12-43.5-110.
 - (5) **Certification renewal.** (a) A CERTIFICATE HOLDER SHALL RENEW THE CERTIFICATION ISSUED UNDER THIS ARTICLE ACCORDING TO A SCHEDULE OF RENEWAL DATES ESTABLISHED BY THE DIRECTOR. THE CERTIFICATE HOLDER SHALL SUBMIT AN APPLICATION IN THE MANNER REQUIRED BY THE DIRECTOR AND SHALL PAY A RENEWAL FEE IN AN AMOUNT DETERMINED BY THE DIRECTOR.
 - (b) CERTIFICATIONS SHALL BE RENEWED OR REINSTATED IN ACCORDANCE WITH THE SCHEDULE ESTABLISHED BY THE DIRECTOR, AND THE RENEWAL OR REINSTATEMENT SHALL BE GRANTED PURSUANT TO SECTION 24-34-102 (8), C.R.S. THE DIRECTOR MAY ESTABLISH RENEWAL FEES AND DELINQUENCY FEES FOR REINSTATEMENT PURSUANT TO SECTION 24-34-105, C.R.S. IF A CERTIFICATE HOLDER FAILS TO RENEW HIS OR HER CERTIFICATION PURSUANT TO THE SCHEDULE ESTABLISHED BY THE DIRECTOR, THE CERTIFICATION EXPIRES. ANY PERSON WHOSE CERTIFICATION HAS EXPIRED AND WHO CONTINUES TO PRACTICE SPEECH-LANGUAGE PATHOLOGY IS SUBJECT TO THE PENALTIES PROVIDED

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1	IN THIS ARTICLE OR SECTION 24-34-102 (8), C.R.S., FOR REINSTATEMENT.
2	(6) Fees. (a) The director shall establish and collect fees
3	UNDER THIS ARTICLE PURSUANT TO SECTION 24-34-105, C.R.S., AND
4	SHALL BASE THE FEES CHARGED TO SPEECH-LANGUAGE PATHOLOGISTS
5	CERTIFIED UNDER THIS ARTICLE ON THE COST TO ADMINISTER THE
6	PROGRAM DIVIDED BY THE TOTAL NUMBER OF SPEECH-LANGUAGE
7	PATHOLOGISTS. ALL FEES COLLECTED UNDER THIS ARTICLE SHALL BE
8	DETERMINED, COLLECTED, AND APPROPRIATED IN THE SAME MANNER AS
9	SET FORTH IN SECTION 24-34-105, C.R.S., AND PERIODICALLY ADJUSTED
10	IN ACCORDANCE WITH SECTION 24-75-402, C.R.S.
11	(b) EXCEPT AS OTHERWISE PROVIDED IN THIS ARTICLE, THE
12	DIVISION SHALL TRANSMIT ALL FEES COLLECTED PURSUANT TO THIS
13	ARTICLE TO THE STATE TREASURER, WHO SHALL CREDIT THE FEES TO THE
14	DIVISION OF REGISTRATIONS CASH FUND CREATED PURSUANT TO SECTION
15	24-34-105 (2) (b), C.R.S. THE GENERAL ASSEMBLY SHALL MAKE ANNUAL
16	APPROPRIATIONS FROM THE DIVISION OF REGISTRATIONS CASH FUND FOR
17	EXPENDITURES OF THE DIVISION INCURRED IN THE PERFORMANCE OF ITS
18	DUTIES UNDER THIS ARTICLE.
19	12-43.5-107. Continuing professional competency - rules.
20	(1)(a) A SPEECH-LANGUAGE PATHOLOGIST SHALL MAINTAIN CONTINUING
21	PROFESSIONAL COMPETENCY TO PRACTICE.
22	(b) THE DIRECTOR SHALL ESTABLISH A CONTINUING PROFESSIONAL
23	COMPETENCY PROGRAM THAT INCLUDES, AT A MINIMUM, THE FOLLOWING
24	ELEMENTS:
25	(I) A SELF-ASSESSMENT OF THE KNOWLEDGE AND SKILLS OF A
26	SPEECH-LANGUAGE PATHOLOGIST SEEKING TO RENEW OR REINSTATE A
27	CERTIFICATION:

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1	(II) DEVELOPMENT, EXECUTION, AND DOCUMENTATION OF A
2	LEARNING PLAN BASED ON THE ASSESSMENT; AND
3	(III) PERIODIC DEMONSTRATION OF KNOWLEDGE AND SKILLS
4	THROUGH DOCUMENTATION OF ACTIVITIES NECESSARY TO ENSURE AT
5	LEAST MINIMAL ABILITY TO SAFELY PRACTICE THE PROFESSION; EXCEPT
6	THAT A SPEECH-LANGUAGE PATHOLOGIST CERTIFIED PURSUANT TO THIS
7	ARTICLE NEED NOT RETAKE ANY EXAMINATION REQUIRED BY SECTION
8	12-43.5-106 FOR INITIAL CERTIFICATION.
9	(2) THE DIRECTOR SHALL ESTABLISH THAT A SPEECH-LANGUAGE
10	PATHOLOGIST SATISFIES THE CONTINUING COMPETENCY REQUIREMENTS
11	OF THIS SECTION IF THE SPEECH-LANGUAGE PATHOLOGIST MEETS THE
12	CONTINUING PROFESSIONAL COMPETENCY REQUIREMENTS OF ONE OF THE
13	FOLLOWING ENTITIES:
14	
15	(a) AN ACCREDITING BODY APPROVED BY THE DIRECTOR; OR
16	(b) AN ENTITY APPROVED BY THE DIRECTOR.
17	(3) (a) AFTER THE PROGRAM IS ESTABLISHED, A
18	SPEECH-LANGUAGE PATHOLOGIST SHALL SATISFY THE REQUIREMENTS OF
19	THE PROGRAM IN ORDER TO RENEW OR REINSTATE A CERTIFICATION TO
20	PRACTICE SPEECH-LANGUAGE PATHOLOGY.
21	(b) THE REQUIREMENTS OF THIS SECTION APPLY TO INDIVIDUAL
22	SPEECH-LANGUAGE PATHOLOGISTS, AND NOTHING IN THIS SECTION
23	REQUIRES A PERSON WHO EMPLOYS OR CONTRACTS WITH A
24	SPEECH-LANGUAGE PATHOLOGIST TO COMPLY WITH THIS SECTION.
25	(4) RECORDS OF ASSESSMENTS OR OTHER DOCUMENTATION
26	DEVELOPED OR SUBMITTED IN CONNECTION WITH THE CONTINUING
2.7	PROFESSIONAL COMPETENCY PROGRAM ARE CONFIDENTIAL AND NOT

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1	SUBJECT TO INSPECTION BY THE PUBLIC OR DISCOVERY IN CONNECTION
2	WITH A CIVIL ACTION AGAINST A SPEECH-LANGUAGE PATHOLOGIST OR
3	OTHER PROFESSIONAL REGULATED UNDER THIS TITLE. A PERSON OR THE
4	DIRECTOR SHALL NOT USE THE RECORDS OR DOCUMENTS UNLESS USED BY
5	THE DIRECTOR TO DETERMINE WHETHER A SPEECH-LANGUAGE
6	PATHOLOGIST IS MAINTAINING CONTINUING PROFESSIONAL COMPETENCY
7	TO ENGAGE IN THE PROFESSION.
8	(5) As used in this section, "continuing professional
9	COMPETENCY" MEANS THE ONGOING ABILITY OF A SPEECH-LANGUAGE
10	PATHOLOGIST TO LEARN, INTEGRATE, AND APPLY THE KNOWLEDGE, SKILL,
11	AND JUDGMENT TO PRACTICE AS A SPEECH-LANGUAGE PATHOLOGIST
12	ACCORDING TO GENERALLY ACCEPTED STANDARDS AND PROFESSIONAL
13	ETHICAL STANDARDS.
14	12-43.5-108. Scope of article - exclusions. (1) This article
15	DOES NOT PREVENT OR RESTRICT THE PRACTICE, SERVICES, OR ACTIVITIES
16	OF:
17	(a) A SCHOOL SPEECH-LANGUAGE PATHOLOGIST;
18	(b) A PERSON LICENSED OR OTHERWISE REGULATED IN THIS STATE
19	BY ANY OTHER LAW FROM ENGAGING IN HIS OR HER PROFESSION OR
20	OCCUPATION AS DEFINED IN THE LAW UNDER WHICH HE OR SHE IS
21	REGULATED;
22	(c) A PERSON PURSUING A COURSE OF STUDY LEADING TO A
23	DEGREE IN SPEECH-LANGUAGE PATHOLOGY AT AN EDUCATIONAL
24	INSTITUTION WITH AN ACCREDITED SPEECH-LANGUAGE PATHOLOGY
25	PROGRAM IF THAT PERSON IS DESIGNATED BY A TITLE THAT CLEARLY
26	INDICATES HIS OR HER STATUS AS A STUDENT AND IF HE OR SHE ACTS
27	UNDER APPROPRIATE INSTRUCTION AND SUPERVISION;

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1	(d) A PERSON PARTICIPATING IN GOOD FAITH IN A CLINICAL
2	FELLOWSHIP IF THE EXPERIENCE CONSTITUTES A PART OF THE EXPERIENCE
3	NECESSARY TO MEET THE REQUIREMENT OF SECTION 12-43.5-106(1) AND
4	THE PERSON ACTS UNDER APPROPRIATE SUPERVISION; OR
5	(e) ANY LEGALLY QUALIFIED SPEECH-LANGUAGE PATHOLOGIST
6	FROM ANOTHER STATE OR COUNTRY WHEN PROVIDING SERVICES ON
7	BEHALF OF A TEMPORARILY ABSENT SPEECH-LANGUAGE PATHOLOGIST
8	CERTIFIED IN THIS STATE, SO LONG AS THE UNCERTIFIED
9	SPEECH-LANGUAGE PATHOLOGIST IS ACTING IN ACCORDANCE WITH RULES
10	ADOPTED BY THE DIRECTOR. THE UNCERTIFIED PRACTICE MUST NOT
11	OCCUR MORE THAN ONCE IN ANY TWELVE-MONTH PERIOD.
12	(2) Nothing in this article requires or allows the
13	DEPARTMENT OF EDUCATION, THE DEPARTMENT OF HEALTH CARE POLICY
14	AND FINANCING, OR ANY OTHER STATE DEPARTMENT TO ADOPT OR APPLY
15	THE STANDARDS CONTAINED IN THIS ARTICLE:
16	(a) As the standards for endorsing or otherwise
17	AUTHORIZING SCHOOL SPEECH-LANGUAGE PATHOLOGISTS TO PROVIDE
18	SPEECH-LANGUAGE PATHOLOGY SERVICES THAT ARE PAID FOR BY AN
19	ADMINISTRATIVE UNIT OR STATE-OPERATED PROGRAM; OR
20	(b) For purposes of determining whether medicaid
21	REIMBURSEMENT MAY BE OBTAINED FOR SPEECH-LANGUAGE PATHOLOGY
22	SERVICES.
23	12-43.5-109. Limitations on authority. NOTHING IN THIS
24	ARTICLE AUTHORIZES A SPEECH-LANGUAGE PATHOLOGIST TO ENGAGE IN
25	THE PRACTICE OF MEDICINE, AS DEFINED IN SECTION 12-36-106, OR ANY
26	OTHER PROFESSION FOR WHICH LICENSURE, CERTIFICATION, OR
27	REGISTRATION IS REQUIRED BY THIS ARTICLE.

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1	12-43.5-110. Grounds for discipline. (1) The director may
2	TAKE DISCIPLINARY ACTION AGAINST A CERTIFICATE HOLDER PURSUANT
3	TO SECTION $12-43.5-111$ if the director finds that the certificate
4	HOLDER HAS REPRESENTED OR HELD HIMSELF OR HERSELF OUT AS A
5	CERTIFIED SPEECH-LANGUAGE PATHOLOGIST AFTER THE EXPIRATION,
6	SUSPENSION, OR REVOCATION OF HIS OR HER CERTIFICATION.
7	(2) The director may revoke, suspend, or deny a
8	CERTIFICATION, PLACE A CERTIFICATE HOLDER ON PROBATION, ISSUE A
9	LETTER OF ADMONITION OR A CONFIDENTIAL LETTER OF CONCERN, IMPOSE
10	A FINE AGAINST A CERTIFICATE HOLDER, OR ISSUE A CEASE-AND-DESIST
11	ORDER TO A CERTIFICATE HOLDER IN ACCORDANCE WITH SECTION
12	12-43.5-111 UPON PROOF THAT THE CERTIFICATE HOLDER:
13	(a) HAS ENGAGED IN A SEXUAL ACT WITH A PERSON RECEIVING
14	SERVICES WHILE A THERAPEUTIC RELATIONSHIP EXISTED OR WITHIN SIX
15	MONTHS IMMEDIATELY FOLLOWING TERMINATION OF THE THERAPEUTIC
16	RELATIONSHIP IN WRITING. FOR THE PURPOSES OF THIS PARAGRAPH (a):
17	(I) "SEXUAL ACT" MEANS SEXUAL CONTACT, SEXUAL INTRUSION,
18	OR SEXUAL PENETRATION, AS DEFINED IN SECTION 18-3-401, C.R.S.
19	$(II) \ "Therapeutic relationship" \text{means the period beginning} \\$
20	WITH THE INITIAL EVALUATION AND ENDING UPON THE WRITTEN
21	TERMINATION OF TREATMENT.
22	(b) Has falsified information in an application or has
23	ATTEMPTED TO OBTAIN OR HAS OBTAINED A CERTIFICATION BY FRAUD,
24	DECEPTION, OR MISREPRESENTATION;
25	(c) EXCESSIVELY OR HABITUALLY USES OR ABUSES ALCOHOL OR
26	HABIT-FORMING DRUGS OR HABITUALLY USES A CONTROLLED SUBSTANCE,
27	AS DEFINED IN SECTION 18-18-102, C.R.S., OR OTHER DRUGS HAVING

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1	SIMILAR EFFECTS; EXCEPT THAT THE DIRECTOR HAS THE DISCRETION NOT
2	TO DISCIPLINE THE CERTIFICATE HOLDER IF HE OR SHE IS PARTICIPATING IN
3	GOOD FAITH IN A PROGRAM APPROVED BY THE DIRECTOR DESIGNED TO END
4	THE USE OR ABUSE;
5	(d) (I) FAILED TO NOTIFY THE DIRECTOR, AS REQUIRED BY SECTION
6	12-43.5-115, OF A PHYSICAL OR MENTAL ILLNESS OR CONDITION THAT
7	IMPACTS THE SPEECH-LANGUAGE PATHOLOGIST'S ABILITY TO PERFORM
8	SPEECH-LANGUAGE PATHOLOGY WITH REASONABLE SKILL AND SAFETY TO
9	PATIENTS;
10	(II) FAILED TO ACT WITHIN THE LIMITATIONS CREATED BY A
11	PHYSICAL OR MENTAL ILLNESS OR CONDITION THAT RENDERS THE
12	CERTIFICATE HOLDER UNABLE TO PERFORM SPEECH-LANGUAGE
13	PATHOLOGY WITH REASONABLE SKILL AND SAFETY TO THE PATIENT; OR
14	(III) FAILED TO COMPLY WITH THE LIMITATIONS AGREED TO UNDER
15	A CONFIDENTIAL AGREEMENT ENTERED PURSUANT TO SECTION
16	12-43.5-115;
17	(e) HAS VIOLATED THIS ARTICLE OR AIDED OR ABETTED OR
18	KNOWINGLY PERMITTED ANY PERSON TO VIOLATE THIS ARTICLE, A RULE
19	ADOPTED UNDER THIS ARTICLE, OR ANY LAWFUL ORDER OF THE DIRECTOR;
20	(f) HAS FAILED TO RESPOND TO A REQUEST OR ORDER OF THE
21	DIRECTOR;
22	(g) HAS BEEN CONVICTED OF OR PLED GUILTY OR NOLO
23	CONTENDERE TO A FELONY OR ANY CRIME RELATED TO THE CERTIFICATE
24	HOLDER'S PRACTICE OF SPEECH-LANGUAGE PATHOLOGY, OR HAS
25	COMMITTED AN ACT SPECIFIED IN SECTION 12-43.5-112. A CERTIFIED COPY
26	OF THE JUDGMENT OF A COURT OF COMPETENT JURISDICTION OF THE
27	CONVICTION OR PLEA IS CONCLUSIVE EVIDENCE OF THE CONVICTION OR

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1	PLEA. IN CONSIDERING THE DISCIPLINARY ACTION, THE DIRECTOR IS
2	GOVERNED BY SECTION 24-5-101, C.R.S.
3	(h) HAS FRAUDULENTLY OBTAINED, FURNISHED, OR SOLD ANY
4	SPEECH-LANGUAGE PATHOLOGY DIPLOMA, CERTIFICATE, CERTIFICATION,
5	RENEWAL OF CERTIFICATION, OR RECORD OR AIDED OR ABETTED SUCH
6	ACT;
7	(i) HAS FAILED TO NOTIFY THE DIRECTOR OF THE SUSPENSION OR
8	REVOCATION OF THE PERSON'S PAST OR CURRENTLY HELD LICENSE,
9	CERTIFICATE, OR CERTIFICATION REQUIRED TO PRACTICE
10	SPEECH-LANGUAGE PATHOLOGY IN THIS OR ANY OTHER JURISDICTION;
11	(j) HAS FAILED TO RESPOND IN AN HONEST, MATERIALLY
12	RESPONSIVE, AND TIMELY MANNER TO A COMPLAINT AGAINST THE
13	CERTIFICATE HOLDER;
14	(k) HAS RESORTED TO FRAUD, MISREPRESENTATION, OR DECEPTION
15	IN APPLYING FOR, SECURING, RENEWING, OR SEEKING REINSTATEMENT OF
16	A CERTIFICATION IN THIS OR ANY OTHER STATE, IN APPLYING FOR
17	PROFESSIONAL LIABILITY COVERAGE, OR IN TAKING THE EXAMINATION
18	REQUIRED BY THIS ARTICLE;
19	(1) Has failed to refer a patient to the appropriate
20	LICENSED HEALTH CARE PROFESSIONAL WHEN THE SERVICES REQUIRED BY
21	THE PATIENT ARE BEYOND THE LEVEL OF COMPETENCE OF THE
22	SPEECH-LANGUAGE PATHOLOGIST OR BEYOND THE SCOPE OF
23	SPEECH-LANGUAGE PATHOLOGY PRACTICE;
24	(m) Has refused to submit to a physical or mental
25	EXAMINATION WHEN ORDERED BY THE DIRECTOR PURSUANT TO SECTION
26	12-43.5-114;
27	(n) HAS FAILED TO MAINTAIN OR IS NOT COVERED BY

-16- 1303

1	PROFESSIONAL LIABILITY INSURANCE AS REQUIRED BY SECTION
2	12-43.5-106(2) or (4) in the amount determined by the director by
3	RULE;
4	(o) HAS WILLFULLY OR NEGLIGENTLY ACTED IN A MANNER
5	INCONSISTENT WITH THE HEALTH OR SAFETY OF PERSONS UNDER HIS OR
6	HER CARE;
7	(p) HAS NEGLIGENTLY OR WILLFULLY PRACTICED
8	SPEECH-LANGUAGE PATHOLOGY IN A MANNER THAT FAILS TO MEET
9	GENERALLY ACCEPTED STANDARDS FOR SPEECH-LANGUAGE PATHOLOGY
10	PRACTICE;
11	(q) HAS FAILED TO MAKE ESSENTIAL ENTRIES ON PATIENT RECORDS
12	OR FALSIFIED OR MADE INCORRECT ENTRIES OF AN ESSENTIAL NATURE ON
13	PATIENT RECORDS; OR
14	(r) HAS OTHERWISE VIOLATED ANY PROVISION OF THIS ARTICLE OR
15	LAWFUL ORDER OR RULE OF THE DIRECTOR.
16	(3) EXCEPT AS OTHERWISE PROVIDED IN SUBSECTION (2) OF THIS
17	SECTION, THE DIRECTOR NEED NOT FIND THAT THE ACTIONS THAT ARE
18	GROUNDS FOR DISCIPLINE WERE WILLFUL BUT MAY CONSIDER WHETHER
19	THE ACTIONS WERE WILLFUL WHEN DETERMINING THE NATURE OF
20	DISCIPLINARY SANCTIONS TO IMPOSE.
21	12-43.5-111. Disciplinary actions - judicial review. (1) (a) THE
22	DIRECTOR MAY COMMENCE A PROCEEDING TO DISCIPLINE A CERTIFICATE
23	HOLDER WHEN THE DIRECTOR HAS REASONABLE GROUNDS TO BELIEVE
24	THAT THE CERTIFICATE HOLDER HAS COMMITTED AN ACT ENUMERATED IN
25	SECTION 12-43.5-110 OR HAS VIOLATED A LAWFUL ORDER OR RULE OF THE
26	DIRECTOR.
27	(b) IN ANY PROCEEDING UNDER THIS SECTION, THE DIRECTOR MAY

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1	ACCEPT AS EVIDENCE OF GROUNDS FOR DISCIPLINARY ACTION ANY
2	DISCIPLINARY ACTION TAKEN AGAINST A CERTIFICATE HOLDER IN
3	ANOTHER JURISDICTION IF THE VIOLATION THAT PROMPTED THE
4	DISCIPLINARY ACTION IN THE OTHER JURISDICTION WOULD BE GROUNDS
5	FOR DISCIPLINARY ACTION UNDER THIS ARTICLE.
6	(2) THE DIRECTOR SHALL CONDUCT DISCIPLINARY PROCEEDINGS
7	IN ACCORDANCE WITH ARTICLE 4 OF TITLE 24, C.R.S., AND THE DIRECTOR
8	OR AN ADMINISTRATIVE LAW JUDGE, AS DETERMINED BY THE DIRECTOR,
9	SHALL CONDUCT THE HEARING AND OPPORTUNITY FOR REVIEW PURSUANT
10	TO THAT ARTICLE. THE DIRECTOR MAY EXERCISE ALL POWERS AND DUTIES
11	CONFERRED BY THIS ARTICLE DURING THE DISCIPLINARY PROCEEDINGS.
12	(3) (a) THE DIRECTOR MAY REQUEST THE ATTORNEY GENERAL TO
13	SEEK AN INJUNCTION, IN ANY COURT OF COMPETENT JURISDICTION, TO
14	ENJOIN A PERSON FROM COMMITTING AN ACT PROHIBITED BY THIS
15	ARTICLE. WHEN SEEKING AN INJUNCTION UNDER THIS PARAGRAPH (a) , THE
16	ATTORNEY GENERAL IS NOT REQUIRED TO ALLEGE OR PROVE THE
17	INADEQUACY OF ANY REMEDY AT LAW OR THAT SUBSTANTIAL OR
18	IRREPARABLE DAMAGE IS LIKELY TO RESULT FROM A CONTINUED

(b) (I) IN ACCORDANCE WITH ARTICLE 4 OF TITLE 24, C.R.S., AND THIS ARTICLE, THE DIRECTOR MAY INVESTIGATE, HOLD HEARINGS, AND GATHER EVIDENCE IN ALL MATTERS RELATED TO THE EXERCISE AND PERFORMANCE OF THE POWERS AND DUTIES OF THE DIRECTOR.

VIOLATION OF THIS ARTICLE.

(II) IN ORDER TO AID THE DIRECTOR IN ANY HEARING OR INVESTIGATION INSTITUTED PURSUANT TO THIS SECTION, THE DIRECTOR OR AN ADMINISTRATIVE LAW JUDGE APPOINTED PURSUANT TO PARAGRAPH (c) OF THIS SUBSECTION (3) MAY ADMINISTER OATHS, TAKE AFFIRMATIONS OF

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1	WITNESSES, AND ISSUE SUBPOENAS COMPELLING THE ATTENDANCE OF
2	WITNESSES AND THE PRODUCTION OF ALL RELEVANT RECORDS, PAPERS,
3	BOOKS, DOCUMENTARY EVIDENCE, AND MATERIALS IN ANY HEARING,
4	INVESTIGATION, ACCUSATION, OR OTHER MATTER BEFORE THE DIRECTOR
5	OR AN ADMINISTRATIVE LAW JUDGE.
6	(III) UPON FAILURE OF ANY WITNESS OR CERTIFICATE HOLDER TO
7	COMPLY WITH A SUBPOENA OR PROCESS AND UPON APPLICATION BY THE
8	DIRECTOR WITH NOTICE TO THE SUBPOENAED PERSON OR CERTIFICATE
9	HOLDER, THE DISTRICT COURT OF THE COUNTY IN WHICH THE SUBPOENAED
10	PERSON OR CERTIFICATE HOLDER RESIDES OR CONDUCTS BUSINESS MAY
11	ISSUE AN ORDER REQUIRING THE PERSON OR CERTIFICATE HOLDER TO
12	APPEAR BEFORE THE DIRECTOR; TO PRODUCE THE RELEVANT PAPERS,
13	BOOKS, RECORDS, DOCUMENTARY EVIDENCE, OR MATERIALS; OR TO GIVE
14	$\label{lem:evidence} \mbox{EVIDENCE TOUCHING THE MATTER UNDER INVESTIGATION OR IN QUESTION.}$
15	IF THE PERSON OR CERTIFICATE HOLDER FAILS TO OBEY THE ORDER OF THE
16	COURT, THE DISTRICT COURT MAY HOLD THE PERSON OR CERTIFICATE
17	HOLDER IN CONTEMPT OF COURT.
18	(c) THE DIRECTOR MAY APPOINT AN ADMINISTRATIVE LAW JUDGE
19	PURSUANT TO PART 10 OF ARTICLE 30 OF TITLE 24, C.R.S., TO CONDUCT
20	HEARINGS, TAKE EVIDENCE, AND MAKE AND REPORT FINDINGS TO THE
21	DIRECTOR.
22	(4) (a) The director, the director's staff, any person acting
23	AS A WITNESS OR CONSULTANT TO THE DIRECTOR, ANY WITNESS
24	TESTIFYING IN A PROCEEDING AUTHORIZED UNDER THIS ARTICLE, AND ANY
25	PERSON WHO LODGES A COMPLAINT PURSUANT TO THIS ARTICLE IS IMMUNE
26	FROM LIABILITY IN ANY CIVIL ACTION BROUGHT AGAINST HIM OR HER FOR
27	ACTS OCCURRING WHILE ACTING IN HIS OR HER CAPACITY AS DIRECTOR,

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1	STAFF, CONSULTANT, WITNESS, OR COMPLAINANT, RESPECTIVELY, IF THE
2	INDIVIDUAL WAS ACTING IN GOOD FAITH WITHIN THE SCOPE OF HIS OR HER
3	RESPECTIVE CAPACITY, MADE A REASONABLE EFFORT TO OBTAIN THE
4	FACTS OF THE MATTER AS TO WHICH HE OR SHE ACTED, AND ACTED IN THE
5	REASONABLE BELIEF THAT HIS OR HER ACTION WAS WARRANTED BY THE
6	FACTS.
7	(b) A PERSON PARTICIPATING IN GOOD FAITH IN MAKING A
8	COMPLAINT OR REPORT OR IN AN INVESTIGATIVE OR ADMINISTRATIVE
9	PROCEEDING PURSUANT TO THIS SECTION IS IMMUNE FROM ANY CIVIL OR
10	CRIMINAL LIABILITY THAT OTHERWISE MIGHT RESULT BY REASON OF THE
11	PARTICIPATION.
12	(5) A FINAL ACTION OF THE DIRECTOR IS SUBJECT TO JUDICIAL
13	REVIEW BY THE COURT OF APPEALS PURSUANT TO SECTION $24-4-106$ (11),
14	C.R.S. THE DIRECTOR MAY INSTITUTE A JUDICIAL PROCEEDING IN
15	ACCORDANCE WITH SECTION 24-4-106, C.R.S., TO ENFORCE AN ORDER OF
16	THE DIRECTOR.
17	(6) When a complaint or an investigation discloses an
18	INSTANCE OF MISCONDUCT THAT, IN THE OPINION OF THE DIRECTOR,
19	WARRANTS FORMAL ACTION, THE DIRECTOR SHALL NOT RESOLVE THE
20	COMPLAINT BY A DEFERRED SETTLEMENT, ACTION, JUDGMENT, OR
21	PROSECUTION.
22	(7) When a complaint or investigation discloses an
23	INSTANCE OF CONDUCT THAT DOES NOT WARRANT FORMAL ACTION BY THE
24	DIRECTOR AND, IN THE OPINION OF THE DIRECTOR, THE COMPLAINT
25	SHOULD BE DISMISSED, BUT THE DIRECTOR HAS NOTICED INDICATIONS OF
26	POSSIBLE ERRANT CONDUCT BY THE CERTIFICATE HOLDER THAT COULD
27	LEAD TO SERIOUS CONSEQUENCES IF NOT CORRECTED, THE DIRECTOR MAY

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- (8) (a) When a complaint or investigation discloses an instance of misconduct that, in the opinion of the director, does not warrant formal action but should not be dismissed as being without merit, the director may send a letter of admonition to the certificate holder.
- (b) When the director sends a letter of admonition to a certificate holder, the director shall notify the certificate holder of his or her right to request in writing, within twenty days after receipt of the letter, that the director initiate formal disciplinary proceedings to adjudicate the propriety of the conduct described in the letter of admonition.
 - (c) IFTHE CERTIFICATE HOLDER TIMELY REQUESTS ADJUDICATION,
 THE DIRECTOR SHALL VACATE THE LETTER OF ADMONITION AND SHALL
 PROCESS THE MATTER BY MEANS OF FORMAL DISCIPLINARY PROCEEDINGS.
 - (9) THE DIRECTOR MAY INCLUDE IN A DISCIPLINARY ORDER THAT ALLOWS THE CERTIFICATE HOLDER TO CONTINUE TO PRACTICE ON PROBATION ANY CONDITIONS THE DIRECTOR DEEMS APPROPRIATE TO ASSURE THAT THE CERTIFICATE HOLDER IS PHYSICALLY, MENTALLY, MORALLY, AND OTHERWISE QUALIFIED TO PRACTICE SPEECH-LANGUAGE PATHOLOGY IN ACCORDANCE WITH GENERALLY ACCEPTED PROFESSIONAL STANDARDS OF PRACTICE. IF THE CERTIFICATE HOLDER FAILS TO COMPLY WITH ANY CONDITIONS IMPOSED BY THE DIRECTOR PURSUANT TO THIS SUBSECTION (9), AND THE FAILURE TO COMPLY IS NOT DUE TO CONDITIONS BEYOND THE CERTIFICATE HOLDER'S CONTROL, THE DIRECTOR MAY ORDER SUSPENSION OF THE CERTIFICATE HOLDER'S CERTIFICATION TO PRACTICE SPEECH-LANGUAGE PATHOLOGY IN THIS STATE UNTIL THE CERTIFICATE

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1	HOLDER COMPLIES WITH THE CONDITIONS.
2	(10) (a) If it appears to the director, based upon credible
3	EVIDENCE AS PRESENTED IN A WRITTEN COMPLAINT BY ANY PERSON, THAT
4	A CERTIFICATE HOLDER IS ACTING IN A MANNER THAT IS AN IMMINENT
5	THREAT TO THE HEALTH AND SAFETY OF THE PUBLIC, OR A PERSON IS
6	ACTING OR HAS ACTED WITHOUT THE REQUIRED CERTIFICATION, THE
7	DIRECTOR MAY ISSUE AN ORDER TO CEASE AND DESIST THE ACTIVITY. THE
8	ORDER MUST SET FORTH THE STATUTES AND RULES ALLEGED TO HAVE
9	BEEN VIOLATED, THE FACTS ALLEGED TO CONSTITUTE THE VIOLATION, AND
10	THE REQUIREMENT THAT ALL UNLAWFUL ACTS OR UNCERTIFIED PRACTICES
11	IMMEDIATELY CEASE.
12	(b) WITHIN TENDAYS AFTER SERVICE OF THE ORDER TO CEASE AND
13	DESIST PURSUANT TO PARAGRAPH (a) OF THIS SUBSECTION (10), THE
14	RESPONDENT MAY REQUEST A HEARING ON THE QUESTION OF WHETHER
15	ACTS OR PRACTICES IN VIOLATION OF THIS ARTICLE HAVE OCCURRED. THE
16	DIRECTOR SHALL CONDUCT THE HEARING PURSUANT TO SECTIONS
17	24-4-104 and 24-4-105, C.R.S.
18	(11) (a) If it appears to the director, based upon credible
19	EVIDENCE AS PRESENTED IN A WRITTEN COMPLAINT BY ANY PERSON, THAT
20	A PERSON HAS VIOLATED ANY OTHER PROVISION OF THIS ARTICLE, IN
21	ADDITION TO ANY SPECIFIC POWERS GRANTED PURSUANT TO THIS ARTICLE,
22	THE DIRECTOR MAY ISSUE TO THE PERSON AN ORDER TO SHOW CAUSE AS
23	TO WHY THE DIRECTOR SHOULD NOT ISSUE A FINAL ORDER DIRECTING THE
24	PERSON TO CEASE AND DESIST FROM THE UNLAWFUL ACT OR UNCERTIFIED
25	PRACTICE.
26	(b) THE DIRECTOR SHALL PROMPTLY NOTIFY A PERSON AGAINST
27	WHOM HE OR SHE ISSUES AN ORDER TO SHOW CAUSE PURSUANT TO

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1 PARAGRAPH (a) OF THIS SUBSECTION (11) AND SHALL INCLUDE IN THE 2 NOTICE A COPY OF THE ORDER, A STATEMENT OF THE FACTUAL AND LEGAL 3 BASIS FOR THE ORDER, AND THE DATE SET BY THE DIRECTOR FOR A 4 HEARING ON THE ORDER. THE DIRECTOR MAY SERVE THE NOTICE ON THE 5 PERSON AGAINST WHOM THE ORDER HAS BEEN ISSUED BY PERSONAL 6 SERVICE, BY FIRST-CLASS, POSTAGE PREPAID UNITED STATES MAIL, OR IN 7 ANOTHER MANNER AS MAY BE PRACTICABLE. PERSONAL SERVICE OR 8 MAILING OF AN ORDER OR DOCUMENT PURSUANT TO THIS PARAGRAPH (b) 9 CONSTITUTES NOTICE OF THE ORDER TO THE PERSON. 10 (c) (I) THE DIRECTOR SHALL CONDUCT THE HEARING ON AN ORDER 11 TO SHOW CAUSE NO SOONER THAN TEN AND NO LATER THAN FORTY-FIVE 12 CALENDAR DAYS AFTER THE DATE THE DIRECTOR TRANSMITS OR SERVES 13 THE NOTIFICATION AS PROVIDED IN PARAGRAPH (b) OF THIS SUBSECTION 14 (11). THE DIRECTOR MAY CONTINUE THE HEARING BY AGREEMENT OF ALL 15 PARTIES BASED UPON THE COMPLEXITY OF THE MATTER, NUMBER OF 16 PARTIES TO THE MATTER, AND LEGAL ISSUES PRESENTED IN THE MATTER, 17 BUT IN NO EVENT SHALL THE DIRECTOR CONDUCT THE HEARING LATER 18 THAN SIXTY CALENDAR DAYS AFTER THE DATE OF TRANSMISSION OR 19 SERVICE OF THE NOTIFICATION. 20 (II) IF A PERSON AGAINST WHOM AN ORDER TO SHOW CAUSE HAS 21 BEEN ISSUED PURSUANT TO PARAGRAPH (a) OF THIS SUBSECTION (11) DOES 22 NOT APPEAR AT THE HEARING, THE DIRECTOR MAY PRESENT EVIDENCE 23 THAT NOTIFICATION WAS PROPERLY SENT OR SERVED ON THE PERSON 24

PURSUANT TO PARAGRAPH (b) OF THIS SUBSECTION (11) AND ANY OTHER

EVIDENCE RELATED TO THE MATTER THAT THE DIRECTOR DEEMS

APPROPRIATE. THE DIRECTOR SHALL ISSUE THE ORDER WITHIN TEN DAYS

AFTER THE DIRECTOR'S DETERMINATION RELATED TO REASONABLE

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1	ATTEMPTS TO NOTIFY THE RESPONDENT, AND THE ORDER BECOMES FINAL
2	AS TO THAT PERSON BY OPERATION OF LAW. THE DIRECTOR SHALL
3	CONDUCT THE HEARING PURSUANT TO SECTIONS 24-4-104 AND 24-4-105,
4	C.R.S.
5	(III) IF THE DIRECTOR REASONABLY FINDS THAT THE PERSON
6	AGAINST WHOM THE ORDER TO SHOW CAUSE WAS ISSUED IS ACTING OR
7	HAS ACTED WITHOUT THE REQUIRED CERTIFICATION, OR HAS OR IS ABOUT
8	TO ENGAGE IN ACTS OR PRACTICES CONSTITUTING A VIOLATION OF THIS
9	ARTICLE, THE DIRECTOR MAY ISSUE A FINAL CEASE-AND-DESIST ORDER
10	DIRECTING THE PERSON TO CEASE AND DESIST FROM FURTHER UNLAWFUL
11	ACTS OR UNCERTIFIED PRACTICES.
12	(IV) THE DIRECTOR SHALL PROVIDE NOTICE, IN THE MANNER SET
13	FORTH IN PARAGRAPH (b) OF THIS SUBSECTION (11), OF THE FINAL
14	CEASE-AND-DESIST ORDER WITHIN TEN CALENDAR DAYS AFTER THE
15	$\label{thm:paragraph} \mbox{HEARING CONDUCTED PURSUANT TO THIS PARAGRAPH \mbox{(c) TO EACH PERSON}$
16	AGAINST WHOM THE FINAL ORDER IS ISSUED. THE FINAL ORDER ISSUED
17	PURSUANT TO SUBPARAGRAPH (III) OF THIS PARAGRAPH (c) IS EFFECTIVE
18	WHEN ISSUED AND IS A FINAL ORDER FOR PURPOSES OF JUDICIAL REVIEW.
19	(12) If it appears to the director, based upon credible
20	EVIDENCE PRESENTED TO THE DIRECTOR, THAT A PERSON HAS ENGAGED OR
21	IS ABOUT TO ENGAGE IN AN UNCERTIFIED ACT OR PRACTICE; AN ACT OR
22	PRACTICE CONSTITUTING A VIOLATION OF THIS ARTICLE, A RULE
23	PROMULGATED PURSUANT TO THIS ARTICLE, OR AN ORDER ISSUED
24	PURSUANT TO THIS ARTICLE; OR AN ACT OR PRACTICE CONSTITUTING
25	GROUNDS FOR ADMINISTRATIVE SANCTION PURSUANT TO THIS ARTICLE,
26	THE DIRECTOR MAY ENTER INTO A STIPULATION WITH THE PERSON.
27	(13) IF ANY PERSON FAILS TO COMPLY WITH A FINAL

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1	CEASE-AND-DESIST ORDER OR A STIPULATION, THE DIRECTOR MAY
2	REQUEST THE ATTORNEY GENERAL OR THE DISTRICT ATTORNEY FOR THE
3	JUDICIAL DISTRICT IN WHICH THE ALLEGED VIOLATION EXISTS TO BRING,
4	AND IF SO REQUESTED THE ATTORNEY SHALL BRING, SUIT FOR A
5	TEMPORARY RESTRAINING ORDER AND FOR INJUNCTIVE RELIEF TO
6	PREVENT ANY FURTHER OR CONTINUED VIOLATION OF THE FINAL ORDER.
7	(14) A PERSON AGGRIEVED BY THE FINAL CEASE-AND-DESIST
8	ORDER MAY SEEK JUDICIAL REVIEW OF THE DIRECTOR'S DETERMINATION
9	OR OF THE DIRECTOR'S FINAL ORDER AS PROVIDED IN SUBSECTION (5) OF
10	THIS SECTION.
11	(15) ANY PERSON WHOSE CERTIFICATION IS REVOKED OR WHO
12	SURRENDERS HIS OR HER CERTIFICATION TO AVOID DISCIPLINE IS
13	INELIGIBLE TO APPLY FOR CERTIFICATION UNDER THIS ARTICLE FOR AT
14	LEAST TWO YEARS AFTER THE DATE OF REVOCATION OF THE
15	CERTIFICATION. THE DIRECTOR SHALL TREAT A SUBSEQUENT APPLICATION
16	FOR CERTIFICATION FROM A PERSON WHOSE CERTIFICATION WAS REVOKED
17	AS AN APPLICATION FOR A NEW CERTIFICATION UNDER THIS ARTICLE.
18	12-43.5-112. Unauthorized practice - penalties. A PERSON WHO
19	PRACTICES OR OFFERS OR ATTEMPTS TO PRACTICE SPEECH-LANGUAGE
20	PATHOLOGY WITHOUT AN ACTIVE CERTIFICATION ISSUED UNDER THIS
21	ARTICLE COMMITS A CLASS 2 MISDEMEANOR AND SHALL BE PUNISHED AS
22	PROVIDED IN SECTION 18-1.3-501, C.R.S., FOR THE FIRST OFFENSE. FOR
23	THE SECOND OR ANY SUBSEQUENT OFFENSE, THE PERSON COMMITS A
24	CLASS 1 MISDEMEANOR AND SHALL BE PUNISHED AS PROVIDED IN SECTION
25	18-1.3-501, C.R.S.
26	12-43.5-113. Rule-making authority. The director shall
27	PROMULGATE RULES AS NECESSARY FOR THE ADMINISTRATION OF THIS

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2	12-43.5-114. Mental and physical examination of certificate
3	holders. (1) If the director has reasonable cause to believe that
4	A CERTIFICATE HOLDER IS UNABLE TO PRACTICE WITH REASONABLE SKILL
5	AND SAFETY, THE DIRECTOR MAY ORDER THE CERTIFICATE HOLDER TO
6	TAKE A MENTAL OR PHYSICAL EXAMINATION ADMINISTERED BY A
7	PHYSICIAN OR OTHER LICENSED HEALTH CARE PROFESSIONAL DESIGNATED
8	BY THE DIRECTOR. EXCEPT WHERE DUE TO CIRCUMSTANCES BEYOND THE
9	CERTIFICATE HOLDER'S CONTROL, IF THE CERTIFICATE HOLDER FAILS OR
10	REFUSES TO UNDERGO A MENTAL OR PHYSICAL EXAMINATION, THE
11	DIRECTOR MAY SUSPEND THE CERTIFICATE HOLDER'S CERTIFICATION UNTIL
12	THE DIRECTOR HAS MADE A DETERMINATION OF THE CERTIFICATE
13	HOLDER'S FITNESS TO PRACTICE. THE DIRECTOR SHALL PROCEED WITH AN
14	ORDER FOR EXAMINATION AND SHALL MAKE HIS OR HER DETERMINATION
15	IN A TIMELY MANNER.
16	(2) THE DIRECTOR SHALL INCLUDE IN AN ORDER REQUIRING A
17	CERTIFICATE HOLDER TO UNDERGO A MENTAL OR PHYSICAL EXAMINATION
18	THE BASIS OF THE DIRECTOR'S REASONABLE CAUSE TO BELIEVE THAT THE
19	CERTIFICATE HOLDER IS UNABLE TO PRACTICE WITH REASONABLE SKILL
20	AND SAFETY. FOR PURPOSES OF A DISCIPLINARY PROCEEDING AUTHORIZED
21	UNDER THIS ARTICLE, THE CERTIFICATE HOLDER IS DEEMED TO HAVE
22	WAIVED ALL OBJECTIONS TO THE ADMISSIBILITY OF THE EXAMINING
23	PHYSICIAN'S OR LICENSED HEALTH CARE PROFESSIONAL'S TESTIMONY OR
24	EXAMINATION REPORTS ON THE GROUNDS THAT THEY ARE PRIVILEGED
25	COMMUNICATION.
26	(3) THE CERTIFICATE HOLDER MAY SUBMIT TO THE DIRECTOR
27	TESTIMONY OR EXAMINATION REPORTS FROM A PHYSICIAN CHOSEN BY THE

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1	CERTIFICATE HOLDER AND PERTAINING TO ANY CONDITION THAT THE
2	DIRECTOR HAS ALLEGED MAY PRECLUDE THE CERTIFICATE HOLDER FROM
3	PRACTICING WITH REASONABLE SKILL AND SAFETY. THE DIRECTOR MAY
4	CONSIDER THE TESTIMONY AND REPORTS SUBMITTED BY THE CERTIFICATE
5	HOLDER IN CONJUNCTION WITH, BUT NOT IN LIEU OF, THE TESTIMONY AND
6	${\tt EXAMINATIONREPORTSOFTHEPHYSICIANDESIGNATEDBYTHEDIRECTOR.}$
7	(4) The results of a mental or physical examination
8	ORDERED BY THE DIRECTOR SHALL NOT BE USED AS EVIDENCE IN ANY
9	PROCEEDING OTHER THAN ONE BEFORE THE DIRECTOR, ARE NOT A PUBLIC
10	RECORD, AND ARE NOT AVAILABLE TO THE PUBLIC.
11	12-43.5-115. Confidential agreement to limit practice -
12	violation grounds for discipline. (1) IF A SPEECH-LANGUAGE
13	PATHOLOGIST SUFFERS FROM A PHYSICAL OR MENTAL ILLNESS OR
14	CONDITION THAT RENDERS THE PERSON UNABLE TO PRACTICE
15	SPEECH-LANGUAGE PATHOLOGY OR PRACTICE AS A SPEECH-LANGUAGE
16	PATHOLOGIST WITH REASONABLE SKILL AND PATIENT SAFETY, THE
17	SPEECH-LANGUAGE PATHOLOGIST SHALL NOTIFY THE DIRECTOR OF THE
18	ILLNESS OR CONDITION IN A MANNER AND WITHIN A PERIOD OF TIME
19	DETERMINED BY THE DIRECTOR. THE DIRECTOR MAY REQUIRE THE
20	SPEECH-LANGUAGE PATHOLOGIST TO SUBMIT TO AN EXAMINATION TO
21	EVALUATE THE EXTENT OF THE ILLNESS OR CONDITION AND ITS IMPACT ON
22	THE SPEECH-LANGUAGE PATHOLOGIST'S ABILITY TO PRACTICE WITH
23	REASONABLE SKILL AND SAFETY TO PATIENTS.
24	(2) (a) Upon determining that a speech-language
25	PATHOLOGIST WITH A PHYSICAL OR MENTAL ILLNESS OR CONDITION IS
26	ABLE TO RENDER LIMITED SPEECH-LANGUAGE PATHOLOGY SERVICES WITH
27	REASONARI E SKILL AND PATIENT SAFETY THE DIRECTOR MAY ENTER INTO

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2	IN WHICH THE SPEECH-LANGUAGE PATHOLOGIST AGREES TO LIMIT HIS OR
3	HER PRACTICE BASED ON THE RESTRICTIONS IMPOSED BY THE ILLNESS OR
4	CONDITION, AS DETERMINED BY THE DIRECTOR.
5	(b) THE AGREEMENT MUST SPECIFY THAT THE SPEECH-LANGUAGE
6	PATHOLOGIST IS SUBJECT TO PERIODIC REEVALUATIONS OR MONITORING
7	AS DETERMINED APPROPRIATE BY THE DIRECTOR.
8	(c) THE PARTIES MAY MODIFY OR DISSOLVE THE AGREEMENT AS
9	NECESSARY BASED ON THE RESULTS OF A REEVALUATION OR OF
10	MONITORING.
11	(d) By entering into an agreement with the director
12	PURSUANT TO THIS SECTION TO LIMIT HIS OR HER PRACTICE, THE
13	SPEECH-LANGUAGE PATHOLOGIST IS NOT ENGAGING IN ACTIVITIES THAT
14	CONSTITUTE GROUNDS FOR DISCIPLINE PURSUANT TO SECTION
15	12-43.5-110. THE AGREEMENT IS AN ADMINISTRATIVE ACTION AND DOES
16	NOT CONSTITUTE A RESTRICTION OR DISCIPLINE BY THE DIRECTOR.
17	HOWEVER, IF THE SPEECH-LANGUAGE PATHOLOGIST FAILS TO COMPLY
18	WITH THE TERMS OF AN AGREEMENT ENTERED INTO PURSUANT TO THIS
19	SECTION, THE FAILURE CONSTITUTES GROUNDS FOR DISCIPLINARY ACTION
20	UNDER SECTION 12-43.5-110 (2) (d), AND THE SPEECH-LANGUAGE
21	PATHOLOGIST IS SUBJECT TO DISCIPLINE IN ACCORDANCE WITH SECTION
22	12-43.5-111.
23	(3) This section does not apply to a licensee subject to
24	DISCIPLINE UNDER SECTION 12-43.5-110 (2) (c).
25	12-43.5-116. Protection of medical records - certificate
26	holder's obligations - verification of compliance - noncompliance
27	grounds for discipline - rules. (1) EACH SPEECH-LANGUAGE

A CONFIDENTIAL AGREEMENT WITH THE SPEECH-LANGUAGE PATHOLOGIST

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2	WRITTEN PLAN TO ENSURE THE SECURITY OF PATIENT MEDICAL RECORDS.
3	THE PLAN MUST ADDRESS AT LEAST THE FOLLOWING:
4	(a) The storage and proper disposal of patient medical
5	RECORDS;
6	(b) THE DISPOSITION OF PATIENT MEDICAL RECORDS IN THE EVENT
7	THE CERTIFICATE HOLDER DIES, RETIRES, OR OTHERWISE CEASES TO
8	PRACTICE OR PROVIDE SPEECH-LANGUAGE PATHOLOGY SERVICES TO
9	PATIENTS; AND
10	(c) THE METHOD BY WHICH PATIENTS MAY ACCESS OR OBTAIN
11	THEIR MEDICAL RECORDS PROMPTLY IF ANY OF THE EVENTS DESCRIBED IN
12	PARAGRAPH (b) OF THIS SUBSECTION (1) OCCURS.
13	(2) Upon initial certification under this article and upon
14	RENEWAL OF A CERTIFICATION, THE APPLICANT OR CERTIFICATE HOLDER
15	SHALL ATTEST TO THE DIRECTOR THAT HE OR SHE HAS DEVELOPED A PLAN
16	IN COMPLIANCE WITH THIS SECTION.
17	(3) A CERTIFICATE HOLDER SHALL INFORM EACH PATIENT IN
18	WRITING OF THE METHOD BY WHICH THE PATIENT MAY ACCESS OR OBTAIN
19	HIS OR HER MEDICAL RECORDS IF AN EVENT DESCRIBED IN PARAGRAPH (b)
20	OF SUBSECTION (1) OF THIS SECTION OCCURS.
21	(4) A SPEECH-LANGUAGE PATHOLOGIST WHO FAILS TO COMPLY
22	WITH THIS SECTION IS SUBJECT TO DISCIPLINE IN ACCORDANCE WITH
23	SECTION 12-43.5-111.
24	(5) THE DIRECTOR MAY ADOPT RULES REASONABLY NECESSARY TO
25	IMPLEMENT THIS SECTION.
26	12-43.5-117. Severability. IF ANY PROVISION OF THIS ARTICLE IS
27	HELD INVALID, THE INVALIDITY DOES NOT AFFECT OTHER PROVISIONS OF

PATHOLOGIST RESPONSIBLE FOR PATIENT RECORDS SHALL DEVELOP A

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1	THIS ARTICLE THAT CAN BE GIVEN EFFECT WITHOUT THE INVALID
2	PROVISION.
3	12-43.5-118. Repeal of article - review of functions. This
4	ARTICLE IS REPEALED, EFFECTIVE SEPTEMBER 1, 2019. PRIOR TO THE
5	REPEAL, THE DIRECTOR'S POWERS, DUTIES, AND FUNCTIONS UNDER THIS
6	ARTICLE SHALL BE REVIEWED AS PROVIDED IN SECTION 24-34-104, C.R.S.
7	SECTION 2. In Colorado Revised Statutes, 24-34-104, amend
8	(50.5) introductory portion; and add (50.5) (e) as follows:
9	24-34-104. General assembly review of regulatory agencies
10	and functions for termination, continuation, or reestablishment.
11	(50.5) The following agencies, functions, or both, shall terminate on
12	September 1, 2019:
13	(e) THE REGULATION OF SPEECH-LANGUAGE PATHOLOGISTS BY THE
14	DIRECTOR OF THE DIVISION OF REGISTRATIONS PURSUANT TO ARTICLE 43.5
15	OF TITLE 12, C.R.S.
16	SECTION 3. In Colorado Revised Statutes, 24-34-110, amend
17	(3) (a) (XVIII) and (3) (a) (XIX); and add (3) (a) (XX) as follows:
18	24-34-110. Medical transparency act of 2010 - disclosure of
19	information about health care licensees - fines - rules - short title -
20	legislative declaration. (3) (a) As used in this section, "applicant" means
21	a person applying for a new, active license, certification, or registration
22	or to renew, reinstate, or reactivate an active license, certification, or
23	registration to practice:
24	(XVIII) Psychotherapy pursuant to part 7 of article 43 of title 12,
25	C.R.S.; and
26	(XIX) Addiction counseling pursuant to part 8 of article 43 of title
2.7	12. C.R.S.: AND

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1	(XX) SPEECH-LANGUAGE PATHOLOGY PURSUANT TO ARTICLE 43.5
2	OF TITLE 12, C.R.S.
3	SECTION 4. Act subject to petition - effective date. This act
4	takes effect at 12:01 a.m. on the day following the expiration of the
5	ninety-day period after final adjournment of the general assembly (August
6	8, 2012, if adjournment sine die is on May 9, 2012); except that, if a
7	referendum petition is filed pursuant to section 1 (3) of article V of the
8	state constitution against this act or an item, section, or part of this act
9	within such period, then the act, item, section, or part will not take effect
10	unless approved by the people at the general election to be held in
11	November 2012 and, in such case, will take effect on the date of the
12	official declaration of the vote thereon by the governor.

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